### Word Embeddings

(many slides from Greg Durrett)

### Wei Xu

### Word representations

### word2vec/GloVe

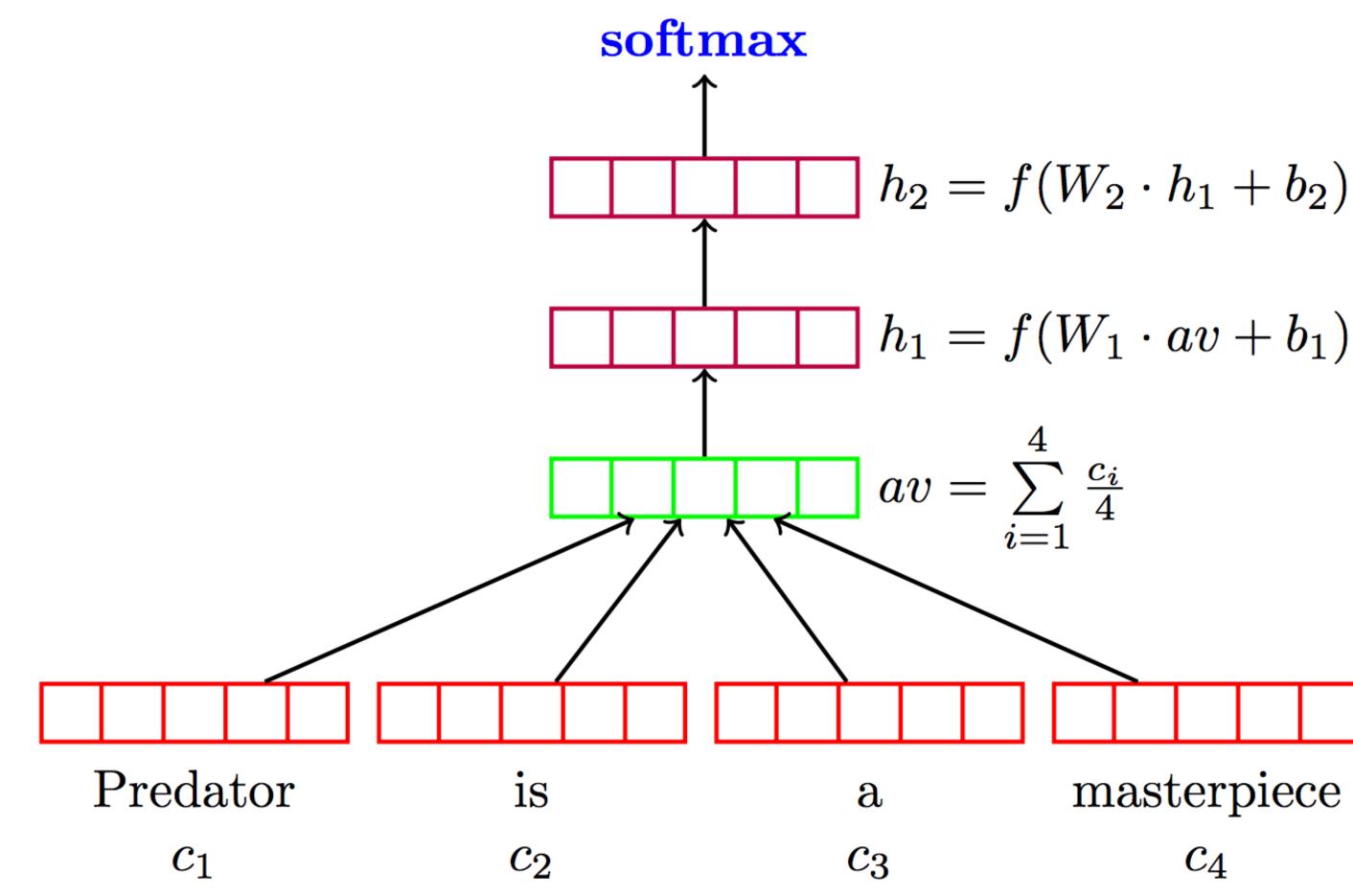
### Reading: <u>Eisenstein 3.3.4, 14.5, 14.6, J+M 6, Goldberg 5</u>

### This Lecture

### Word Representations

### Sentiment Analysis

# word embeddings from input



Deep Averaging Networks: feedforward neural network on average of

$$h_2 = f(W_2 \cdot h_1 + b_2)$$

$$h_1 = f(W_1 \cdot av + b_1)$$

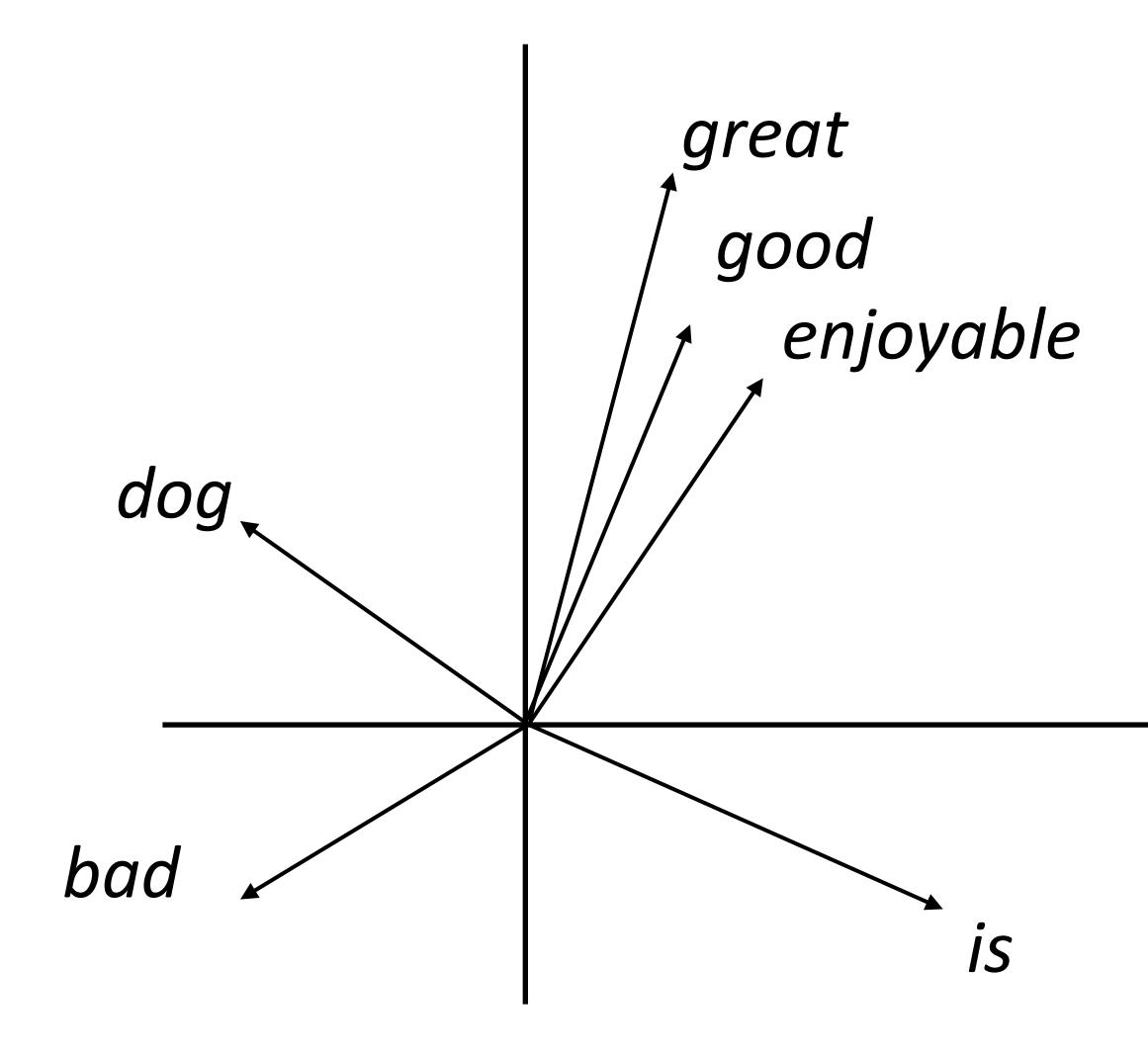


### Word Embeddings

# Want a vector space where simi the movie was great ≈ the movie was good

- Goal: come up with a way to produce these embeddings
- For each word, want
  "medium" dimensional vector
  (50-300 dims) representing it.

Want a vector space where similar words have similar embeddings

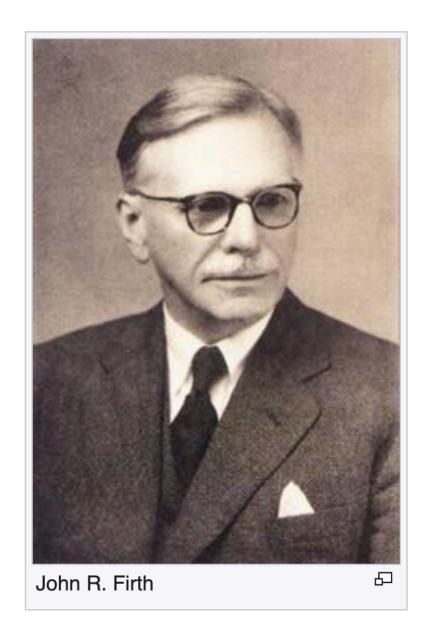


### Word Representations

- Neural networks work very well at continuous data, but words are discrete
- Continuous model <-> expects continuous semantics from input
- "You shall know a word by the company it keeps" Firth (1957)

A bottle of *tesgüino* is on the table Everybody likes *tesgüino* Tesgüino makes you drunk We make *tesgüino* out of corn.





slide credit: Dan Klein, Dan Jurafsky



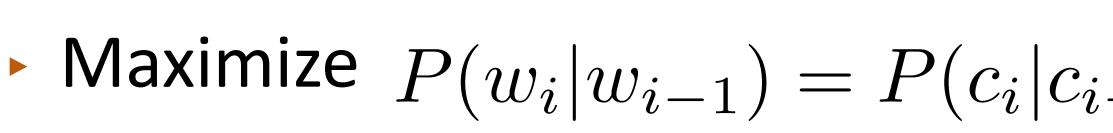


### **Discrete Word Representations**

cat

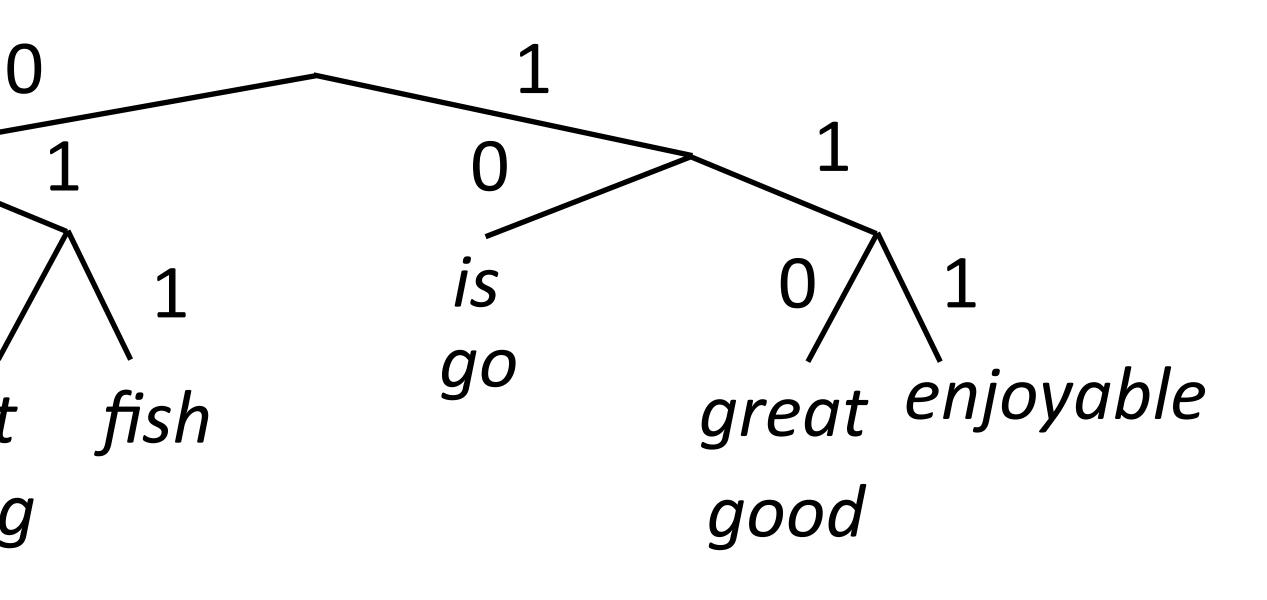
dog

- Input: a (large) text corpus



Useful features for tasks like NER, not suitable for Neural Networks

Brown clusters: hierarchical agglomerative hard clustering (each word has one cluster, not some posterior distribution like in mixture models)



$$-1)P(w_i|c_i)$$

Brown et al. (1992)





Brown clusters: hierarchical agglomerative hard clustering Example clusters from Miller et al. 2004

mailman	10000011010111
salesman	100000110110000
bookkeeper	1000001101100010
troubleshooter	10000011011000110
bouncer	10000011011000111
technician	1000001101100100
janitor	1000001101100101
saleswoman	1000001101100110
Nike	101101110010010101011100
Maytag	1011011100100101010111010
Generali	1011011100100101010111011
Gap	101101110010010101011110
Harley-Davidson	1011011100100101010111110
Enfield	10110111001001010101111110
genus	10110111001001010101111111
Microsoft	10110111001001011000
Ventritex	101101110010010110010
Tractebel	1011011100100101100110
Synopsys	1011011100100101100111
WordPerfect	1011011100100101101000
John	101110010000000000
Consuelo	101110010000000000000000000000000000000
Jeffrey	10111001000000010
Kenneth	1011100100000001100
Phillip	10111001000000011010
WILLIAM	10111001000000011011
Timothy	1011100100000001110

word cluster features (bit string prefix)

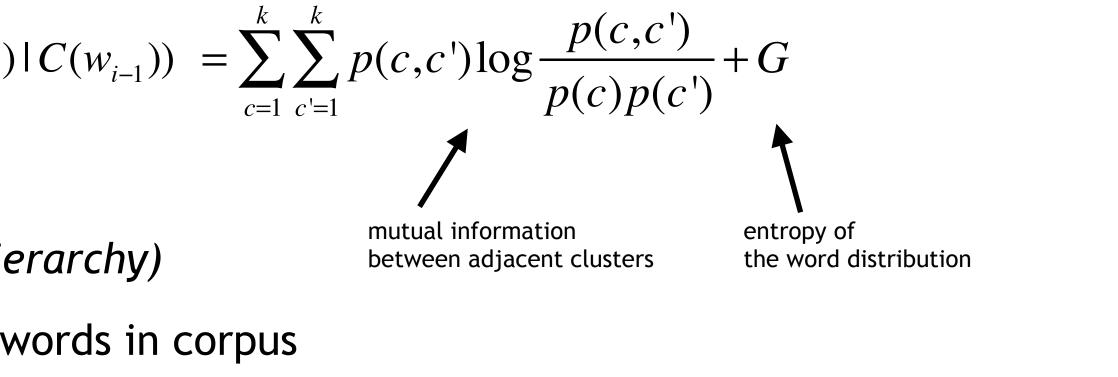
### **Discrete Word Representations**

- Brown clusters: hierarchical agglomerative hard clustering
- We give a very brief sketch of the algorithm here:

■ k: a hyper-parameter, sort words by frequency Take the top k most frequent words, put each of them in its own cluster  $c_1, c_2, c_3, \ldots c_k$ For  $i = (k+1) \dots |V|$ Create a new cluster  $c_{k+1}$  (we have k + 1 clusters)  $Quality(C) = \sum_{i}^{n} \log e(w_i | C(w_i)) q(C(w_i) | C(w_{i-1})) = \sum_{c=1}^{k} \sum_{c'=1}^{k} p(c,c') \log \frac{p(c,c')}{p(c)p(c')} + G$ Carry out k - 1 final merges (full hierarchy) Running time  $O\left( \left| V \right| k^2 + n \right)$ , n=#words in corpus

### **Discrete Word Representations**

• Choose two clusters from k + 1 clusters based on quality(C) and merge (back to k clusters)



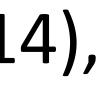
# Word Representations

- Count-based: tf\*idf, PPMI, ...
- Class-based: Brown Clusters, ...
- FastText, ...

### Distributed prediction-based embeddings: Word2vec (2013), GloVe (2014),

### Distributed contextual embeddings: ELMo (2018), BERT (2019), GPT, ...

+ many more variants: multi-sense embeddings, syntactic embeddings, ...



word2vec/GloVe

# Neural Probabilistic Language Model

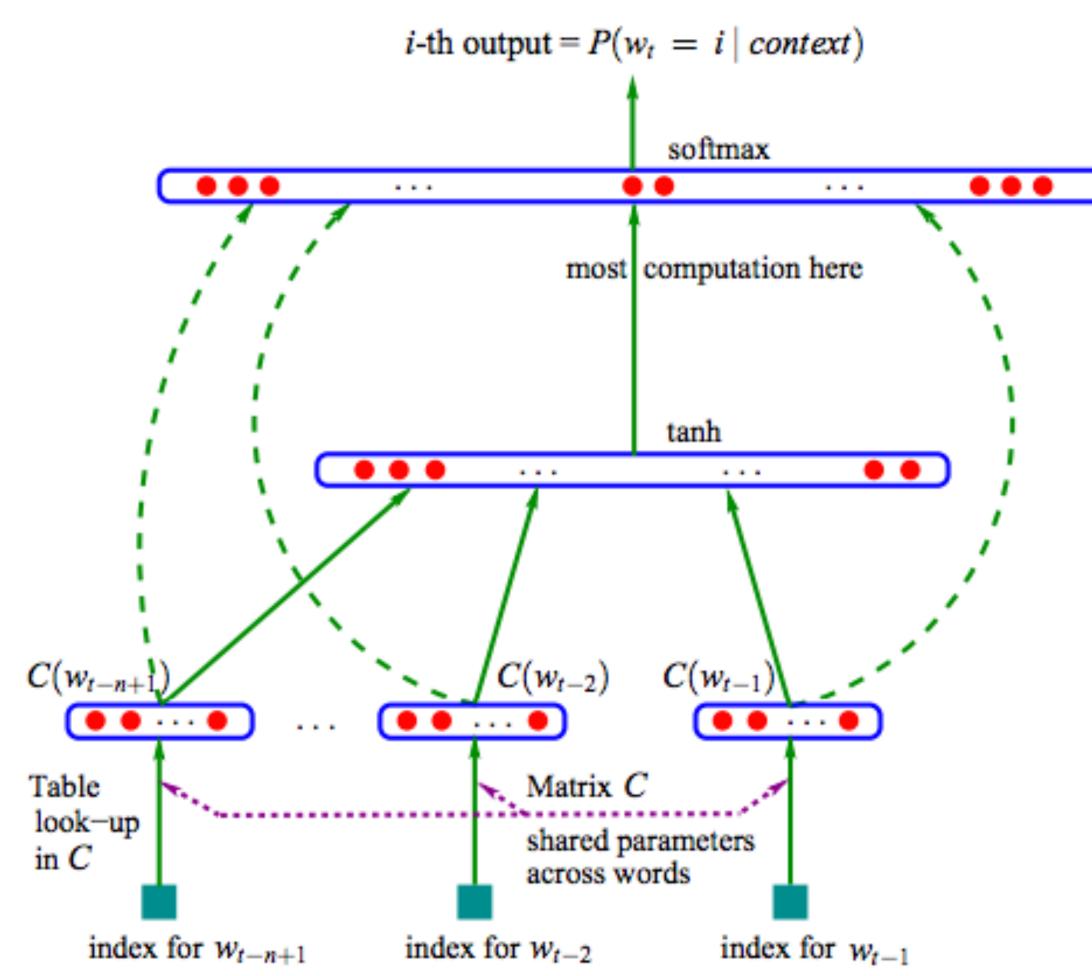
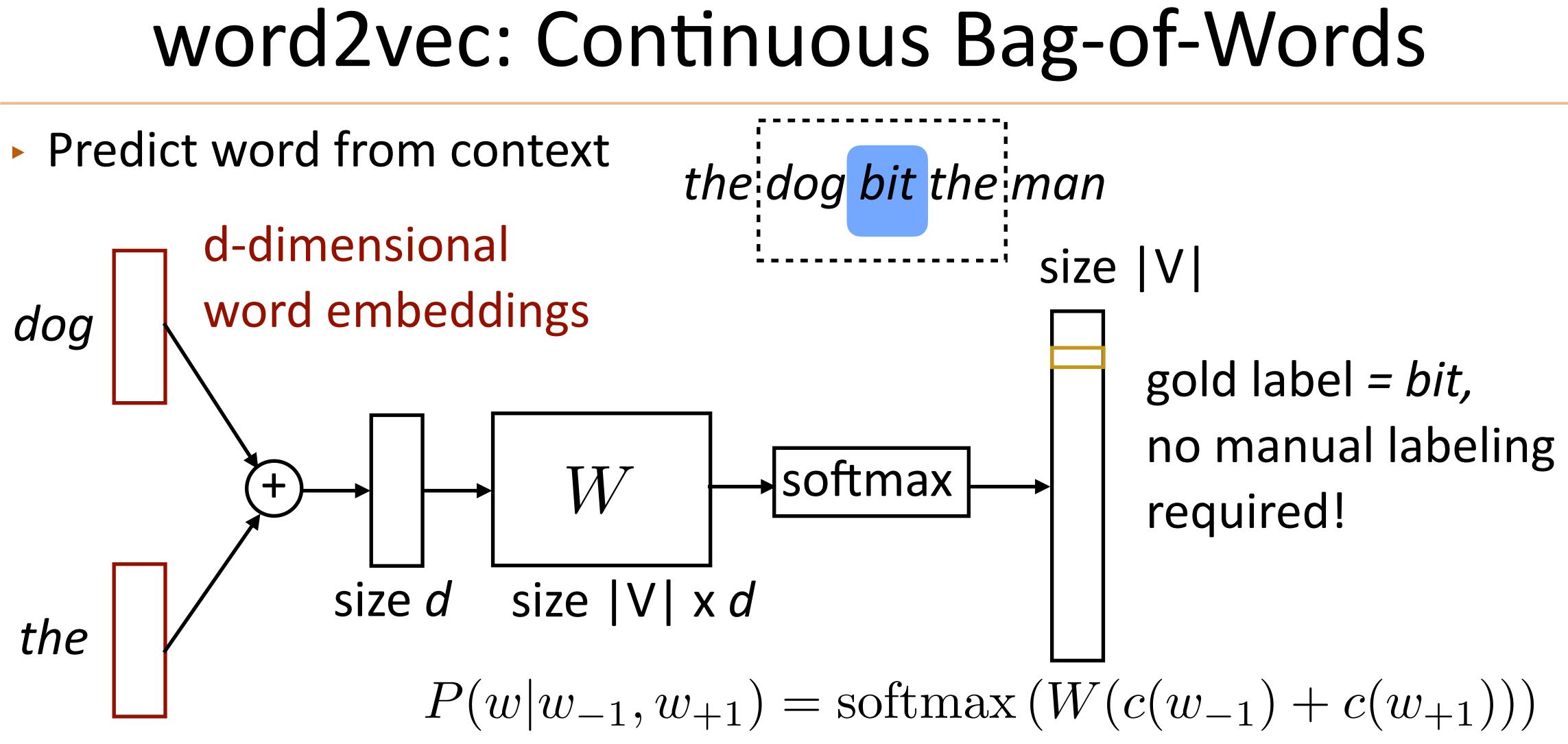


Figure 1: Neural architecture:  $f(i, w_{t-1}, \dots, w_{t-n+1}) = g(i, C(w_{t-1}), \dots, C(w_{t-n+1}))$  where g is the neural network and C(i) is the *i*-th word feature vector. Bengio et al. (2003)







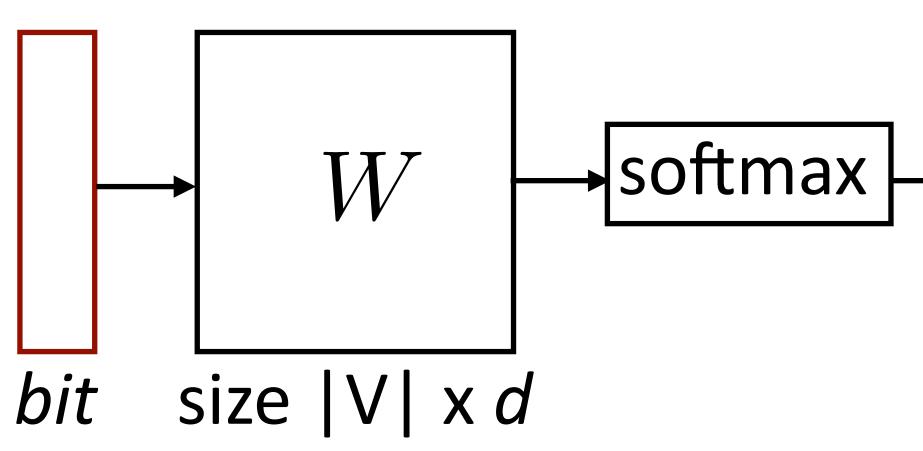
Parameters: d x |V| (one d-length context vector per voc word), |V| x d output parameters (W) Mikolov et al. (2013)



### word2vec: Skip-Gram

Predict one word of context from word

d-dimensional word embeddings



- Another training example: bit -> the
- Parameters: d x |V| vectors, |V| x d output parameters (W) (also usable as vectors!)

the dog bit the man

gold label = dog

 $P(w'|w) = \operatorname{softmax}(We(w))$ 

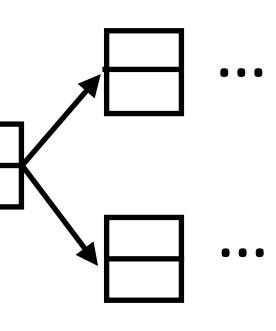
Mikolov et al. (2013)



### Hierarchical Softmax

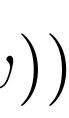
 $P(w|w_{-1}, w_{+1}) = \operatorname{softmax} (W(c(w_{-1}) + c(w_{+1}))) \qquad P(w'|w) = \operatorname{softmax} (We(w))$ Matmul + softmax over |V| is very slow to compute for CBOW and SG

Standard softmax: Hierarchical softmax: O(|V|) dot products of size d O(log(|V|)) dot products of size d, - per training instance per Mikolov et al. (2013) context word



- Huffman encode vocabulary, use binary classifiers to decide which branch to take
- the log(|V|) binary decisions

http://building-babylon.net/2017/08/01/hierarchical-softmax/



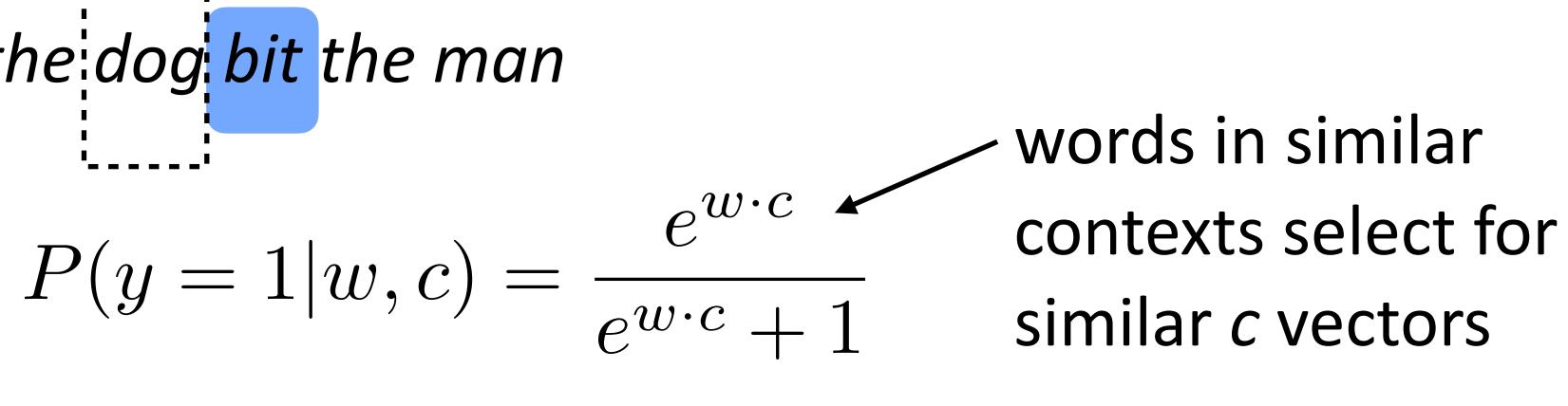


# Skip-Gram with Negative Sampling

(*bit, the*) => +1 the dog bit the man (*bit, cat*) => -1 (bit, a) => -1(*bit, fish*) => -1

- Objective =  $\log P(y = 1 | w, c)$  –

Take (word, context) pairs and classify them as "real" or not. Create random negative examples by sampling from unigram distribution



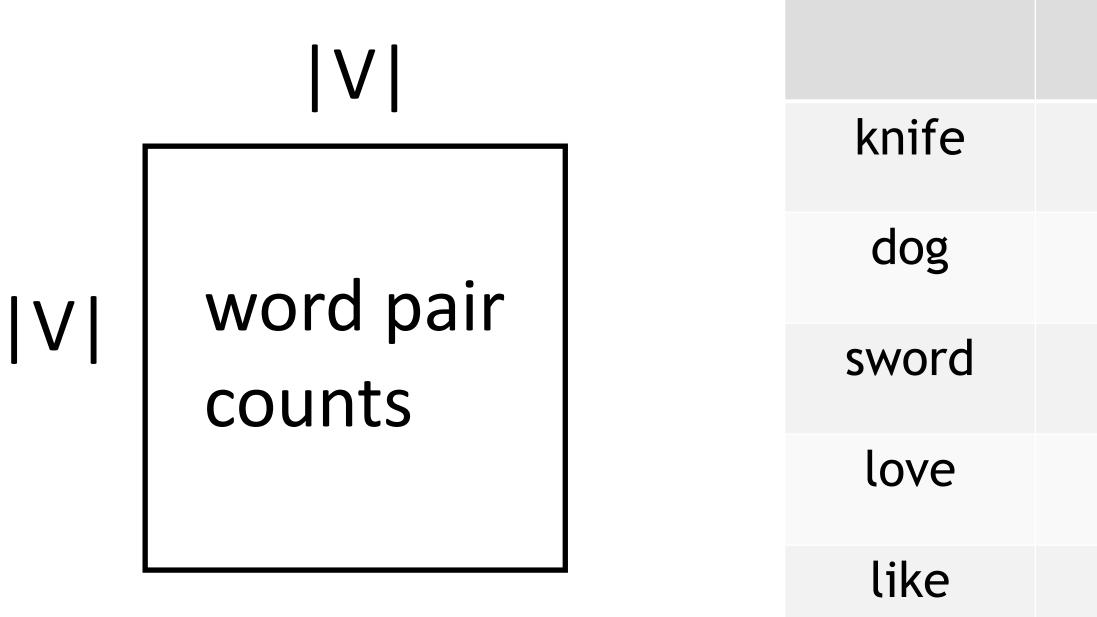
•  $d \ge |V|$  vectors,  $d \ge |V|$  context vectors (same # of params as before)

$$\sum_{i=1}^{k} \log P(y = 0 | w_i, c)$$
  
Mikolov et al. (2013)



### **Connections with Matrix Factorization**

types of vectors

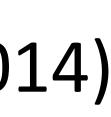


Skip-gram model looks at word-word co-occurrences and produces two

knife	dog	sword	love	like
0	1	6	5	5
1	0	5	5	5
6	5	0	5	5
5	5	5	0	5
5	5	5	5	2

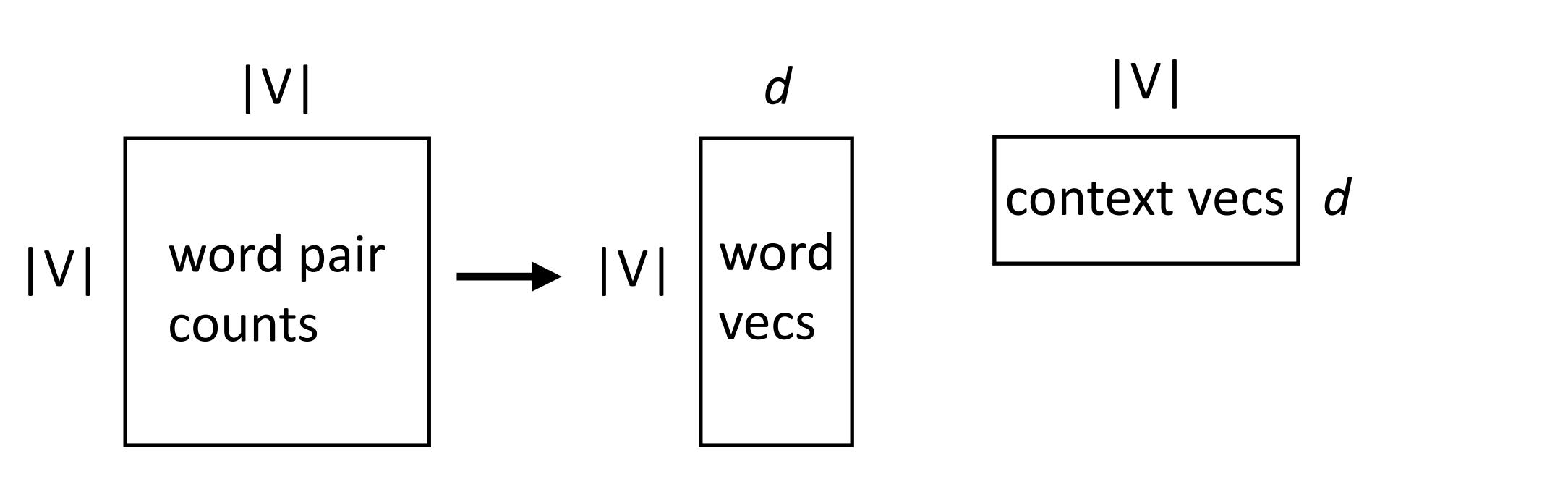
Two words are "similar" in meaning if their context vectors are similar. Similarity == relatedness

Levy et al. (2014)



### **Connections with Matrix Factorization**

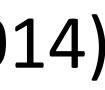
types of vectors

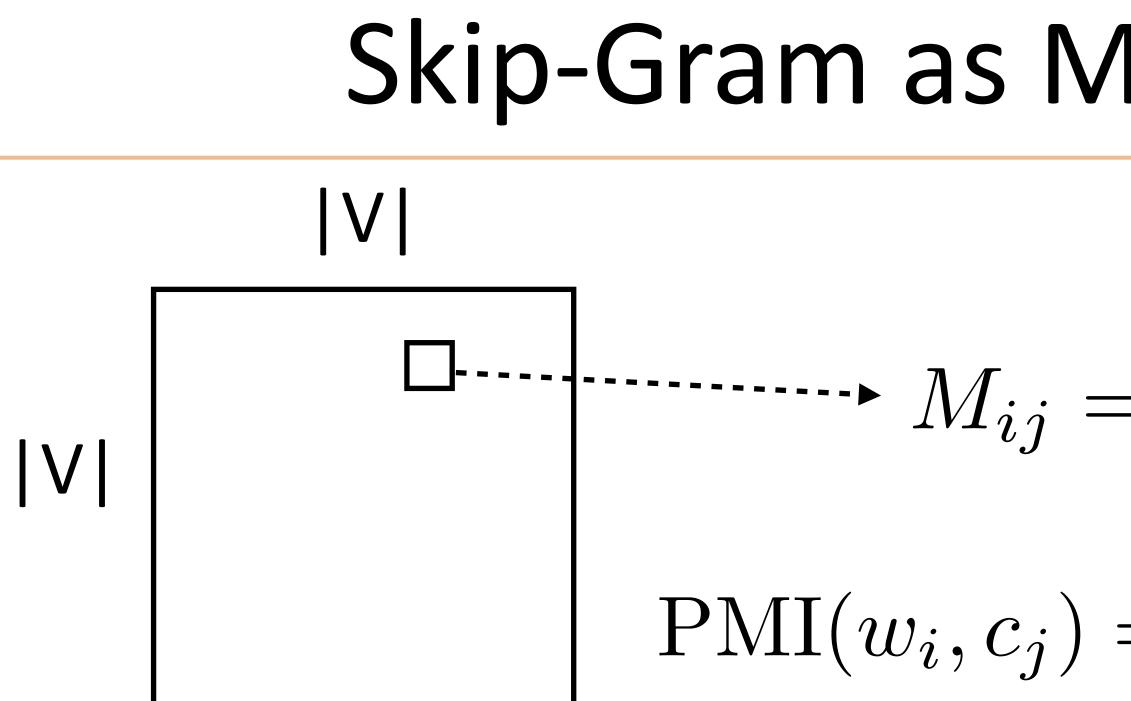


Skip-gram model looks at word-word co-occurrences and produces two

Looks almost like a matrix factorization...can we interpret it this way?

Levy et al. (2014)





Skip-gram objective *exactly* corresponds to factoring this matrix:

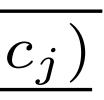
- If we sample negative examples from the unigram distribution over words
- ...and it's a weighted factorization problem (weighted by word freq)

### Skip-Gram as Matrix Factorization

num negative samples

$$= \operatorname{PMI}(w_i, c_j) - \log k$$
$$= \frac{P(w_i, c_j)}{P(w_i)P(c_j)} = \frac{\frac{\operatorname{count}(w_i, c_j)}{D}}{\frac{\operatorname{count}(w_i)}{D} \frac{\operatorname{count}(w_i)}{D}}$$

Levy et al. (2014)

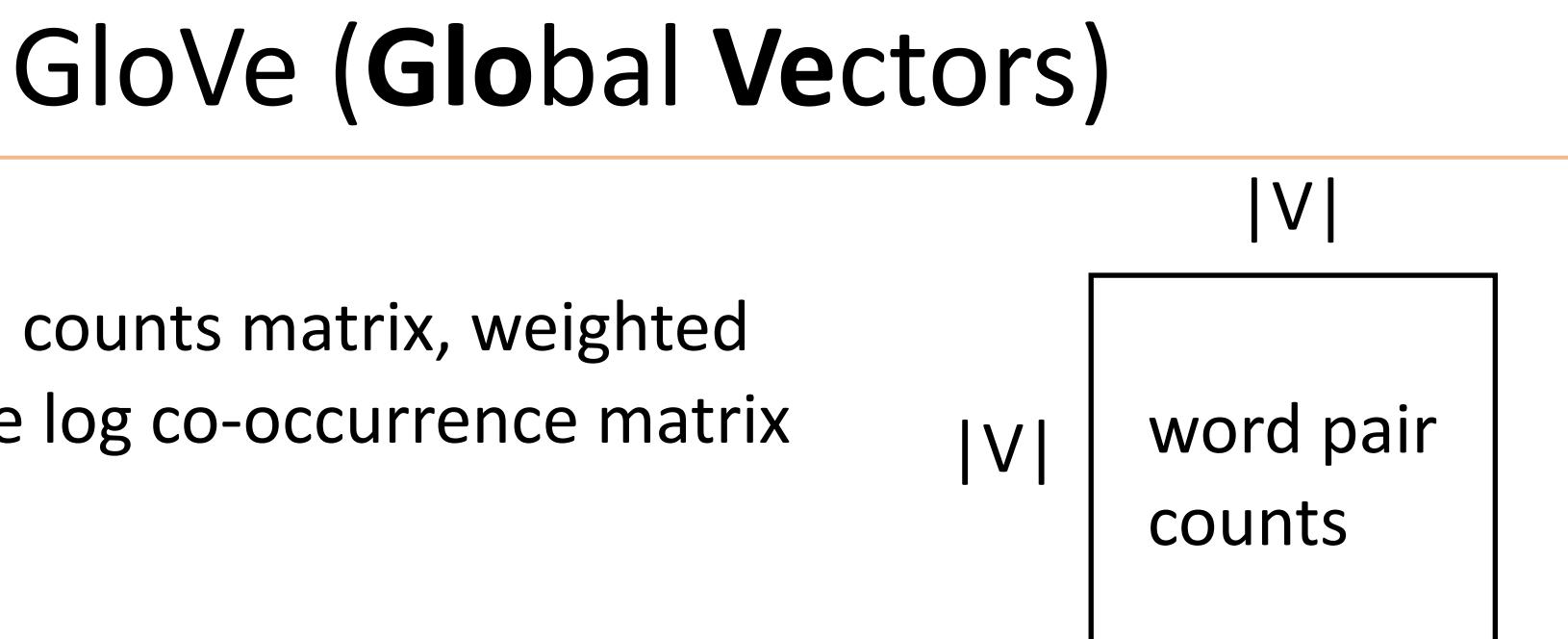






Also operates on counts matrix, weighted regression on the log co-occurrence matrix

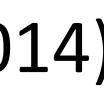
- Objective =  $\sum f(\operatorname{count}(w_i, c_j))$
- By far the most common non-contextual word vectors used today (10000 + citations)



$$(w_i^{\top}c_j + a_i + b_j - \log \operatorname{count}(w_i, c_j))$$

Constant in the dataset size (just need counts), quadratic in voc size

Pennington et al. (2014)





# Using Word Embeddings

- Approach 1 (from scratch): learn embeddings as parameters from your data
  - Often works pretty well
- Faster because no need to update these parameters
- Approach 2 (freeze): initialize using GloVe/word2vec/ELMo, keep fixed Approach 3 (fine-tune): initialize using GloVe/BERT, fine-tune on your data
  - Works best for some tasks, not used for ELMo, often used for BERT



# NER in Twitter

### Brown clusters

2m 2ma 2mar 2mara 2maro 2marrow 2mor 2mora 2moro 2morow 2morr 2morro 2morrow 2moz 2mr 2mro 2mrrw 2mrw 2mw tmmrw tmo tmoro tmorrow tmoz tmr tmro tmrow tmrrow tmrrw tmrw tmrw tmw tomaro tomarow tomarro tomarrow tomm tommarow tommarrow tommoro tommorow tommorrow tommorw tommrow tomo tomolo tomoro tomorow tomorro tomorrw tomoz tomrw tomz

### Ritter et al. (2011)

_	System	Fin10Dev	Rit11	Fro14	Avg
Word2vec	CoNLL	27.3	27.1	29.5	28.0
	+ Brown	38.4	39.4	42.5	40.1
Both ~	+ Vector	40.8	40.4	42.9	41.4
	+ Reps	42.4	42.2	46.2	43.6
	Fin10	36.7	29.0	30.4	32.0
	+ Brown	59.9	53.9	56.3	56.7
	+ Vector	61.5	56.4	58.4	58.8
	+ Reps	64.0	58.5	60.2	60.9
	CoNLL+Fin10	44.7	39.9	44.2	42.9
	+ Brown	54.9	52.9	58.5	55.4
	+ Vector	58.9	55.2	59.9	58.0
	+ Reps	58.9	56.4	61.8	59.0
	+ Weights	64.4	59.6	63.3	62.4

Table 5: Impact of our components on Twitter NER performance, as measured by F1, under 3 data scenarios.

Cherry & Guo (2015)

### Visualization

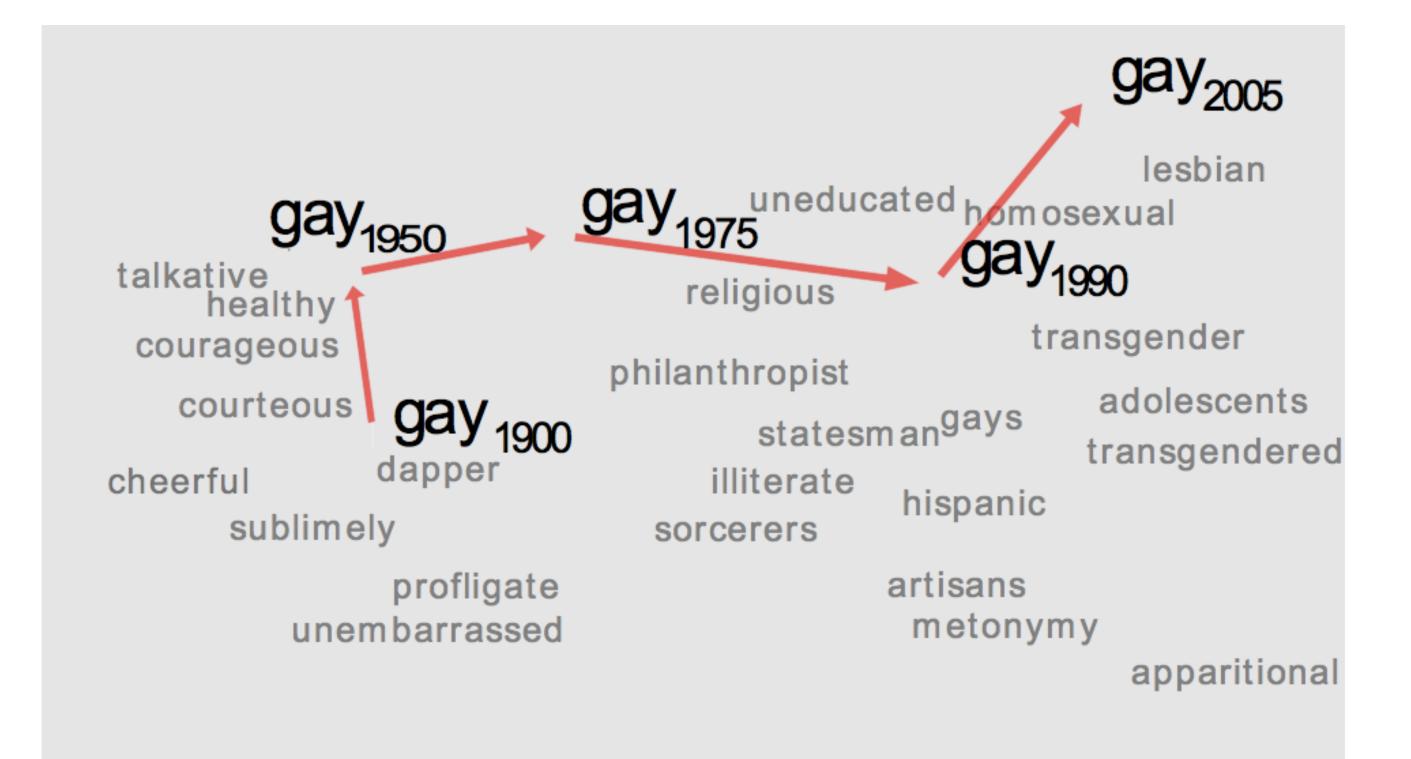


Figure 1: A 2-dimensional projection of the latent semantic space captured by our algorithm. Notice the semantic trajectory of the word gay transitioning meaning in the space.

### Kulkarni et al. (2015)

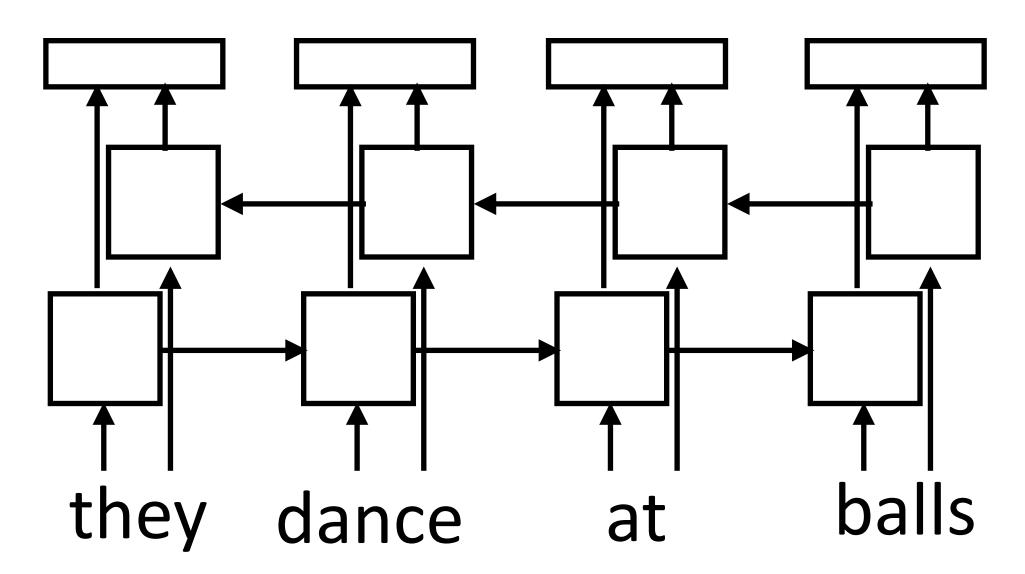


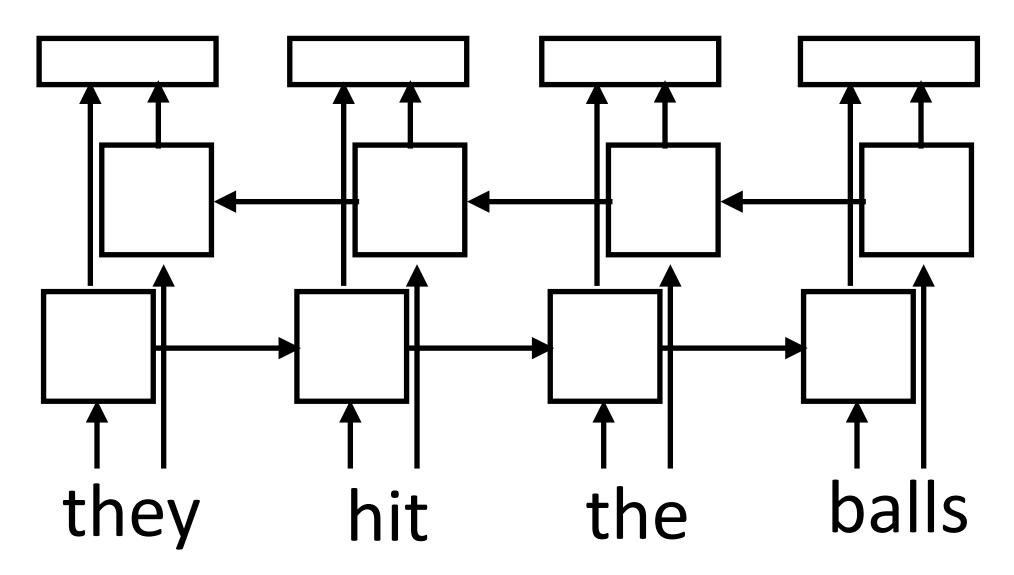
- Word vectors: learning word -> context mappings has given way to matrix factorization approaches (constant in dataset size)
- Lots of pretrained embeddings work well in practice, they capture some desirable properties
- Even better: context-sensitive word embeddings (ELMo/BERT/etc.) will talk later in the semester
- Next time: sequence modeling, HMM, ...



# Preview: Context-dependent Embeddings

How to handle different word senses? One vector for balls





Train a neural language model to predict the next word given previous words in the sentence, use its internal representations as word vectors Context-sensitive word embeddings: depend on rest of the sentence Huge improvements across nearly all NLP tasks over word2vec & GloVe

Peters et al. (2018)

