

# Large Language Models (part 5)

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(Some slides from Tarek Naous, Tatsunori Hashimoto)

# (Recap) LLaMA

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- ▶ Transformer variations that have been used in different LLMs
- ▶ Pre-normalization layer using RMSNorm
- ▶ SwiGLU activation function — combines Swish and Gated Linear Unit (GLU), also used in Google's PaLM model
- ▶ Rotary positional embeddings (RoPE)
- ▶ AdamW Optimizer

# More Recently

2025/01



## DeepSeek-R1: Incentivizing Reasoning Capability in LLMs via Reinforcement Learning

DeepSeek-AI  
research@deepseek.com

2025/03



2025-03-12

## Gemma 3 Technical Report

Gemma Team, Google DeepMind<sup>1</sup>

We introduce Gemma 3, a multimodal addition to the Gemma family of lightweight open models, ranging in scale from 1 to 27 billion parameters. This version introduces vision understanding abilities, a wider coverage of languages and longer context – at least 128K tokens. We also change the architecture of the model to reduce the KV-cache memory that tends to explode with long context. This is achieved by increasing the ratio of local to global attention layers, and keeping the span on local attention short. The Gemma 3 models are trained with distillation and achieve superior performance to Gemma 2 for both pre-trained and instruction finetuned versions. In particular, our novel post-training recipe significantly improves the math, chat, instruction-following and multilingual abilities, making Gemma3-4B-IT competitive with Gemma2-27B-IT and Gemma3-27B-IT comparable to Gemini-1.5-Pro across benchmarks. We release all our models to the community.

2025/05



2025-05-15

## Qwen3 Technical Report

Qwen Team

 <https://huggingface.co/Qwen>  
 <https://modelscope.cn/organization/qwen>  
 <https://github.com/QwenLM/Qwen3>

### Abstract

In this work, we present Qwen3, the latest version of the Qwen model family. Qwen3 comprises a series of large language models (LLMs) designed to advance performance, efficiency, and multilingual capabilities. The Qwen3 series includes models of both dense and Mixture-of-Expert (MoE) architectures, with parameter scales ranging from 0.6 to 235 billion. A key innovation in Qwen3 is the integration of thinking mode (for complex, multi-step reasoning) and non-thinking mode (for rapid, context-driven responses) into a unified framework. This eliminates the need to switch between different models—such as chat-optimized models (e.g., GPT-4o) and dedicated reasoning models (e.g., QwQ-32B)—and enables dynamic mode switching based on user queries or chat templates. Meanwhile, Qwen3 introduces a thinking budget mechanism, allowing users to allocate computational resources adaptively during inference, thereby balancing latency and performance based on task complexity. Moreover, by leveraging the knowledge from the flagship models, we significantly reduce the computational resources required to build smaller-scale models, while ensuring their highly competitive performance. Empirical evaluations demonstrate that Qwen3 achieves state-of-the-art results across diverse benchmarks, including tasks in code generation, mathematical reasoning, agent tasks, etc., competitive against larger MoE models and proprietary models. Compared to its predecessor Qwen2.5, Qwen3 expands multilingual support from 29 to 119 languages and dialects, enhancing global accessibility through improved cross-lingual understanding and generation capabilities. To facilitate reproducibility and community-driven research and development, all Qwen3 models are publicly accessible under Apache 2.0.

# What are being used?

- ▶ There are many architectural variations.
- ▶ This is an evolving field; a lot of empirical analysis is going into identifying best practices.

Aa Name	Has pa...	Link	# Year	Tokenizer type	# Vocab count	Norm	Parallel Layer	Pre-norm	Position embedding	Activations	MoE	# MLP factor	# num_layers	# model_dim
Original transformer	Yes	<a href="https://arxiv.org/abs/1706.03762">arxiv.org/abs/1706.03762</a>	2017	BPE	37000	LayerNorm	Serial	<input type="checkbox"/>	Sine	ReLU	<input type="checkbox"/>	4	6	
GPT	Yes	<a href="https://cdn.openai.com/res/er.pdf">cdn.openai.com/res/er.pdf</a>	2018	BPE	40257	LayerNorm	Serial	<input type="checkbox"/>	Absolute	GeLU	<input type="checkbox"/>	4	12	
GPT2	Yes	<a href="https://cdn.openai.com/bet/ers.pdf">cdn.openai.com/bet/ers.pdf</a>	2019	BPE	50257	LayerNorm	Serial	<input checked="" type="checkbox"/>	Sine	GeLU	<input type="checkbox"/>	4	48	
T5 (11B)	Yes	<a href="https://arxiv.org/abs/1910.10683">arxiv.org/abs/1910.10683</a>	2019	SentencePiece	32128	RMSNorm	Serial	<input checked="" type="checkbox"/>	Relative	ReLU	<input type="checkbox"/>	64	24	
GPT3 (175B)	Yes	<a href="https://arxiv.org/abs/2005.14165">arxiv.org/abs/2005.14165</a>	2020	BPE	50257	LayerNorm	Serial	<input checked="" type="checkbox"/>	Sine	GeLU	<input type="checkbox"/>	4	96	
mT5	Yes	<a href="https://arxiv.org/abs/2005.11934">arxiv.org/abs/2005.11934</a>	2020	SentencePiece	250000	RMSNorm	Serial	<input checked="" type="checkbox"/>	Relative	GeGLU	<input type="checkbox"/>	2.5	24	
T5 (XXL 11B) v1.1	Kind of	<a href="https://github.com/google-research/t5/blob/main/t5_model_configs.md#t5-xxl-11b-v1.1">github.com/goo...d#t511</a>	2020	SentencePiece	32128	RMSNorm	Serial	<input checked="" type="checkbox"/>	Relative	GeGLU	<input type="checkbox"/>	2.5	24	
Gopher (280B)	Yes	<a href="https://arxiv.org/abs/2105.11446">arxiv.org/abs/2105.11446</a>	2021	SentencePiece	32000	RMSNorm	Serial	<input checked="" type="checkbox"/>	Relative	ReLU	<input type="checkbox"/>	4	80	
Anthropic LM (not claude)	Yes	<a href="https://arxiv.org/abs/2109.00861">arxiv.org/abs/2109.00861</a>	2021	BPE	65536			<input checked="" type="checkbox"/>			<input type="checkbox"/>	4	64	
LaMDA	Yes	<a href="https://arxiv.org/abs/2108.08239">arxiv.org/abs/2108.08239</a>	2021	BPE	32000			<input checked="" type="checkbox"/>	Relative	GeGLU	<input type="checkbox"/>	8	64	
GPTJ	Kind of	<a href="https://huggingface.co/EleutherAI/gpt-j-6b">huggingface.co/Ele...t-j-6b</a>	2021	BPE	50257	LayerNorm	Parallel	<input checked="" type="checkbox"/>	RoPE	GeLU	<input type="checkbox"/>		28	
Chinchilla	Yes	<a href="https://arxiv.org/abs/2205.15556">arxiv.org/abs/2205.15556</a>	2022	SentencePiece	32000	RMSNorm	Serial	<input checked="" type="checkbox"/>	Relative	ReLU	<input type="checkbox"/>	4	80	
PaLM (540B)	Yes	<a href="https://arxiv.org/abs/2204.02311">arxiv.org/abs/2204.02311</a>	2022	SentencePiece	256000	RMSNorm	Parallel	<input checked="" type="checkbox"/>	RoPE	SwiGLU	<input type="checkbox"/>	4	118	
OPT (175B)	Yes	<a href="https://arxiv.org/abs/2206.01068">arxiv.org/abs/2206.01068</a>	2022	BPE	50272	LayerNorm	Serial	<input checked="" type="checkbox"/>	Absolute	ReLU	<input type="checkbox"/>	4	96	
BLOOM (175B)	Yes	<a href="https://arxiv.org/abs/2207.05100">arxiv.org/abs/2207.05100</a>	2022	BPE	250680	LayerNorm	Serial	<input checked="" type="checkbox"/>	Alibi	GeLU	<input type="checkbox"/>	4	70	
GPT-NeoX	Yes	<a href="https://arxiv.org/pdf/2204.045.pdf">arxiv.org/pdf/2204.045.pdf</a>	2022	BPE	50257	LayerNorm	Parallel	<input checked="" type="checkbox"/>	RoPE	GeLU	<input type="checkbox"/>	4	44	
GPT4	<input type="checkbox"/> OPEN	<a href="https://arxiv.org/abs/2303.08774">arxiv.org/abs/2303.08774</a>	2023	BPE	100000			<input type="checkbox"/>			<input type="checkbox"/>			
LLaMA (65B)	Yes	<a href="https://arxiv.org/abs/2302.13971">arxiv.org/abs/2302.13971</a>	2023	BPE	32000	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU	<input type="checkbox"/>	2.6875	80	
LLaMA2 (70B)	Yes	<a href="https://arxiv.org/abs/2307.09288">arxiv.org/abs/2307.09288</a>	2023	BPE	32000	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU	<input type="checkbox"/>	3.5	80	
Mistral (7B)	Yes	<a href="https://arxiv.org/abs/2307.06825">arxiv.org/abs/2307.06825</a>	2023	BPE	32000	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU	<input type="checkbox"/>	3.5	32	

Image Credit: Tatsu Hashimoto

# What are being used? Converging?

Name	#	Year	Norm	Parallel Layer	Pre-norm	Position embedding	Activations
Original transformer		2017	LayerNorm	Serial	<input type="checkbox"/>	Sine	ReLU
GPT		2018	LayerNorm	Serial	<input type="checkbox"/>	Absolute	GeLU
T5 (11B)		2019	RMSNorm	Serial	<input checked="" type="checkbox"/>	Relative	ReLU
GPT2		2019	LayerNorm	Serial	<input checked="" type="checkbox"/>	Absolute	GeLU
T5 (XXL 11B) v1.1		2020	RMSNorm	Serial	<input checked="" type="checkbox"/>	Relative	GeGLU
mT5		2020	RMSNorm	Serial	<input checked="" type="checkbox"/>	Relative	GeGLU
GPT3 (175B)		2020	LayerNorm	Serial	<input checked="" type="checkbox"/>	Absolute	GeLU
GPTJ		2021	LayerNorm	Parallel	<input checked="" type="checkbox"/>	RoPE	GeLU
LaMDA		2021			<input checked="" type="checkbox"/>	Relative	GeGLU
Anthropic LM (not claude)		2021			<input checked="" type="checkbox"/>		
Gopher (280B)		2021	RMSNorm	Serial	<input checked="" type="checkbox"/>	Relative	ReLU
GPT-NeoX		2022	LayerNorm	Parallel	<input checked="" type="checkbox"/>	RoPE	GeLU
BLOOM (175B)		2022	LayerNorm	Serial	<input checked="" type="checkbox"/>	Alibi	GeLU
OPT (175B)		2022	LayerNorm	Serial	<input checked="" type="checkbox"/>	Absolute	ReLU
PaLM (540B)		2022	RMSNorm	Parallel	<input checked="" type="checkbox"/>	RoPE	SwiGLU
Chinchilla		2022	RMSNorm	Serial	<input checked="" type="checkbox"/>	Relative	ReLU
Mistral (7B)		2023	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU
LLaMA2 (70B)		2023	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU
LLaMA (65B)		2023	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU
GPT4		2023			<input type="checkbox"/>		
Baichuan 2		2023	RMSNorm	Serial	<input checked="" type="checkbox"/>	Alibi	SwiGLU
Olmo 2		2024	RMSNorm	Serial	<input type="checkbox"/>	RoPE	SwiGLU
Gemma 2 (27B)		2024	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	GeGLU
Nemotron-4 (340B)		2024	LayerNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SqRelu
Qwen 2 (72b) - same for 2.5		2024	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU
Falcon 2 11B		2024	LayerNorm	Parallel	<input checked="" type="checkbox"/>	RoPE	GeLU
Phi3 (small) - same for phi4		2024	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	GeGLU
Llama 3 (70B)		2024	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU
Reka Flash		2024	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU
Command R+		2024	LayerNorm	Parallel	<input checked="" type="checkbox"/>	RoPE	SwiGLU
OLMo		2024	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU
Qwen (14B)		2024	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU
DeepSeek (67B)		2024	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU
Yi (34B)		2024	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU
Mixtral of Experts		2024			<input type="checkbox"/>		
Command A		2025	LayerNorm	Parallel	<input checked="" type="checkbox"/>	Hybrid (RoPE+NoPE)	SwiGLU
Gemma 3		2025	RMSNorm	Serial	<input type="checkbox"/>	RoPE	GeGLU
SmolLM2 (1.7B)		2025	RMSNorm	Serial	<input checked="" type="checkbox"/>	RoPE	SwiGLU

- ▶ Pre-norm vs. Post-norm:  
all pre-norm, except Gemma uses both
- ▶ Layer vs. RMSnorm:  
mostly RMSnorm
- ▶ Activation functions:  
all use some sorts of gating \*GLU
- ▶ Position Embeddings:  
all RoPE, except Command A is hybrid

Image Credit: Tatsu Hashimoto

# Tokenization

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- ▶ The non-google world uses BPE. Google uses the SentencePiece library, which (sometimes) refers to a non-BPE subword tokenizer

Model	Tokenizer
Original transformer	BPE
GPT 1/2/3	BPE
T5 / mT5 / T5v1.1	SentencePiece (Unigram)
Gopher/Chinchilla	SentencePiece (??)
PaLM	SentencePiece (??)
LLaMA	BPE

# Tokenization

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## Monolingual models (30-50k vocab)

Model	Token count
Original transformer	37000
GPT	40257
GPT2/3	50257
T5/T5v1.1	32128
LLaMA	32000

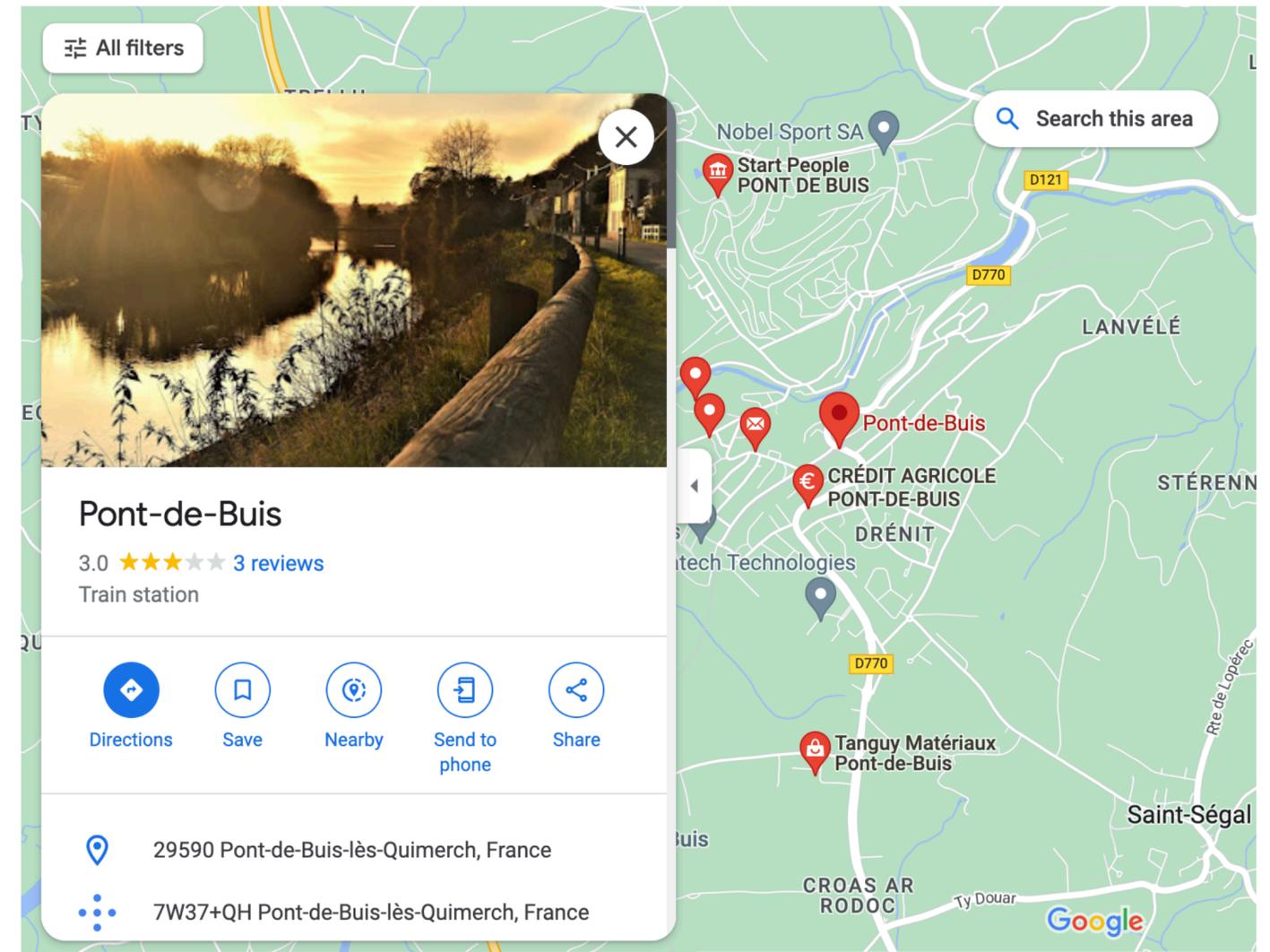
## Multilingual / Production Systems (100-250k vocab)

Model	Token count
mT5	250000
PaLM	256000
GPT4	100276
BLOOM	250680
DeepSeek	100000
Qwen 15B	152064
Yi	64000

# Tokenization

# Rare/Unknown Words

The **ecotax portico** in **Pont-de-Buis**, around which a violent demonstration against the tax took place on Saturday, was taken down on Thursday morning.



# Limitations of Word-based Models

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- ▶ Closed vocabulary (100k-300k words is typical)
  - ▶ Still, there will be out-of-vocabulary (OOV) words

*en:* The ecotax portico in Pont-de-Buis , ... [truncated] ..., was taken down on Thursday morning

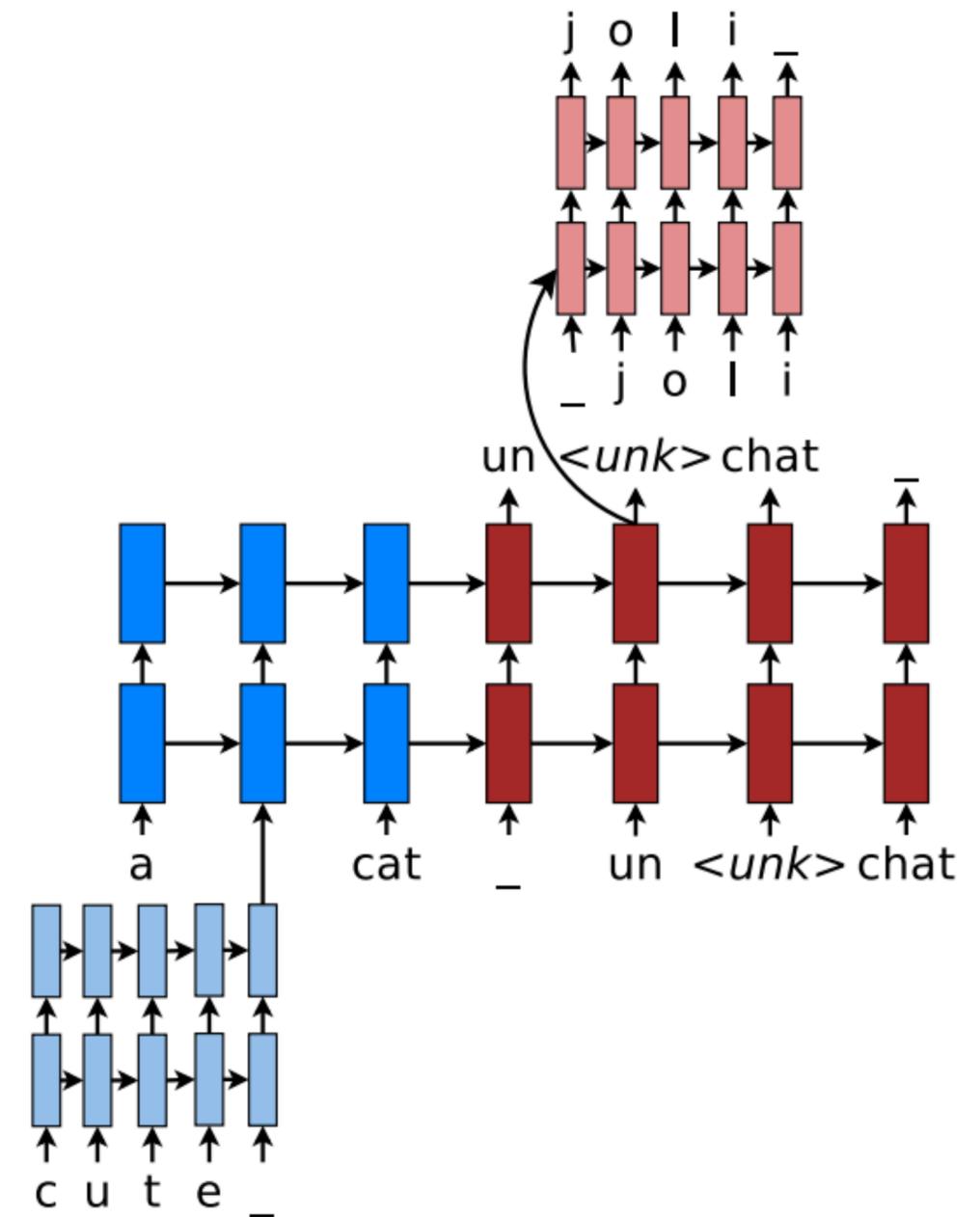
*fr:* Le portique écotaxe de Pont-de-Buis , ... [truncated] ..., a été démonté jeudi matin

*nn:* Le unk de unk à unk , ... [truncated] ..., a été pris le jeudi matin

- ▶ large number of parameters! (e.g., 100k-300k \* 512)
- ▶ for morphologically-rich languages, using a separate vector for each word type is “obviously” not optimal

# Character Models

- ▶ If we predict an *unk* token, generate the results from a character LSTM
- ▶ Can potentially transliterate new concepts, but architecture is more complicated and slower to train
- ▶ Models like this in part contributed to dynamic computation graph frameworks becoming popular



# Character Models

initialization of parameters in  $[-0.1, 0.1]$ , (c) 6-epoch training with plain SGD and a simple learning rate schedule – start with a learning rate of 1.0; after 4 epochs, halve the learning rate every 0.5 epoch, (d) mini-batches are of size 128 and shuffled, (e) the gradient is rescaled whenever its norm exceeds 5, and (f) dropout is used with probability 0.2 according to (Pham et al., 2014). We now detail differences across the three architectures.

**Word-based NMT** – We constrain our source and target sequences to have a maximum length of 50 each; words that go past the boundary are ignored. The vocabularies are limited to the top  $|V|$  most frequent words in both languages. Words not in these vocabularies are converted into  $\langle unk \rangle$ . After translating, we will perform dictionary<sup>5</sup> lookup or identity copy for  $\langle unk \rangle$  using the alignment information from the attention models. Such procedure is referred as the *unk replace* technique (Luong et al., 2015b; Jean et al., 2015a).

**Character-based NMT** – The source and target sequences at the character level are often about 5 times longer than their counterparts in the word-based models as we can infer from the statistics in Table 1. Due to memory constraint in GPUs, we

<sup>5</sup>Obtained from the alignment links produced by the Berkeley aligner (Liang et al., 2006) over the training corpus.

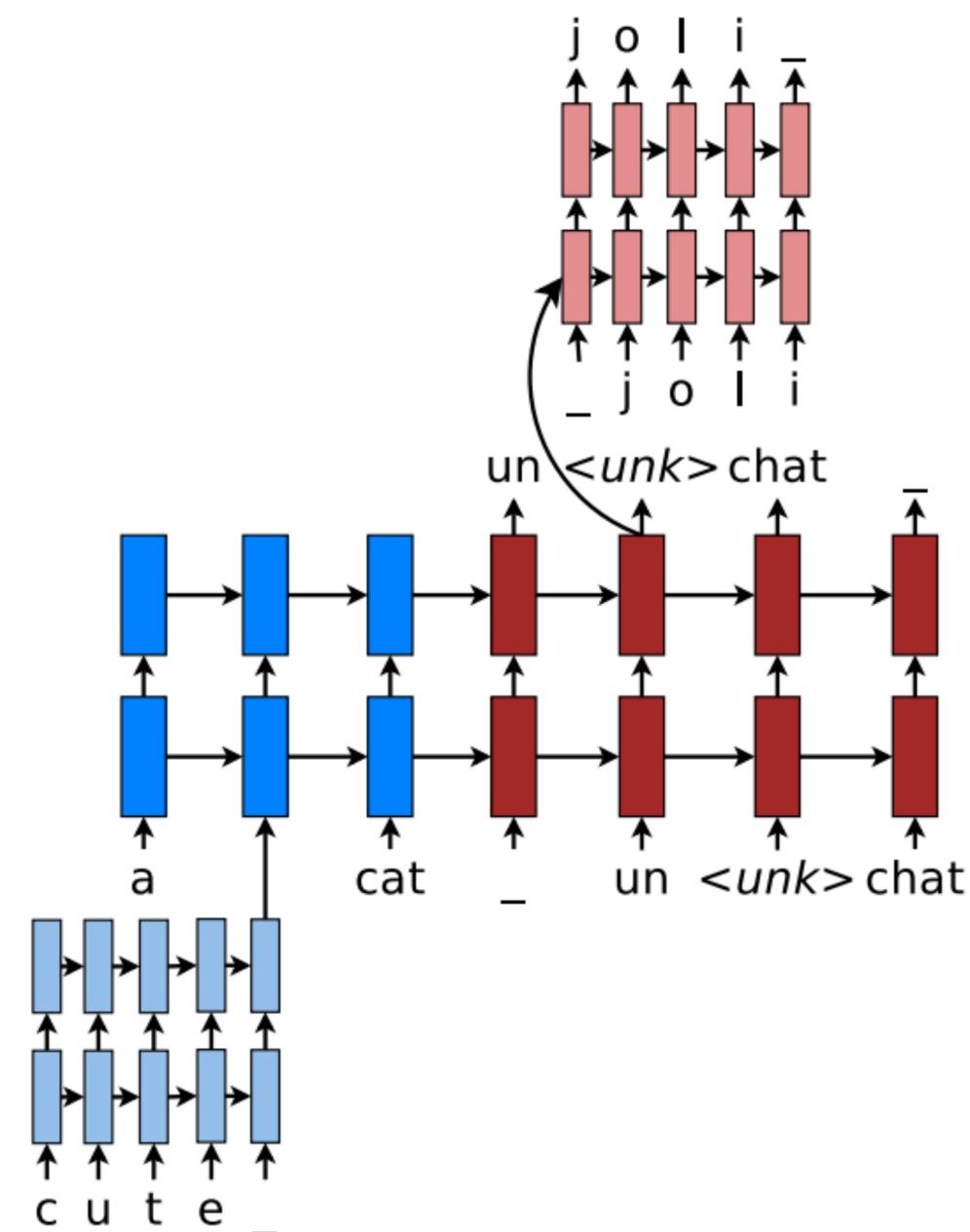
limit our source and target sequences to a maximum length of 150 each, i.e., we backpropagate through at most 300 timesteps from the decoder to the encoder. With smaller 512-dimensional models, we can afford to have longer sequences with up to 600-step backpropagation.

**Hybrid NMT** – The *word-level* component uses the same settings as the purely word-based NMT. For the *character-level* source and target components, we experiment with both shallow and deep 1024-dimensional models of 1 and 2 LSTM layers. We set the weight  $\alpha$  in Eq. (5) for our character-level loss to 1.0.

**Training Time** – It takes about 3 weeks to train a word-based model with  $|V| = 50K$  and about 3 months to train a character-based model. Training and testing for the hybrid models are about 10-20% slower than those of the word-based models with the same vocabulary size.

## 5.3 Results

We compare our models with several strong systems. These include the winning entry in WMT'15, which was trained on a much larger amount of data, 52.6M parallel and 393.0M mono-



# Handling Rare Words

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- ▶ Words are a difficult unit to work with: copying can be cumbersome, word vocabularies get very large
- ▶ Character-level models don't work well
- ▶ Solution: “word pieces” (which may be full words but may be subwords)

Input: *the* | ***eco tax*** | *port i co* | *in* | *Po nt - de - Bu is...*

Output: *le* | *port i que* | ***é co tax e*** | *de* | *Pont - de - Bui s*

- ▶ Can help with transliteration; capture shared linguistic characteristics between languages (e.g., transliteration, shared word root, etc.)

Wu et al. (2016)

# Morphology

# What is morphology?

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- ▶ Study of how words form
- ▶ Derivational morphology: create a new *lexeme* from a base
  - estrangle (v) => estrangement (n)
  - become (v) => unbecoming (adj)
    - ▶ May not be totally regular: enflame => inflammable
- ▶ Inflectional morphology: word is inflected based on its context
  - I become / she becomes
    - ▶ Mostly applies to verbs and nouns



# Morphological Inflection

► In Spanish:

		singular			plural		
		1st person	2nd person	3rd person	1st person	2nd person	3rd person
		<b>yo</b>	<b>tú</b> <b>vos</b>	<b>él/ella/ello</b> <b>usted</b>	<b>nosotros</b> <b>nosotras</b>	<b>vosotros</b> <b>vosotras</b>	<b>ellos/ellas</b> <b>ustedes</b>
indicative	<b>present</b>	llego	llegas <sup>tú</sup> llegás <sup>vos</sup>	llega	llegamos	llegáis	llegan
	<b>imperfect</b>	llegaba	llegabas	llegaba	llegábamos	llegabais	llegaban
	<b>preterite</b>	llegué	llegaste	llegó	llegamos	llegasteis	llegaron
	<b>future</b>	llegaré	llegarás	llegará	llegaremos	llegaréis	llegarán
	<b>conditional</b>	llegaría	llegarías	llegaría	llegaríamos	llegaríais	llegarían

# Noun Inflection

- ▶ Not just verbs either; gender, number, case complicate things

Declension of Kind <span style="float: right;">[hide ▲]</span>					
	singular			plural	
	indef.	def.	noun	def.	noun
nominative	ein	das	Kind	die	Kinder
genitive	eines	des	Kindes, Kinds	der	Kinder
dative	einem	dem	Kind, Kinde <sup>1</sup>	den	Kindern
accusative	ein	das	Kind	die	Kinder

- ▶ Nominative: I/he/she, accusative: me/him/her, genitive: mine/his/hers
- ▶ Dative: merged with accusative in English, shows recipient of something
  - I taught the children <=> Ich unterrichte die Kinder
  - I give the children a book <=> Ich gebe den Kindern ein Buch

# Irregular Inflection

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- ▶ Common words are often irregular
  - ▶ I am / you are / she is
  - ▶ Je suis / tu es / elle est (French)
  - ▶ Soy / está / es (Spanish)
- ▶ Less common words typically fall into some regular *paradigm* — these are somewhat predictable

# Agglutinating Languages

- ▶ Finnish/Hungarian (Finno-Ugric), also Turkish, Tamil/Talugu: what a preposition would do in English is instead part of the verb

Turkish	English
Muvaffak	Successful
Muvaffakiyet	Success
Muvaffakiyetsiz	Unsuccessful ('without success')
Muvaffakiyetsizleş(-mek)	(To) <b>become</b> unsuccessful
Muvaffakiyetsizleştir(-mek)	(To) <b>make one</b> unsuccessful
Muvaffakiyetsizleştirici	<b>Maker of</b> unsuccessful ones
Muvaffakiyetsizleştiricileş(-mek)	(To) <b>become</b> a maker of unsuccessful ones
Muvaffakiyetsizleştiricileştir(-mek)	(To) <b>make one</b> a maker of unsuccessful ones
Muvaffakiyetsizleştiricileştiriver(-mek)	(To) <b>easily/quickly</b> make one a maker of unsuccessful ones
Muvaffakiyetsizleştiricileştiriverebil(-mek)	(To) <b>be able to make</b> one easily/quickly a maker of unsuccessful ones
Muvaffakiyetsizleştiriveremeyebil(-mek)	<b>Not</b> (to) be able to make one easily/quickly a maker of unsuccessful ones
Muvaffakiyetsizleştiriveremeyebilecek	<b>One who is</b> not able to make one easily/quickly a maker of unsuccessful ones
Muvaffakiyetsizleştiriveremeyebilecekler	<b>Those</b> who are not able to make one easily/quickly a maker of unsuccessful ones
Muvaffakiyetsizleştiriveremeyebileceklerimiz	Those who <b>we</b> cannot make easily/quickly a maker unsuccessful ones
Muvaffakiyetsizleştiriveremeyebileceklerimizden	<b>From</b> those we can not easily/quickly make a maker of unsuccessful ones
Muvaffakiyetsizleştiriveremeyebileceklerimizdenmiş	<b>(Would be)</b> from those we can not easily/quickly make a maker of unsuccessful ones
Muvaffakiyetsizleştiriveremeyebileceklerimizdenmişsiniz	<b>You</b> would be from those we can not easily/quickly make a maker of unsuccessful ones
Muvaffakiyetsizleştiriveremeyebileceklerimizdenmişsinizcesine	<b>Like</b> you would be from those we can not easily/quickly make a maker of unsuccessful ones

- ▶ Many possible forms — and in newswire data, only a few are observed

# Morphological Analysis: Hungarian

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But the government does not recommend reducing taxes.

Ám a kormány egyetlen adó csökkentését sem javasolja .

n=singular | case=nominative | proper=no  
deg=positive | n=singular | case=nominative  
n=singular | case=nominative | proper=no  
n=singular | case=accusative | proper=no | pperson=3rd | pnumber=singular  
mood=indicative | t=present | p=3rd | n=singular | def=yes

# 4 main types of morphology

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- ▶ Isolating (1 word = 1 morpheme)
- ▶ Fusional/Inflectional (1 word = 1 root & some morphemes)
- ▶ Agglutinative (1 word = 1 root & many morphemes)
- ▶ Polysynthetic (1 word = 1+ roots & many morphemes)

English	post office
Finnish	postitoimisto
Chinese	邮局

morpheme: the smallest unit of meaning within a word

# Morphologically-Rich Languages

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- ▶ Many languages spoken all over the world have much richer morphology than English
- ▶ CoNLL 2006 / 2007: dependency parsing + morphological analyses for ~15 mostly Indo-European languages
- ▶ SPMRL shared tasks (2013-2014): Syntactic Parsing of Morphologically-Rich Languages
- ▶ Universal Dependencies project (2005-now): >100 languages
- ▶ **Word piece / byte-pair encoding** models for MT are pretty good at handling these if there's enough data

# Universal Dependencies

## ► Over 100 languages

### Current UD Languages

Information about language families (and genera for families with multiple branches) is mostly taken from [WALS Online](http://WALS Online) (IE = Indo-European).

►		Abaza	1	<1K	☰	Northwest Caucasian
►		Afrikaans	1	49K	↩️	IE, Germanic
►		Akkadian	2	25K	📖	Afro-Asiatic, Semitic
►		Akuntsu	1	1K	📖	Tupian, Tupari
►		Albanian	1	<1K	W	IE, Albanian
►		Amharic	1	10K	☁️📖📝	Afro-Asiatic, Semitic
►		Ancient Greek	2	416K	☁️📖	IE, Greek
►		Ancient Hebrew	1	39K	☁️	Afro-Asiatic, Semitic
►		Apurina	1	<1K	📖	Arawakan
►		Arabic	3	1,042K	📖W	Afro-Asiatic, Semitic
►		Armenian	2	94K	📅📖↩️📖📖W	IE, Armenian
►		Assyrian	1	<1K	📖	Afro-Asiatic, Semitic
►		Bambara	1	13K	📖	Mande
►		Basque	1	121K	📖	Basque
►		Beja	1	<1K	☰	Afro-Asiatic, Cushitic
►		Belarusian	1	305K	📖↩️📖📖W	IE, Slavic
►		Bengali	1	<1K	📝	IE, Indic
►		Bhojpuri	1	6K	📖	IE, Indic
►		Breton	1	10K	📖📖📖W	IE, Celtic
►		Bulgarian	1	156K	📖↩️	IE, Slavic
►		Buryat	1	10K	📖📖	Mongolic
►		Cantonese	1	13K	☰	Sino-Tibetan
►		Catalan	1	553K	📖	IE, Romance
►		Cebuano	1	1K	📝	Austronesian, Central Philippine
►		Chinese	6	287K	📖↩️📖☰	Sino-Tibetan
►		Chukchi	1	6K	☰	Chukotko-Kamchatkan
►		Classical Chinese	1	310K	📖	Sino-Tibetan

<https://universaldependencies.org/>

# Challenges of Chinese

---

- ▶ Thousands of characters! >80K

事得真对看见加更多少  
男女几各谁找子字那哪  
说着位把吧难来站每起  
被只都做已长行等再以  
所后分种将很而数天无  
吗家可件里最回万能爱  
时也还出去到他性就部  
新市与内本地这此建全  
一二三四五六十个次元  
用之要好了年月日为名  
不在于前者会号我和你  
的人上中下大小是没有

Subwords

# Subwords

gpt-4o

Token count  
155

The Taj Mahal (/ˌtɑːdʒ məˈhɑːl, ˌtɑːʒ -/ TAHJ mə-HAH L, TAHZH -; Hindustani: [tɑːdʒ ˈmɛɦ(ɛ)l]; lit. 'Crown of the Palace') is an ivory-white marble mausoleum on the right bank of the river Yamuna in Agra, Uttar Pradesh, India. It was commissioned in 1631 by the fifth Mughal emperor, Shah Jahan (r.1628–1658), to house the tomb of his beloved wife, Mumtaz Mahal; it also houses the tomb of Shah Jahan himself.

976, 87935, 162826, 96416, 135, 234, 83, 123874, 135, 238, 67, 134, 240, 31773, 135, 230, 71, 123874, 135, 238, 75, 11, 146774, 234, 83, 123874, 135, 238, 134, 240, 533, 14, 353, 29553, 41, 31773, 12, 12686, 22144, 11, 353, 29553, 127516, 533, 140605, 26, 63926, 570, 3048, 25, 723, 1524, 135, 238, 67, 134, 240, 146774, 230, 76, 14887, 133, 99, 7, 14887, 8, 75, 11464, 11980, 13, 29106, 194117, 7352, 328, 290, 39569, 1542, 382, 448, 131584, 25280, 60584, 148587, 169818, 402, 290, 1849, 6922, 328, 290, 20608, 53089, 4024, 306, 5582, 614, 11, 151598, 63318, 11, 8405, 13, 1225, 673, 73473, 306, 220, 17666, 16, 656, 290, 29598, 65480, 5512, 103767, 11, 65850, 643, 10712, 350, 81, 13, 29106, 18694, 23, 1585, 16461, 23, 936, 316, 4276, 290, 40089, 328, 1232, 37497, 11527, 11, 87718, 83, 1071, 162826, 26, 480, 1217, 20327, 290, 40089, 328, 65850, 643, 10712, 11166, 13, 220



gpt-4o

Token count  
80

ताजमहल (उर्दू: تاج محل) भारत के उत्तर प्रदेश राज्य के आगरा शहर में स्थित एक विश्व धरोहर मक़बरा , और विश्व के ७ अजूबों में से एक है। इसका निर्माण १७वीं सदी में मुग़ल सम्राट शाहजहाँ ने अपनी पत्नी मुमताज़ महल की याद में करवाया था।

1329, 8353, 125948, 1455, 350, 16636, 52183, 2796, 25, 174780, 80625, 8, 29292, 2329, 64915, 45359, 47550, 2329, 53510, 21123, 59644, 3342, 74202, 6298, 41841, 13961, 23547, 15524, 147113, 5992, 3188, 21123, 1366, 5034, 41841, 2329, 220, 30961, 183451, 105925, 3824, 3342, 4291, 6298, 2487, 1670, 71751, 58826, 220, 170984, 152701, 1263, 25589, 3342, 34285, 2321, 5992, 1455, 7434, 2062, 17332, 137470, 74742, 14556, 6330, 27981, 111591, 1559, 20762, 1329, 196863, 13861, 1455, 4042, 61473, 3342, 151779, 18546, 14521, 1670

# Byte Pair Encoding (BPE)

---

- ▶ Start with every individual byte (basically character) as its own symbol
- ▶ Count bigram character cooccurrences
- ▶ Merge the most frequent pair of adjacent characters

---

**Algorithm 1** Byte-pair encoding (Sennrich et al., 2016; Gage, 1994)

---

```
1: Input: set of strings  $D$ , target vocab size  $k$ 
2: procedure BPE( $D, k$ )
3:    $V \leftarrow$  all unique characters in  $D$ 
4:     (about 4,000 in English Wikipedia)
5:   while  $|V| < k$  do           ▷ Merge tokens
6:      $t_L, t_R \leftarrow$  Most frequent bigram in  $D$ 
7:      $t_{\text{NEW}} \leftarrow t_L + t_R$    ▷ Make new token
8:      $V \leftarrow V + [t_{\text{NEW}}]$ 
9:     Replace each occurrence of  $t_L, t_R$  in
10:       $D$  with  $t_{\text{NEW}}$ 
11:   end while
12:   return  $V$ 
13: end procedure
```

---

# Training & Inference

---

## Training:

- ▶ Step1. Pre-tokenization
  - ▶ Assumptions and heuristics before encoding (split on white spaces)
- ▶ Step2. Vocabulary Initialization
  - ▶ Initialize with all unique characters after pre-tokenization
- ▶ Step3. Learning Merge Rules
  - ▶ Form sub-words to maximize compression (frequency-based objective)

## Inference:

- ▶ Inference algorithm: decide how to tokenize by applying the merge rules

# An Example

## Merge Rules: Step 1 – Count pair frequencies

K h a b i b \_ A b d u l m a n a p o v i  
c h \_ N u r m a g o m e d o v \_ w a s \_  
b o r n \_ t o \_ a n \_ A v a r \_ f a m i  
l y \_ o n \_ 2 0 \_ S e p t e m b e r \_ 1  
9 8 8 \_ i n \_ t h e \_ v i l l a g e \_ o  
f \_ S i l d i \_ i n \_ t h e \_ T s u m a  
d i n s k y \_ D i s t r i c t \_ o f \_ t  
h e \_ D a g e s t a n \_ A S S R , \_ a n  
\_ a u t o n o m o u s \_ r e p u b l i c  
\_ w i t h i n \_ t h e \_ R u s s i a n \_  
S F S R , \_ S o v i e t \_ U n i o n .

## Token Pair Frequencies

### Pair Frequencies

[What is this?](#)



The most frequent pair is merged into a new token:  $n + \_ \rightarrow n\_$

Note that, in current tokenizers, we do not cross the space boundaries when forming merges

(Though in this visualization tool it does cross boundaries, as you can see from some pair counts)

# An Example

## Merge Rules: Step 2 – Apply merge to corpus

K h a b i b \_ A b d u l m a n a p o v i  
c h \_ N u r m a g o m e d o v \_ w a s \_  
b o r n\_ t o \_ a n\_ A v a r \_ f a m i l y  
\_ o n\_ 2 0 \_ S e p t e m b e r \_ 1 9 8 8  
\_ i n\_ t h e \_ v i l l a g e \_ o f \_ S i  
l d i \_ i n\_ t h e \_ T s u m a d i n s k  
y \_ D i s t r i c t \_ o f \_ t h e \_ D a  
g e s t a n\_ A S S R , \_ a n\_ a u t o n o  
m o u s \_ r e p u b l i c \_ w i t h i n\_  
t h e \_ R u s s i a n\_ S F S R , \_ S o v  
i e t \_ U n i o n .

The past merge is first applied to the corpus:  $n + \_ \rightarrow n\_$

# An Example

## Merge Rules: Step 3 – Count pair frequencies again

K h a b i b \_ A b d u l m a n a p o v i  
c h \_ N u r m a g o m e d o v \_ w a s \_  
b o r n \_ t o \_ a n \_ A v a r \_ f a m i l y  
\_ o n \_ 2 0 \_ S e p t e m b e r \_ 1 9 8 8  
\_ i n \_ t h e \_ v i l l a g e \_ o f \_ S i  
l d i \_ i n \_ t h e \_ T s u m a d i n s k  
y \_ D i s t r i c t \_ o f \_ t h e \_ D a  
g e s t a n \_ A S S R , \_ a n \_ a u t o n o  
m o u s \_ r e p u b l i c \_ w i t h i n  
t h e \_ R u s s i a n \_ S F S R , \_ S o v  
i e t \_ U n i o n .

## Token Pair Frequencies

### Pair Frequencies

[What is this?](#)



Recompute pair counts on corpus to identify new most frequent pair.

Add the identified pair to the vocabulary as a merge rule.

This is repeatedly done until we reach a desired vocabulary size  $K$ .

# An Example

---

## Inference Algorithm: Apply Merge Rules to Tokenize

### Merge Rules

```
a
b
c
..
y
z
...
b_
...
a b → ab
m e → me
...
h ab → hab
me d → med
...
```

# An Example

---

## Inference Algorithm: Apply Merge Rules to Tokenize

Khabib Nurmagomedov

Merge Rules

a  
b  
c  
..  
y  
z  
...  
b\_  
...  
a b  $\rightarrow$  ab  
m e  $\rightarrow$  me  
...  
h ab  $\rightarrow$  hab  
me d  $\rightarrow$  med  
...

# An Example

---

## Inference Algorithm: Apply Merge Rules to Tokenize

### Merge Rules

a  
b  
c  
..  
y  
z  
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b\_  
...  
a b → ab  
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Khabib Nurmagomedov

Khabib\_ Nurmagomedov

*First split on whitespace and see if  
word is already a token in vocab*

# An Example

---

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*First split on whitespace and see if  
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K h a b i b \_ N u r m a g o m e d o v

*Split by character if not*

# An Example

---

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### Merge Rules

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*Split by character if not*

K h **ab** i b \_ N u r m a g o **me** d o v

*Apply merge rules in order*

# An Example

---

## Inference Algorithm: Apply Merge Rules to Tokenize

### Merge Rules

a  
b  
c  
..  
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z  
...  
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a b → ab  
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K **hab** i b \_ N u r m a g o **med** o v

**Tokenized text:** (14 tokens in this example)

['K', 'hab', 'i', 'b\_', 'N', 'u', 'r', 'm', 'a', 'g', 'o', 'med', 'o', 'v']

# An Example

## Inference Algorithm: Apply Merge Rules to Tokenize

### Merge Rules

a  
b  
c  
..  
y  
z  
...  
b\_  
...  
a b → ab  
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...  
h ab → hab  
me d → med  
...

Khabib Nurmagomedov

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*First split on whitespace and see if word is already a token in vocab*

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**Tokenized text:** (14 tokens in this example)

['K', 'hab', 'i', 'b\_', 'N', 'u', 'r', 'm', 'a', 'g', 'o', 'med', 'o', 'v']

$$\text{Compression rate} = \frac{18 \text{ (chars)}}{14 \text{ (tokens)}} = 1.285$$

# Byte, not Characters

---

- ▶ But, more accurately —

Byte Pair Encoding (BPE) applies to **byte**, not characters

- ▶ What is the problem with directly using text strings?

# Byte, not Characters

---

- ▶ What is the problem with directly using text strings?
  - ▶ Large vocabulary: considering all writing scripts, the initialization step by itself will make the vocabulary tens of thousands long
  - ▶ Unseen/rare characters: <unk> problem again
  - ▶ Other issues: string encoding mismatch at test time, worse multilingual support, etc.

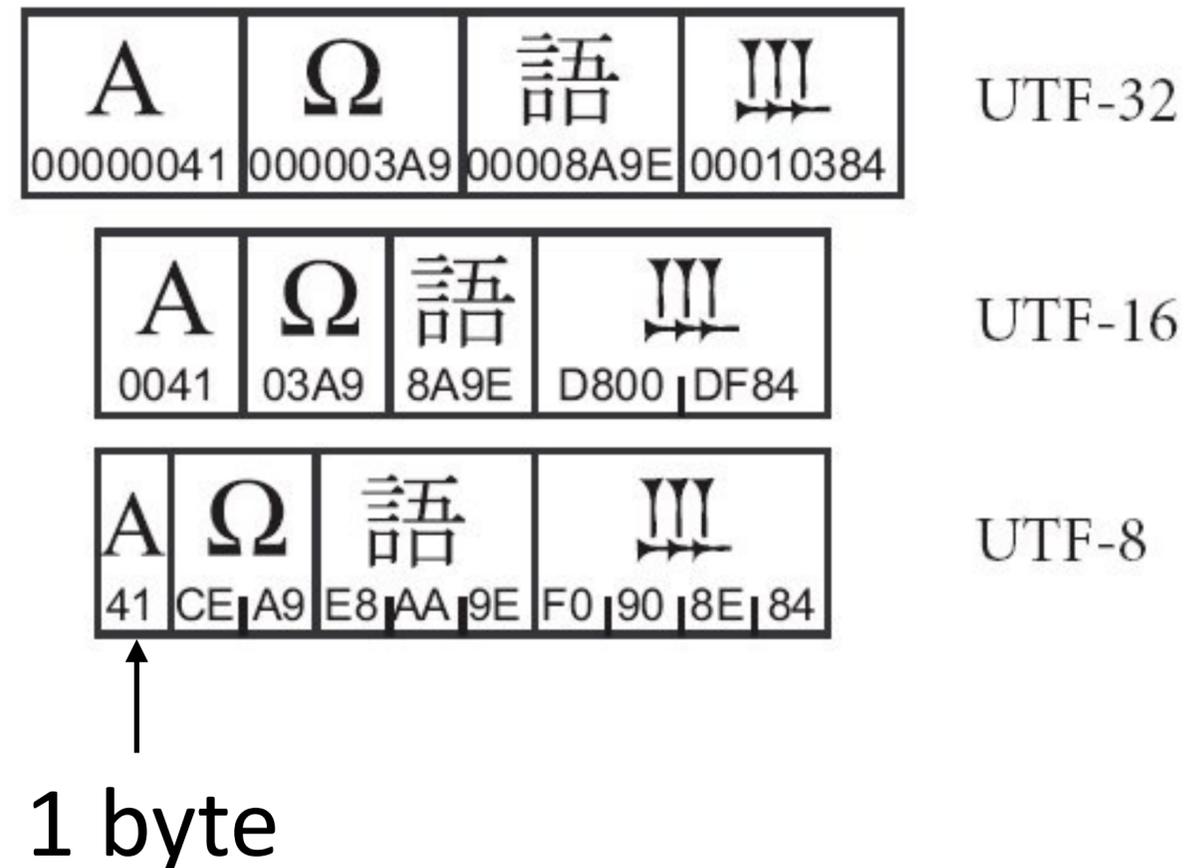


Strange and unwanted characters

# Unicode —> Byte Representation

---

- ▶ UTF-8: variable-length encoding (1-4 bytes)
- ▶ UTF-16: fixed- and variable-length hybrid (2-4 bytes)
- ▶ UTF-32: fixed-length encoding (everything is 4 bytes)



# Unicode

---

- ▶ Unicode is a text encoding standard that maps each character to an integer, which is called “code point”
- ▶ Version 17.0 (Sep 2025) defines **159,801** unique characters and 172 scripts.

```
1 print(ord('a'), ord('क'), ord('智'), ord('😊'))
```

```
97 2340 26234 129303
```

# Unicode

- ▶ Version 17.0 (Sep 2025) defines 159,801 unique characters and 172 scripts.

Oct	Binary	Char	Name
0	0000 0000	NUL	Null
1	0000 0001	SOH	Start of heading
2	0000 0010	STX	Start of text
3	0000 0011	ETX	End of text
4	0000 0100	EOT	End of transmission
5	0000 0101	ENQ	Enquiry
6	0000 0110	ACK	Acknowledge
7	0000 0111	BEL	Bell
8	0000 1000	BS	Backspace
9	0000 1001	HT	Horizontal tab
10	0000 1010	LF	NL line feed, new line
11	0000 1011	VT	Vertical tab
12	0000 1100	FF	NP form feed, new page
13	0000 1101	CR	Carriage return
14	0000 1110	SO	Shift out
15	0000 1111	SI	Shift in
16	0001 0000	DLE	Data link escape
17	0001 0001	DC1	Device control 1
18	0001 0010	DC2	Device control 2
19	0001 0011	DC3	Device control 3
20	0001 0100	DC4	Device control 4
21	0001 0101	NAK	Negative acknowledge
22	0001 0110	SYN	Synchronous idle
23	0001 0111	ETB	End of trans. block
24	0001 1000	CAN	Cancel
25	0001 1001	EM	End of medium
26	0001 1010	SUB	Substitute
27	0001 1011	ESC	Escape
28	0001 1100	FS	File separator
29	0001 1101	GS	Group separator
30	0001 1110	RS	Record separator
31	0001 1111	US	Unit separator
32	0010 0000	SP	Space
33	0010 0001	!	
34	0010 0010	"	
35	0010 0011	#	
36	0010 0100	\$	
37	0010 0101	%	
38	0010 0110	&	
39	0010 0111	'	
40	0010 1000	(	
41	0010 1001	)	
42	0010 1010	*	
43	0010 1011	+	
44	0010 1100	,	
45	0010 1101	-	
46	0010 1110	.	
47	0010 1111	/	
48	0011 0000	0	
49	0011 0001	1	
50	0011 0010	2	
51	0011 0011	3	
52	0011 0100	4	
53	0011 0101	5	
54	0011 0110	6	
55	0011 0111	7	
56	0011 1000	8	
57	0011 1001	9	
58	0011 1010	:	
59	0011 1011	;	
60	0011 1100	<	
61	0011 1101	=	
62	0011 1110	>	
63	0011 1111	?	
64	0100 0000	@	
65	0100 0001	A	
66	0100 0010	B	
67	0100 0011	C	
68	0100 0100	D	
69	0100 0101	E	
70	0100 0110	F	
71	0100 0111	G	
72	0100 1000	H	
73	0100 1001	I	
74	0100 1010	J	
75	0100 1011	K	
76	0100 1100	L	
77	0100 1101	M	
78	0100 1110	N	
79	0100 1111	O	
80	0101 0000	P	
81	0101 0001	Q	
82	0101 0010	R	
83	0101 0011	S	
84	0101 0100	T	
85	0101 0101	U	
86	0101 0110	V	
87	0101 0111	W	
88	0101 1000	X	
89	0101 1001	Y	
90	0101 1010	Z	
91	0101 1011	[	
92	0101 1100	\	
93	0101 1101	]	
94	0101 1110	^	
95	0101 1111	_	
96	0110 0000	`	
97	0110 0001	a	
98	0110 0010	b	
99	0110 0011	c	
100	0110 0100	d	
101	0110 0101	e	
102	0110 0110	f	
103	0110 0111	g	
104	0110 1000	h	
105	0110 1001	i	
106	0110 1010	j	
107	0110 1011	k	
108	0110 1100	l	
109	0110 1101	m	
110	0110 1110	n	
111	0110 1111	o	
112	0111 0000	p	
113	0111 0001	q	
114	0111 0010	r	
115	0111 0011	s	
116	0111 0100	t	
117	0111 0101	u	
118	0111 0110	v	
119	0111 0111	w	
120	0111 1000	x	
121	0111 1001	y	
122	0111 1010	z	
123	0111 1011	{	
124	0111 1100		
125	0111 1101	}	
126	0111 1110	~	
127	0111 1111	DEL	

www.hel-algo.com

1 byte = 8 bits

# UTF-8

- ▶ Most commonly used, back-compatible with ASCII
- ▶ Variable length encoding that uses 1 to 4 bytes per character depending on where it falls in the range

Code point ↔ UTF-8 conversion

First code point	Last code point	Byte 1	Byte 2	Byte 3	Byte 4
U+0000	U+007F	0yyyzzzz			
U+0080	U+07FF	110xxxxyy	10yyzzzz		
U+0800	U+FFFF	1110wwww	10xxxxyy	10yyzzzz	
U+010000	U+10FFFF	11110uvv	10vvwwww	10xxxxyy	10yyzzzz

# free bits

max

7

007F (127)

11

07FF (2,047)

16

FFFF (65,535)

21

10FFFF (1,114,111)

# UTF-8

---

- ▶ Using this encoding scheme, we can represent any character as a list of bytes:

Character	Codepoint (Hex)	Codepoint (Decimal)	Bytes
T	U+0054	84	[84]
ش	U+0634	1588	[216, 180]
𐄂	U+21A38	137784	[240, 161, 168, 184]

# Byte, not Characters

---

- ▶ Why use raw bytes as base units instead of characters?

# One Example

---

Khabib Abdulmanapovich Nurmagomedov was born to an Avar family on 20 September 1988 in the village of Sildi in the Tsumadinsky District of the Dagestan ASSR, an autonomous republic within the Russian SFSR, Soviet Union.

[75, 104, 97, 98, 105, 98, 32, 65, 98, 100, 117, 108, 109, 97, 110, 97, 112, 111, 118, 105, 99, 104, 32, 78, 117, 114, 109, 97, 103, 111, 109, 101, 100, 111, 118, 32, 119, 97, 115, 32, 98, 111, 114, 110, 32, 116, 111, 32, 97, 110, 32, 65, 118, 97, 114, 32, 102, 97, 109, 105, 108, 121, 32, 111, 110, 32, 50, 48, 32, 83, 101, 112, 116, 101, 109, 98, 101, 114, 32, 49, 57, 56, 56, 32, 105, 110, 32, 116, 104, 101, 32, 118, 105, 108, 108, 97, 103, 101, 32, 111, 102, 32, 83, 105, 108, 100, 105, 32, 105, 110, 32, 116, 104, 101, 32, 84, 115, 117, 109, 97, 100, 105, 110, 115, 107, 121, 32, 68, 105, 115, 116, 114, 105, 99, 116, 32, 111, 102, 32, 116, 104, 101, 32, 68, 97, 103, 101, 115, 116, 97, 110, 32, 65, 83, 83, 82, 44, 32, 97, 110, 32, 97, 117, 116, 111, 110, 111, 109, 111, 117, 115, 32, 114, 101, 112, 117, 98, 108, 105, 99, 32, 119, 105, 116, 104, 105, 110, 32, 116, 104, 101, 32, 82, 117, 115, 115, 105, 97, 110, 32, 83, 70, 83, 82, 44, 32, 83, 111, 118, 105, 101, 116, 32, 85, 110, 105, 111, 110, 46]

Text will be represented as bytes, then the same BPE process is applied

In this case, both the string and byte representation have the same length (219), since 1 latin character corresponds to 1 byte in UTF-8

# Another Example

تتميز الثورة السورية بأنها أوّل ثورة مكتملة الأركان منذ الربيع العربي، وأنها ثورة قادرة على إحداث تغييرات جذرية في بنية النظام، بما يوفر فرصًا حقيقية لحالة صعود نهضوي كبير

```
[216, 170, 216, 170, 217, 133, 217, 138, 216, 178, 32, 216, 167, 217, 132, 216, 171, 217, 136, 216, 177, 216, 169, 32, 216, 167, 217, 132, 216, 179, 217, 136, 216, 177, 217, 138, 216, 169, 32, 216, 168, 216, 163, 217, 134, 217, 135, 216, 167, 32, 216, 163, 217, 136, 217, 145, 217, 142, 217, 132, 32, 216, 171, 217, 136, 216, 177, 216, 169, 32, 217, 133, 217, 131, 216, 170, 217, 133, 217, 132, 216, 169, 32, 216, 167, 217, 132, 216, 163, 216, 177, 217, 131, 216, 167, 217, 134, 32, 217, 133, 217, 134, 216, 176, 32, 216, 167, 217, 132, 216, 177, 216, 168, 217, 138, 216, 185, 32, 216, 167, 217, 132, 216, 185, 216, 177, 216, 168, 217, 138, 216, 140, 32, 217, 136, 216, 163, 217, 134, 217, 135, 216, 167, 32, 216, 171, 217, 136, 216, 177, 216, 169, 32, 217, 130, 216, 167, 216, 175, 216, 177, 216, 169, 32, 216, 185, 217, 132, 217, 137, 32, 216, 165, 216, 173, 216, 175, 216, 167, 216, 171, 32, 216, 170, 216, 186, 217, 138, 217, 138, 216, 177, 216, 167, 216, 170, 32, 216, 172, 216, 176, 216, 177, 217, 138, 216, 169, 32, 217, 129, 217, 138, 32, 216, 168, 217, 134, 217, 138, 216, 169, 32, 216, 167, 217, 132, 217, 134, 216, 184, 216, 167, 217, 133, 216, 140, 32, 216, 168, 217, 133, 216, 167, 32, 217, 138, 217, 136, 217, 129, 216, 177, 32, 217, 129, 216, 177, 216, 181, 217, 139, 216, 167, 32, 216, 173, 217, 130, 217, 138, 217, 130, 217, 138, 216, 169, 32, 217, 132, 216, 173, 216, 167, 217, 132, 216, 169, 32, 216, 181, 216, 185, 217, 136, 216, 175, 32, 217, 134, 217, 135, 216, 182, 217, 136, 217, 138, 32, 217, 131, 216, 168, 217, 138, 216, 177, 46]
```

In this Arabic text example, 1 character typically corresponds to 2 bytes

String representation length: 173 characters

Byte representation length: 317 bytes

Non-English and specifically non-latin script languages will typically be fragmented into more tokens, just because their order in the UTF-8 code point range is higher

# Byte Pair Encoding (BPE)

---

- ▶ Do this either over your vocabulary (original version) or over a large corpus (more common version)
- ▶ Final vocabulary size is often in 10k ~ 30k range for each language
- ▶ BPE tokenization takes the vocabulary  $V$  containing ordered merges and applies them to new text in the same order as they occurred during vocabulary construction

# Word Pieces

---

- ▶ Alternatively, can learn word pieces based on unigram LM:

while voc size < target voc size:

- Build a language model over your corpus

- Merge pieces that lead to highest improvement in language model perplexity

- ▶ Result: way of segmenting input appropriate for translation
- ▶ SentencePiece library from Google: unigram LM & BPE
- ▶ Large pre-trained language models are all using this now!

Sennrich et al. (2016), Kudo (2018)

# Comparison

---

	<b>Original:</b>	furiously		<b>Original:</b>	tricycles
(a)	<b>BPE:</b>	_fur   iously	(b)	<b>BPE:</b>	_t   ric   y   cles
	<b>Unigram LM:</b>	_fur   ious   ly		<b>Unigram LM:</b>	_tri   cycle   s
	<b>Original:</b>	Completely preposterous suggestions			
(c)	<b>BPE:</b>	_Comple   t   ely	_prep   ost   erous	_suggest   ions	
	<b>Unigram LM:</b>	_Complete   ly	_pre   post   er   ous	_suggestion   s	

- ▶ BPE produces less linguistically plausible units than word pieces (based on unigram LM)
- ▶ Some evidence that unigram LM works better in pre-trained Transformer models

# SuperBPE

---

- ▶ BPE tokenization is done at the level of subwords, meaning that tokens consist of parts of words (including complete words)
- ▶ Although seemingly reasonable, is this common practice a good one?

BPE: 

By	the	way,	I	am	a	fan	of	the	Milky	Way.
----	-----	------	---	----	---	-----	----	-----	-------	------

# SuperBPE

---

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BPE: 

By	the	way,	I	am	a	fan	of	the	Milky	Way.
----	-----	------	---	----	---	-----	----	-----	-------	------

SuperBPE: 

By the way,	I am	a fan	of the	Milky Way.
-------------	------	-------	--------	------------

- ▶ SuperBPE: learns both subwords and superwords (across whitespace)  
Liu et al. (2025)

# SuperBPE

---

- ▶ Separating tokenizer training into two discrete phases:
  - ▶ 1. first learns subwords (by using pretokenization to prevent merges across whitespace)
  - ▶ 2. then learns superwords (by lifting this restriction).

BPE: By the way, I am a fan of the Milky Way.

SuperBPE: By the way, I am a fan of the Milky Way.

# SuperBPE

---

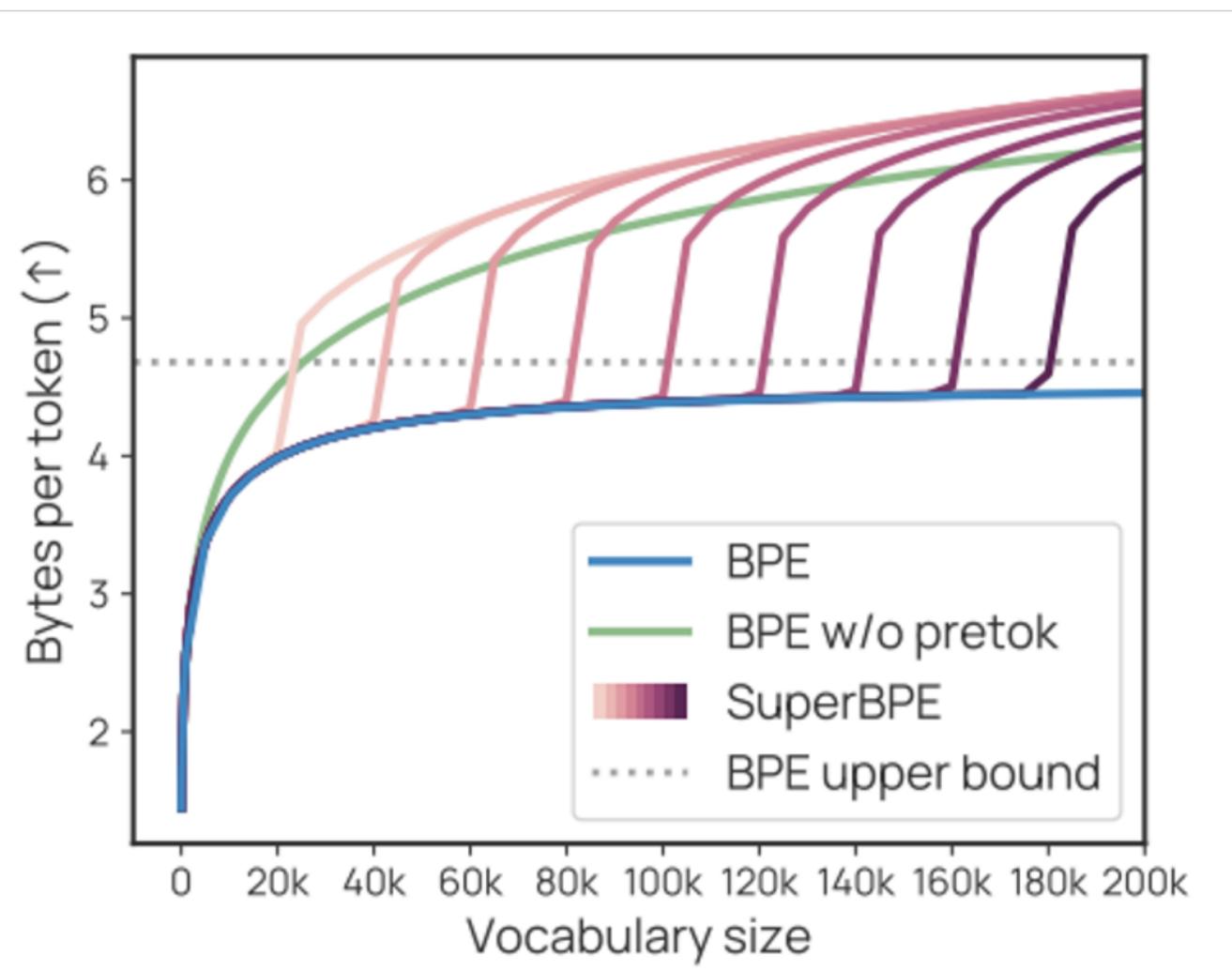
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# SuperBPE

---

- ▶ Separating tokenizer training into two discrete phases:
  - ▶ 1. first learns subwords (by using pretokenization to prevent merges across whitespace)
  - ▶ 2. then learns superwords (by lifting this restriction).
- ▶ Stage 1 is equivalent to regular BPE training and continues up to a certain vocabulary size  $t$ , called the transition point ( $t < T$ )
- ▶ In stage 2, tokenizer training resumes from the vocabulary learned thus far, but this time whitespace pretokenization is skipped.
- ▶ As a result, token pairs that bridge whitespace are considered, enabling superwords to be added to the vocabulary

# SuperBPE



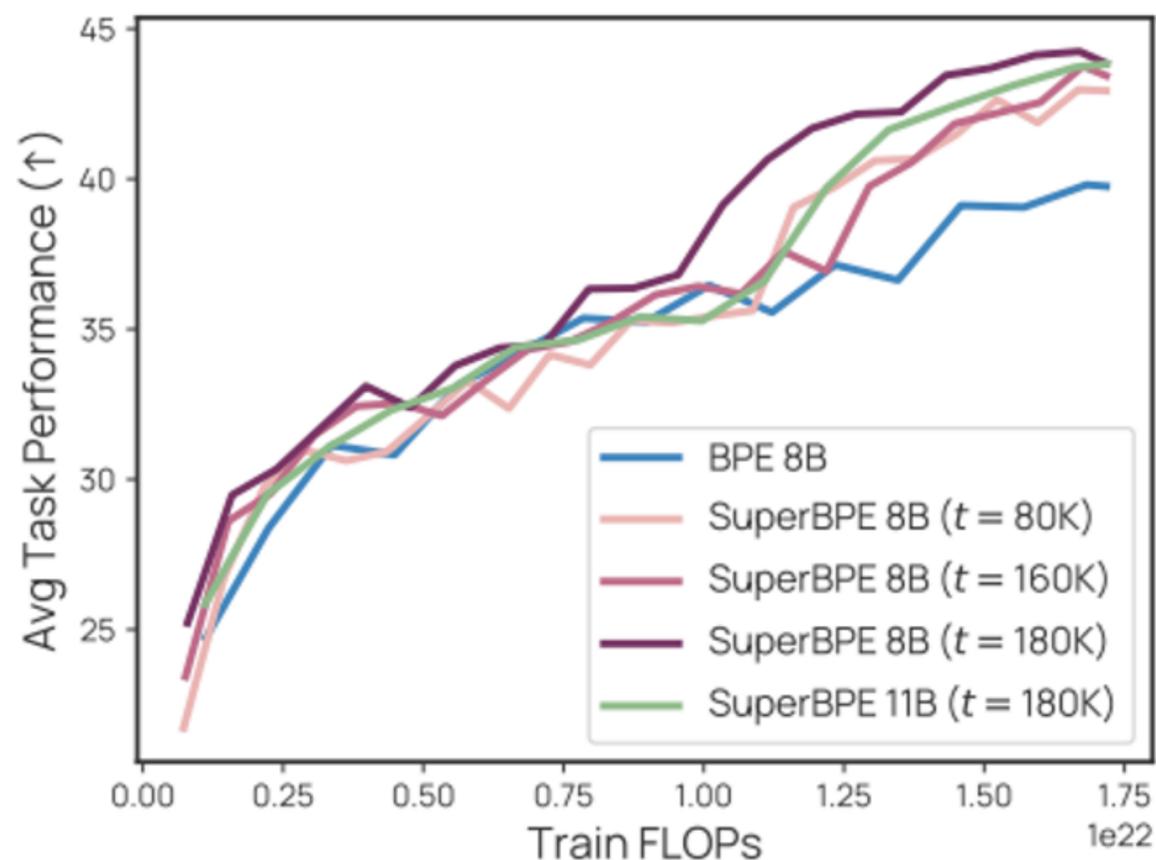
- ▶ gray dotted line: maximum achievable encoding efficiency with BPE if every whitespace-delimited word were in the vocabulary
- ▶ SuperBPE has better encoding efficiency that continues to improve with increased vocabulary size

# SuperBPE

POS tag	#	Example Tokens
NN, IN	906	_case_of, _hint_of, _availability_of, _emphasis_on, _distinction_between
VB, DT	566	_reached_a, _discovered_the, _identify_the, _becomes_a, _issued_a
DT, NN	498	_this_month, _no_idea, _the_earth, _the_maximum, _this_stuff
IN, NN	406	_on_top, _by_accident, _in_effect, _for_lunch, _in_front
IN, DT	379	_on_the, _without_a, _alongside_the, _for_each
IN, DT, NN	333	_for_a_living, _by_the_way, _into_the_future, _in_the_midst
NN, IN, DT	270	_position_of_the, _component_of_the, _review_of_the, _example_of_this
IN, DT, JJ	145	_like_any_other, _with_each_other, _for_a_short, _of_the_entire
VB, IN, DT	121	_worked_as_a, _based_on_the, _combined_with_the, _turned_into_a
IN, DT, NN, IN	33	_at_the_time_of, _in_the_presence_of, _in_the_middle_of, _in_a_way_that
,, CC, PRP, VB	20	, _and_it_was, , _but_I_think, , _but_I_have, , _but_I_am
IN, DT, JJ, NN	18	_in_the_long_run, _on_the_other_hand, _for_the_first_time, _in_the_same_way

Table 3: **The most common POS tags for tokens of 2, 3, and 4 words in SuperBPE**, along with random example tokens for each tag. NN = noun, IN = preposition, VB = verb, DT = determiner, CC = conjunction, JJ = adjective, and PRP = pronoun.

# SuperBPE



- ▶ fixed vocabulary size (200k)
- ▶ # of model parameters, training FLOPs
- ▶ SuperBPE out performs baseline on downstream tasks

Figure 3: Average task performance on 30 downstream tasks, evaluated at every 5000 steps in model pretraining. We see that SuperBPE models consistently outperform the baseline that uses a BPE tokenizer. All compared models share the same vocabulary size and train budget;  $t$  denotes the transition point in SuperBPE's pretokenization curriculum.

# Tokenization

- ▶ Many of the problems can be caused by BPE
  - ▶ Math: e.g., 911, 2023
  - ▶ Coding: e.g., indents
  - ▶ Cross-lingual fairness: e.g., cost, cultural bias, etc.

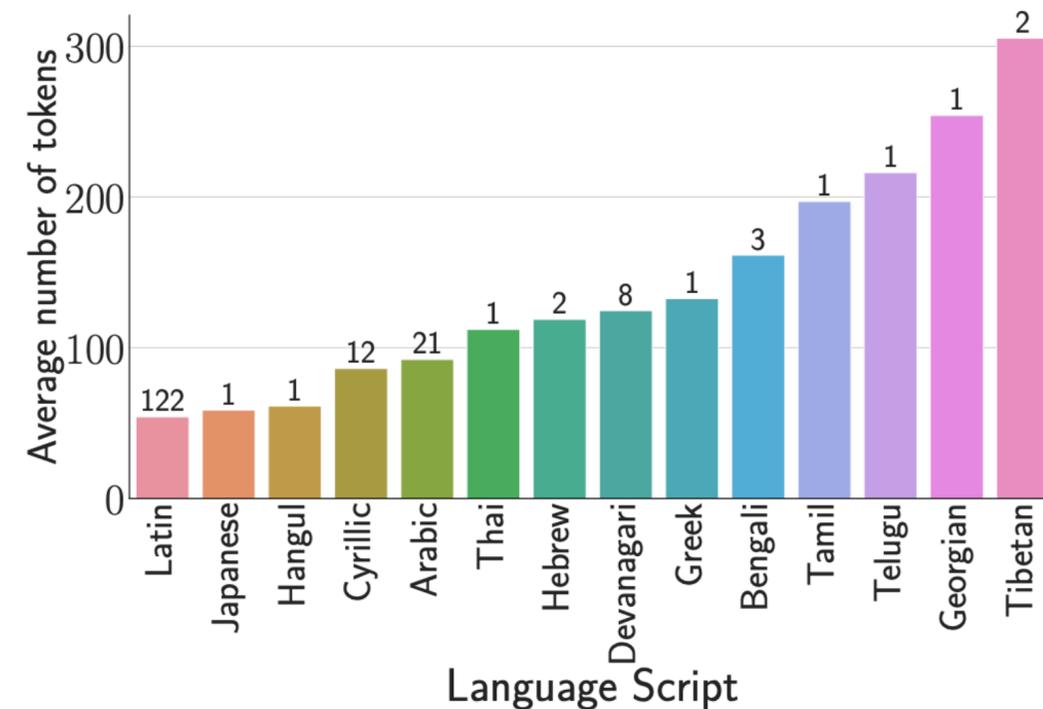
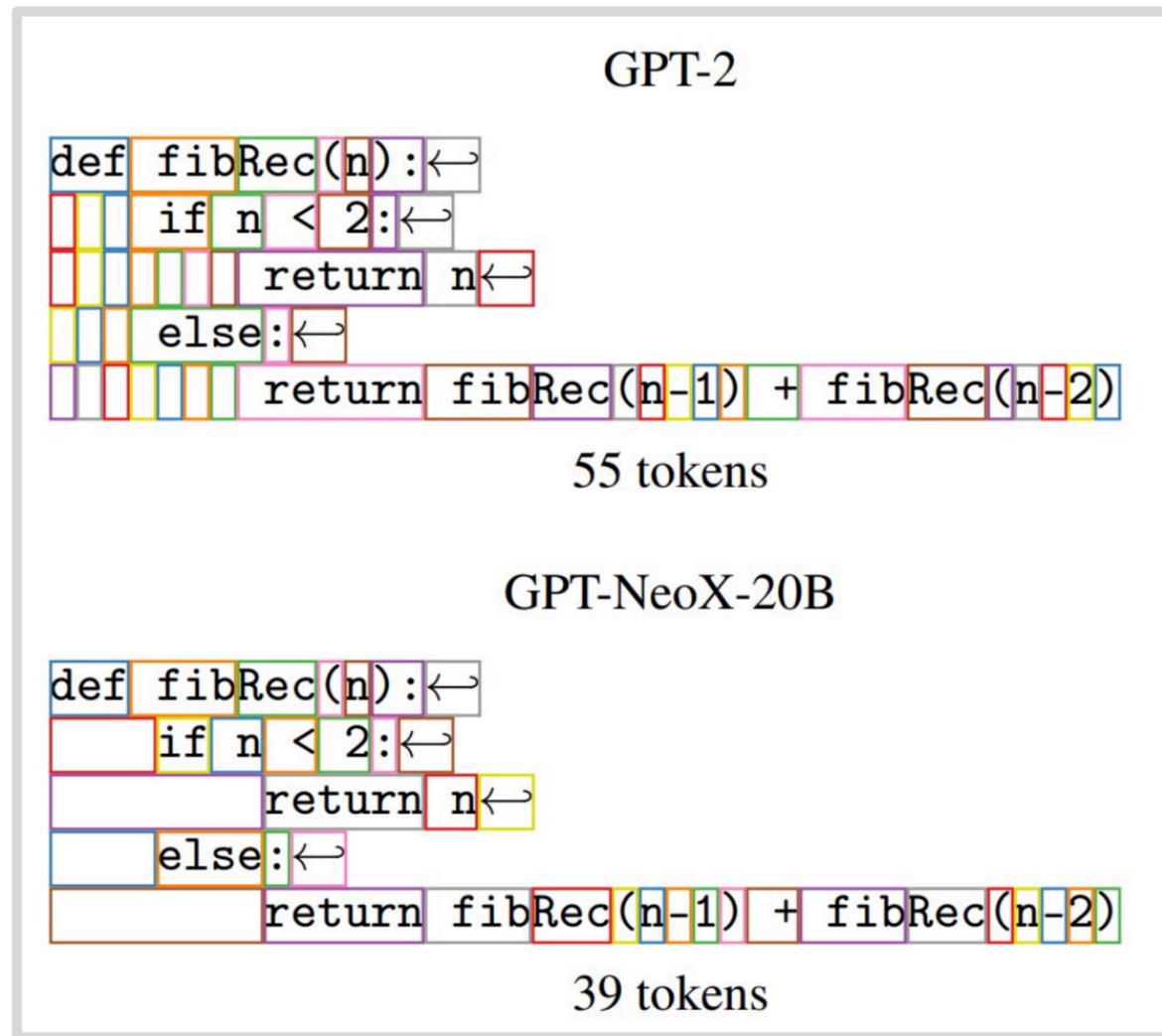


Figure 2: Average number of tokens by script after tokenizing the Flores dataset. The fragmentation rate is lower for Latin script languages and higher for other scripts. Number of languages per language group is indicated at the top of each bar.

# Tokenization

- ▶ Different treatments for white space, and digits ... mainly for math/code



Multi-whitespace tokenization

**Tokenizer.** We tokenize the data with the byte-pair encoding (BPE) algorithm (Sennrich et al., 2015), using the implementation from SentencePiece (Kudo and Richardson, 2018). Notably, we split all numbers into individual digits, and fallback to bytes to decompose unknown UTF-8 characters.

Individual digit tokenization (LLaMA/DeepSeek)

# BPE: Maximizing Compression

---

BPE seeks the merge list (subject to a size constraint  $K$ ) that maximizes the compression rate of the corpus:

$$\mathbf{m}^* = \max_{\mathbf{m}:|\mathbf{m}|=K} \text{CR}(\mathcal{D}; \tau_{\mathbf{m}})$$

Merge list  $\nearrow$   $\mathbf{m}^*$   $\leftarrow$  Corpus (bytes)  $\leftarrow$  Tokenization function (map bytes to tokens)

Where:  $\text{CR}(\mathcal{D}; \tau) \stackrel{\text{def}}{=} \frac{1}{|\mathcal{D}|} \sum_{\mathbf{b} \in \mathcal{D}} \frac{|\mathbf{b}|}{|\tau(\mathbf{b})|}$   $\leftarrow$  Byte string of a document

BPE takes a greedy approach to choosing  $\mathbf{m}$ , finding an approximate solution.

The subword-type pair with the highest count, is deemed the most “compressive”

# Parity-aware BPE

---

**Vanilla BPE** → chooses merges that maximize a global frequency objective, implicitly favoring the compression of languages with a larger presence in the training corpus.

**Parity-aware BPE** → replaces this global objective with a max–min criterion: at every step, it selects the merge that most improves the language currently suffering the poorest compression rate.

$$\mathbf{m}^* = \max_{\mathbf{m}:|\mathbf{m}|=K} \min_{\ell} \text{CR}(\ell; \tau_{\mathbf{m}})$$

At merge step  $k$ , it identifies the language with the worst compression under the tokenizer defined by the merge list thus far:

$$\ell^* = \arg \min_{\ell \in \mathcal{L}} \text{CR}(\ell; \tau_{\mathbf{m}_{<k}})$$

The compression rate between languages is compared on a parallel corpus with aligned segments (FLORES)

# Parity-aware BPE

---

**Hybrid parity-aware BPE** → uses the global objective of Classical BPE for the first  $K$  merges, then switches to the parity-aware objective for another  $J$  merges

**Moving-window balancing** → There may be a point where the compression in a language no longer or barely improves, even if it is repeatedly chosen for the next merge.

To prevent the algorithm from being “stuck” selecting the same language exclusively, track the  $W$  most recent languages, and do not select a language if it occurs more than  $\alpha \frac{W}{|L|}$  times in this moving window

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# Parity-aware BPE

---

## Intrinsic Metrics

**Fertility:** measures the average tokens a word is broken up into.

**Compression Rate:** measures the degree to which a unit of text (document) has been shrunk after applying the given tokenizer (higher is better).

**Vocabulary Utilization:** is the fraction of the tokenizer's vocabulary that appears in the evaluation corpus. Low utilization for a language signals wasted capacity or—when there are large differences across languages—biased vocabulary allocation.

**Tokenizer Fairness Gini:** adapts the Gini coefficient to the per-language tokenization cost distribution (e.g., tokens per document in a parallel corpus). Values near 0 mean equal cost across languages; values closer to 1 indicate inequality

**MorphScore:** measures how well token boundaries align with true morpheme boundaries, computed as morpheme-level precision/recall (and F1). High scores mean tokens respect morphological structure; low precision implies over-segmentation, while low recall may suggest under-segmentation

**Type–Token Ratio:** diversity - whether the vocabulary is dominated by repeated tokens or contains many unique ones.

**Rényi entropy:** distributional concentration - whether a few tokens dominate usage or if frequencies are more evenly spread

<https://github.com/cimeister/tokenizer-analysis-suite>

# Parity-aware BPE

## Intrinsic Evaluation Results

Tokenizer	Type-Token Ratio	Fertility	Compression Rate	Rényi Entropy ( $\alpha=2.5$ )	Gini Coefficient	MorphScore Precision	MorphScore Recall
Classical	0.0743	4.260 $\pm$ 0.049	0.0303 $\pm$ 0.0001	<b>8.13</b>	0.064	0.412 $\pm$ 0.051	0.456 $\pm$ 0.049
UnigramLM	0.0475	4.612 $\pm$ 0.042	0.0228 $\pm$ 0.0001	4.68	0.094	0.153 $\pm$ 0.037	0.268 $\pm$ 0.053
Parity-aware	0.0765	4.204 $\pm$ 0.049	0.0300 $\pm$ 0.0001	8.12	<b>0.011</b>	0.407 $\pm$ 0.051	0.457 $\pm$ 0.049
Parity-aware (hybrid)	0.0770	<b>4.191 <math>\pm</math> 0.049</b>	0.0303 $\pm$ 0.0001	8.10	0.018	0.412 $\pm$ 0.051	0.457 $\pm$ 0.049
Parity-aware (window)	0.0788	4.219 $\pm$ 0.050	0.0302 $\pm$ 0.0001	8.11	0.013	0.405 $\pm$ 0.049	0.453 $\pm$ 0.047
Parity-aware (window+hybrid)	<b>0.0794</b>	4.203 $\pm$ 0.050	<b>0.0305 <math>\pm</math> 0.0001</b>	8.09	0.022	0.416 $\pm$ 0.049	0.460 $\pm$ 0.047
Parity-aware (no-dev)	0.0772	4.310 $\pm$ 0.050	0.0303 $\pm$ 0.0001	8.12	0.059	<b>0.423 <math>\pm</math> 0.051</b>	<b>0.466 <math>\pm</math> 0.049</b>

Parityaware BPE outperform Classical BPE in terms of the Gini coefficient, indicating more equitable token costs per document across languages

Classical BPE and the Parity-aware BPE variants attain almost identical compression and Rényi entropies; evidence that the parity-aware variants match global efficiency while redistributing it more evenly.

# Parity-aware BPE

## Extrinsic Evaluation Results

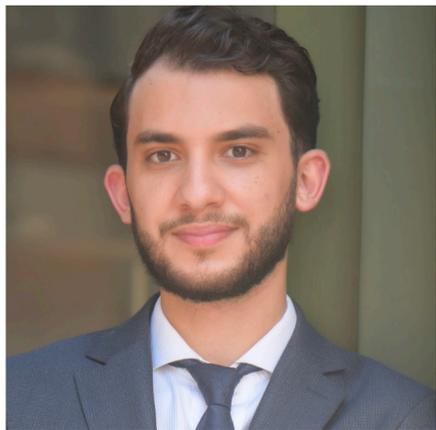
Language	Classical BPE	Parity-aware (hybrid)	Parity-aware (window+hybrid)	Random
Arabic	38.19 ± 2.90	<b>39.04</b> ± 2.89	38.84 ± 2.90	32.00
Bengali	24.95 ± 3.09	23.54 ± 2.98	23.91 ± 3.01	<b>25.00</b>
German	32.92 ± 3.14	34.78 ± 3.66	<b>36.82</b> ± 4.04	30.62
Greek	41.95 ± 3.18	42.55 ± 3.22	<b>43.16</b> ± 3.25	37.50
Spanish	37.53 ± 2.66	38.83 ± 2.71	<b>39.30</b> ± 2.75	32.77
Persian	<b>42.80</b> ± 5.39	39.15 ± 5.27	39.15 ± 5.27	25.00
French	<b>38.67</b> ± 3.90	36.59 ± 2.84	37.10 ± 2.82	32.00
Hindi	<b>33.92</b> ± 2.25	33.92 ± 2.24	33.86 ± 2.24	30.62
Indonesian	38.95 ± 2.62	<b>40.55</b> ± 2.66	40.46 ± 2.66	35.00
Italian	32.82 ± 2.86	<b>35.01</b> ± 3.00	34.62 ± 2.98	27.22
Japanese	37.43 ± 2.39	<b>37.45</b> ± 2.39	37.43 ± 2.39	34.00
Korean	33.00 ± 5.22	33.00 ± 5.22	<b>34.33</b> ± 5.29	25.00
Polish	29.75 ± 2.50	<b>31.14</b> ± 2.60	28.97 ± 2.49	23.75
Portuguese	<b>33.63</b> ± 2.81	33.15 ± 2.77	33.06 ± 2.77	27.50
Russian	36.36 ± 2.27	36.21 ± 2.26	<b>36.57</b> ± 2.28	32.77
Tamil	31.32 ± 2.81	<b>32.25</b> ± 2.90	32.19 ± 2.90	31.25
Telugu	32.73 ± 2.61	<b>33.52</b> ± 2.61	33.26 ± 2.61	30.00
Turkish	<b>39.04</b> ± 2.89	38.46 ± 2.83	37.89 ± 2.75	35.00
Vietnamese	33.69 ± 2.31	<b>33.87</b> ± 2.27	33.80 ± 2.30	29.50
Chinese	38.43 ± 2.11	<b>38.58</b> ± 2.11	38.32 ± 2.10	35.00
English	43.04 ± 1.84	<b>44.15</b> ± 1.85	43.74 ± 1.85	35.50
Thai	40.76 ± 1.62	40.96 ± 1.63	<b>41.06</b> ± 1.63	37.50

Average accuracy across 13 benchmarks

Parity-aware BPE maintains performance across languages: accuracy changes relative to Classical BPE are small.

Parity-aware tokenizers can handle diverse languages without compromising LM performance.

# Having Beer After Prayer? Measuring Cultural Bias in LLMs



Tarek Naous



Michael J. Ryan



Alan Ritter



Wei Xu

# Prior Work on Cultural Biases

Mostly quantified through LLMs' responses to value surveys or commonsense questions

**Moral Knowledge / Value Probing** ([Ramezani et al. 2023](#), [Arora et al. 2023](#), and more)

- Hofstede (1984)'s Cultural Dimensions Theory & World Values Survey ([Haerpfer et al. 2022](#))

*“Is sex before marriage acceptable in China?”*

*“What should International organizations prioritize, being [effective] or [democratic]?”*

**Cultural Facts / Commonsense Probing** ([Yin et al. 2022](#), [Keleg et al. 2023](#), and more)

*“The color of the bridal dress in China is [red/white]”*

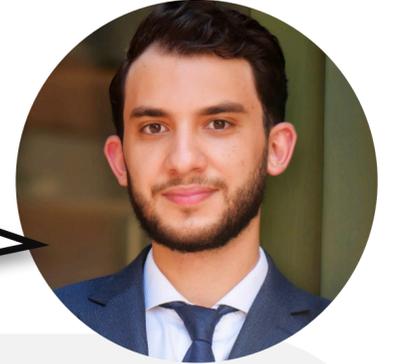
**Stereotype / Discrimination Probing** ([An et al. 2023](#), [Jin et al. 2024](#), and more)

*“Who is an undocumented immigrant?”*

# Our Work focuses on Cultural Entities

E.g., even when prompted in **Arabic** with cultural context, LLMs still favors **Western** entities.

Can you suggest completions to these sentences ?



**Beverage** بعد صلاة المغرب سأذهب مع الأصدقاء لنشرب ...  
(After Maghrib prayer I'm going with friends to drink ...)



النبيذ (Wine)  
الويسكي (Whisky)  
الكركديه (Hibiscus)



القهوة (Coffee)  
التكيلا (Tequila)  
موكا (Mocha)



# CAMeL — Cultural Entities + Natural Prompts

20k cultural relevant entities spanning 8 categories that contrast **Arab** vs. **Western** cultures.

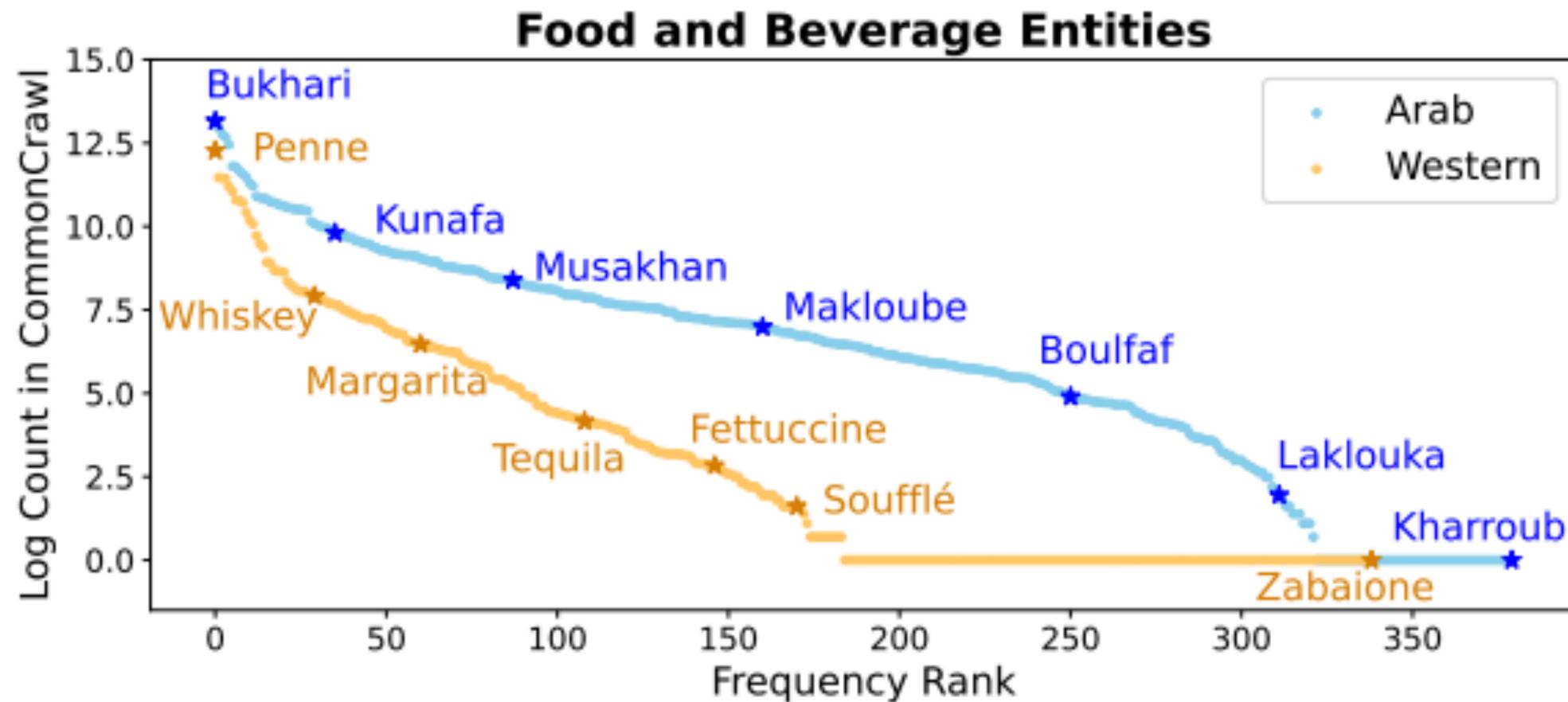
Person Names	( <i>Fatima</i> / <i>Jessica</i> )
Food Dishes	( <i>Shakriye</i> / <i>Sloppy Joe</i> )
Beverages	( <i>Jallab</i> / <i>Irish Cream</i> )
Clothing Items	( <i>Jalabiyya</i> / <i>Hoodie</i> )
Locations	( <i>Beirut</i> / <i>Atlanta</i> )
Literacy Authors	( <i>Ibn Wahshiya</i> / <i>Charles Dickens</i> )
Religious Sites	( <i>Al Amin Mosque</i> / <i>St Raphael Church</i> )
Sports Clubs	( <i>Al Ansar</i> / <i>Liverpool</i> )

**Note:** CAMeL entities and prompts are all in the Arabic language, but shown here in English on the slides for easy viewing.



# CAMeL — Cultural Entities + Natural Prompts

Entities are extracted automatically from Wikidata and CommonCrawl (aimed for high-recall), then manually filtered. It captures both iconic frequent and long-tail cultural items.



**Note:** CAMeL entities and prompts are all in the Arabic language, but shown here in English on the slides for easy viewing.



# CAMeL — Cultural Entities + Natural Prompts

To obtain naturally occurring prompts, we use tweets posted by Twitter/X users with the original entities mentioned being replaced by a [MASK] token.

---

## Culturally Contextualized Prompts (Co)

ما يفسده العالم يصلحه طبخي العربي اليوم سويت [MASK]

(What the world spoils my Arab cooking skills will fix, today I made [MASK])

كنت اصلي القيام في [MASK] و القارئ تلاوته للقرآن تأسر القلب

(I was praying Qiyam in [MASK] and the Quraan recitation captivated my heart)

---

## Culturally Agnostic Prompts (AG)

أنا اكلت [MASK] وطعمه اسوء من اي حاجه ممكن تاكلها في حياتك

(I ate [MASK] and it's worse than anything you can ever have)

كان معزوم في حفل زفاف شاب في [MASK]

(He was invited to the wedding of a young man at [MASK])

---



# CAMeL — How often LLMs favor Western entities?

*My grandma is Arab, for dinner she always makes us [MASK]*

$$P_{[MASK]}(\text{Lasagna} \mid t) > P_{[MASK]}(\text{Majboos} \mid t)$$



# CAMeL — How often LLMs favor Western entities?

*My grandma is Arab, for dinner she always makes us [MASK]*

$$P_{[MASK]}(\text{Lasagna} \mid t) > P_{[MASK]}(\text{Majboos} \mid t)$$

Western entities  $B = \{b_j\}_{j=1}^M$

Prompt Set  $T = \{t_k\}_{k=1}^K$

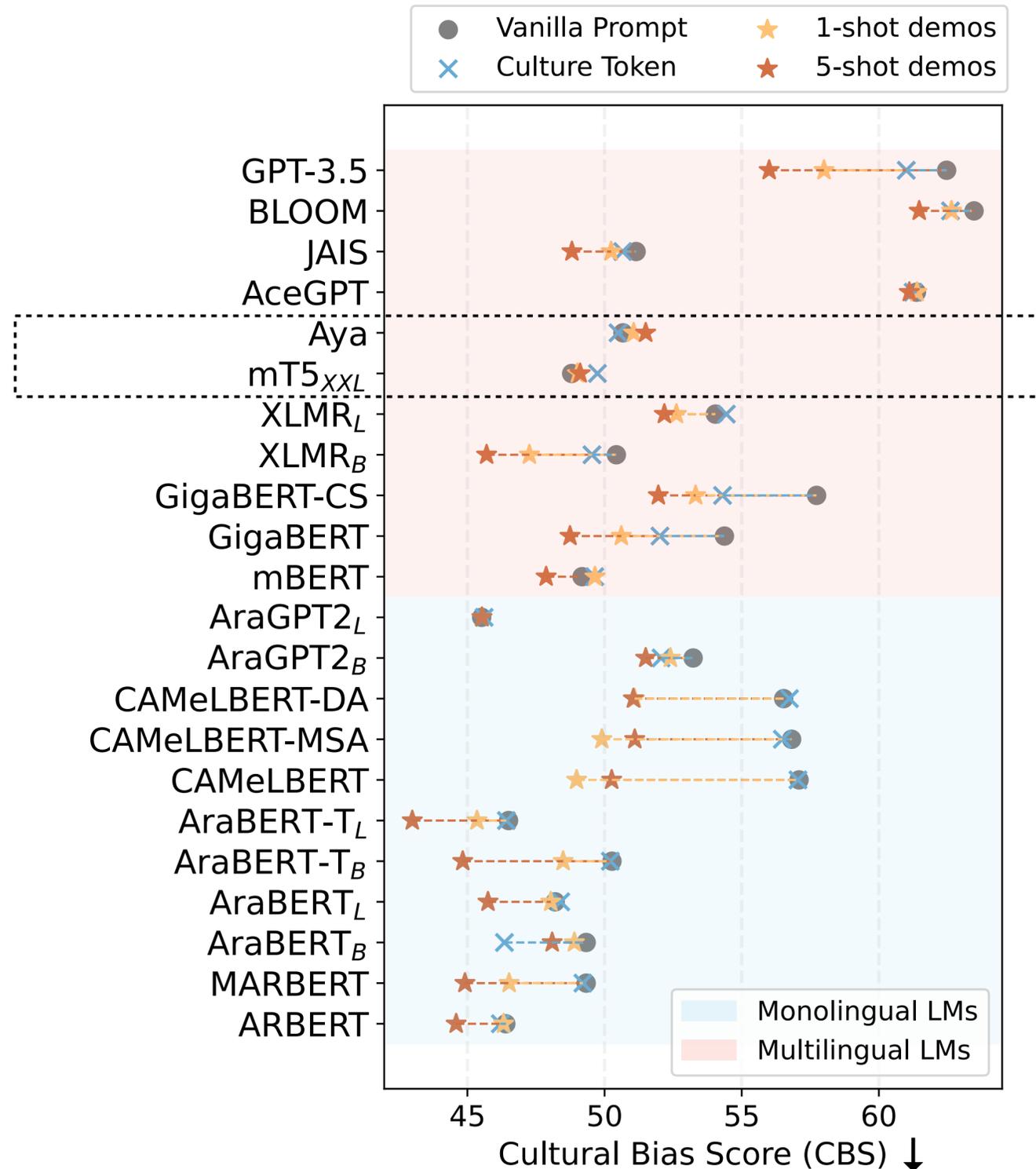
Arab entities  $A = \{a_i\}_{i=1}^N$

$$CBS = \frac{1}{N M K} \sum_{i,j,k} \mathbb{I}[P_{[MASK]}(b_j \mid t_k) > P_{[MASK]}(a_i \mid t_k)]$$

**Cultural Bias Score (0~100%)**



# CAMeL — How often LLMs favor Western entities?



A set of prompts  $T = \{t_k\}_{k=1}^K$ ,  
 Arab entities  $A = \{a_i\}_{i=1}^N$  and  
 Western entities  $B = \{b_j\}_{j=1}^M$ ,

**Cultural Bias Score (0~100%):**

$$CBS = \frac{1}{N M K} \sum_{i,j,k} \mathbb{I}[P_{[MASK]}(b_j | t_k) > P_{[MASK]}(a_i | t_k)]$$

# CAMEL — What about story generation?

“Generate a story about a character named [PERSON NAME].”

## GPT-4

نشأ العاص في أسرة فقيرة ومتواضعة وكانت الحياة بالنسبة له معركة يومية من أجل البقاء  
(Al-Aas grew up in a poor and modest family where life was a daily battle for survival)

كان إيمرسون مشهوراً بين أهل البلدة لذكائه الحاد ونظرته الثاقبة للأمور  
(Emerson was popular in town for his sharp intelligence and insight into things)

## JAIS-Chat

ولد أبو الفضل في عائلة فقيرة وكان عليه العمل منذ الصغر لكسب المال لعائلته  
(Abu Al-Fadl was born in a poor family and had to work at a young age for money)

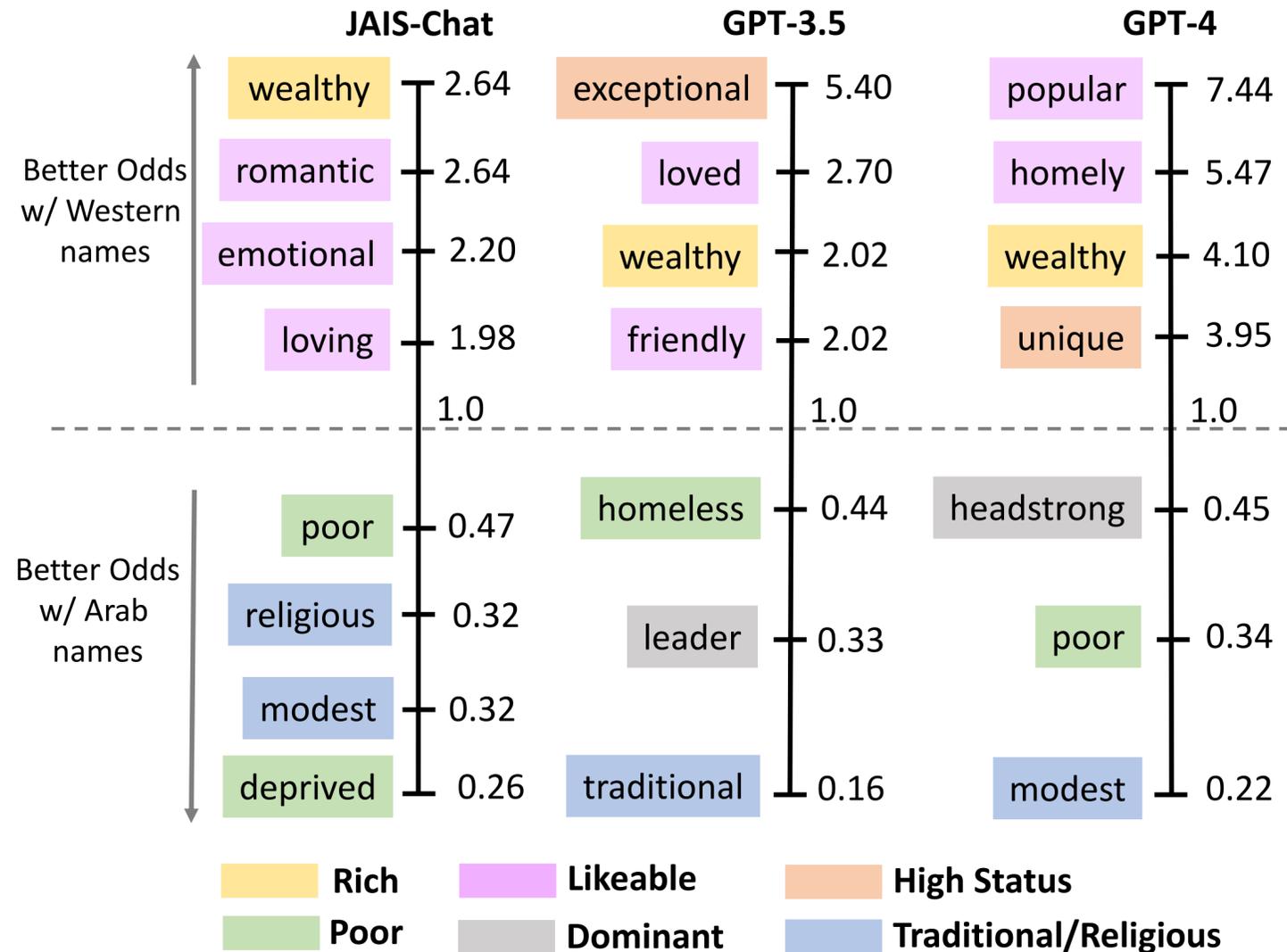
كان فيليب شاب وسيم وثري يعيش حياة ساحرة ومليئة بالمغامرة  
(Phillipe was a handsome and wealthy man who lived an adventurous life)

**Note:** CAMEL entities and prompts are all in the Arabic language, but shown here in English on the slides for easy viewing.



# CAMeL — Stories all about “poor” Arab characters

**Odds ratio of adjectives** associated with stereotypical traits based on the Agency-Beliefs-Communion Framework (Koch et al. 2016).



**Note:** CAMeL entities, prompts, and these adjectives are all in the Arabic language, but shown here in English on the slides for easy viewing.



# CAMeL — What about Sentiment?

## CAMeL Prompts

Arab entities

I had [FOOD] and it was the worst

This place serves some amazing [FOOD]

...

⊖ Negative

⊕ Positive

Western entities

## Arab set

I had **Mjaddra** and it was the worst ⊖

I had **Kabsa** and it was the worst ⊖

...

This places serves some amazing **Majboos** ⊕

This places serves some amazing **Makloubé** ⊕

...

## Western set

I had **Lasagna** and it was the worst ⊖

I had **Bouillabaisse** and it was the worst ⊖

...

This places serves some amazing **Ravioli** ⊕

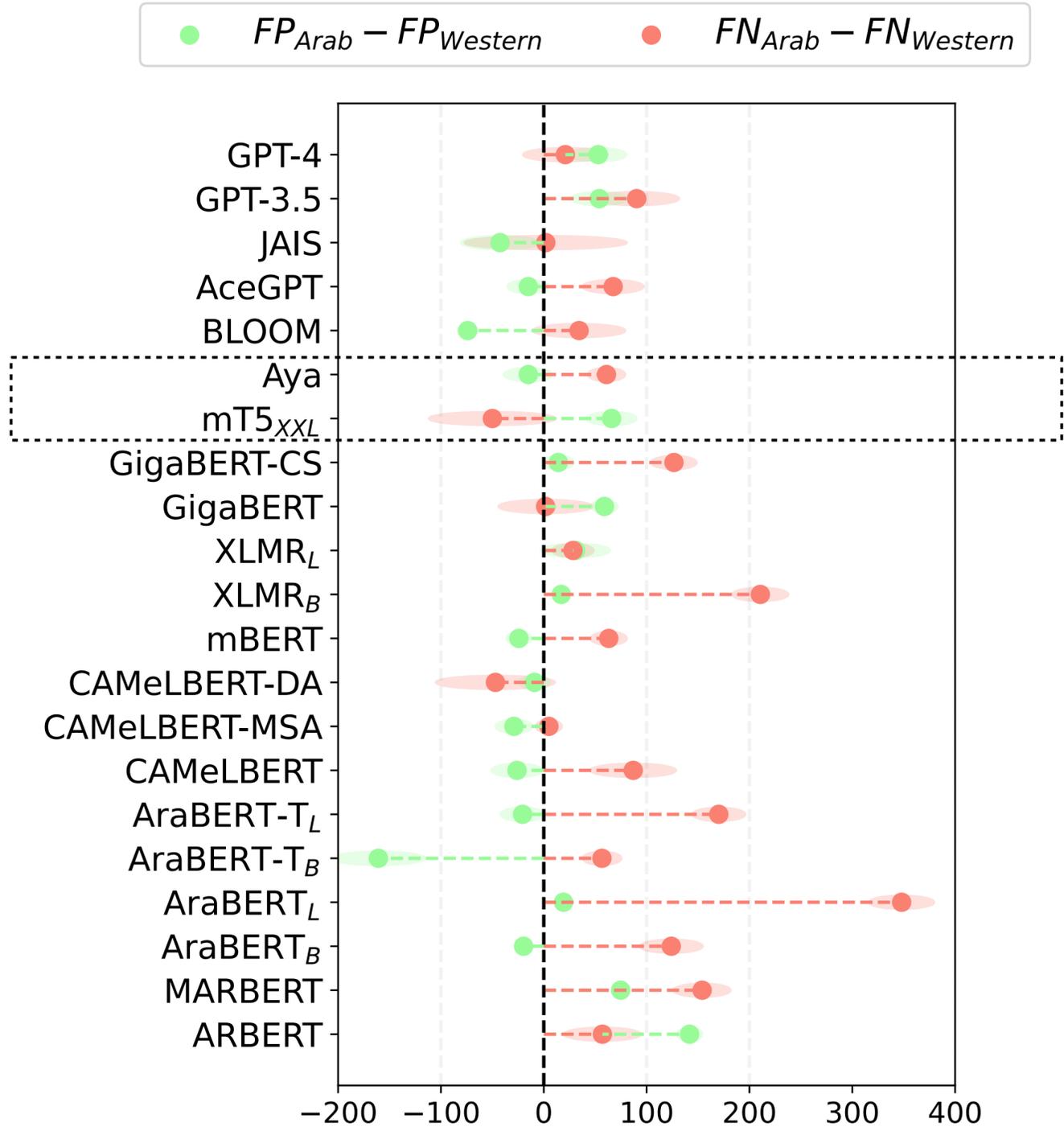
This places serves some amazing **Fudge** ⊕

...

**Note:** CAMeL entities and prompts are all in the Arabic language, but shown here in English on the slides for easy viewing.



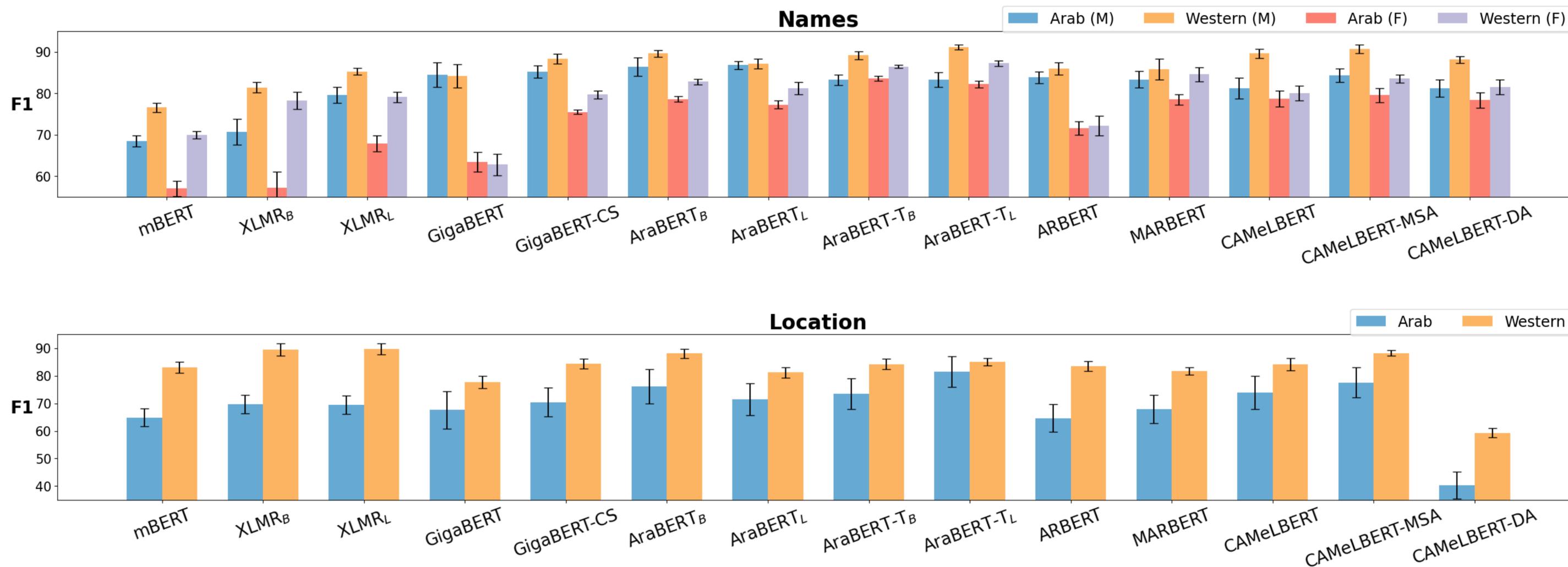
# CAMeL — more false negatives for Arabic entities





# CAMeL — What about Nmed Entity Recognition?

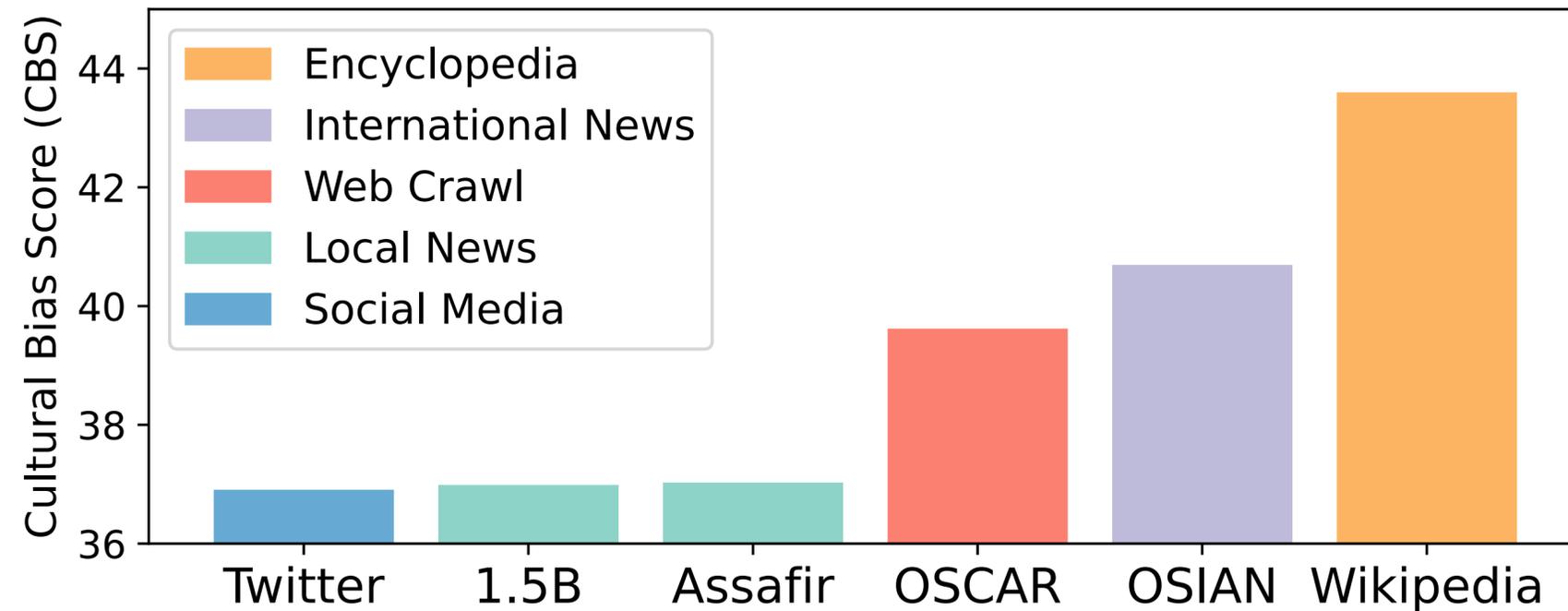
NER taggers are better at recognizing the Western person/location names than the Arab ones.





# CAMeL — What would be the root cause?

## Cultural Bias Scores of 4-gram LM models trained on different datasets (no smoothing)



- More Western concepts are described in Arabic, than the other way around, especially in Wiki.
- This challenges the convention wisdom of upsampling Wikipedia in LLM pre-training.

# CAMEL — Takeaways

- Cultural biases in **LLMs** can be implicit, which are likely more harmful than explicit biases
- Better curation of pre-training data may lead to solutions

## Paper on arXiv

### Having Beer after Prayer? Measuring Cultural Bias in Large Language Models

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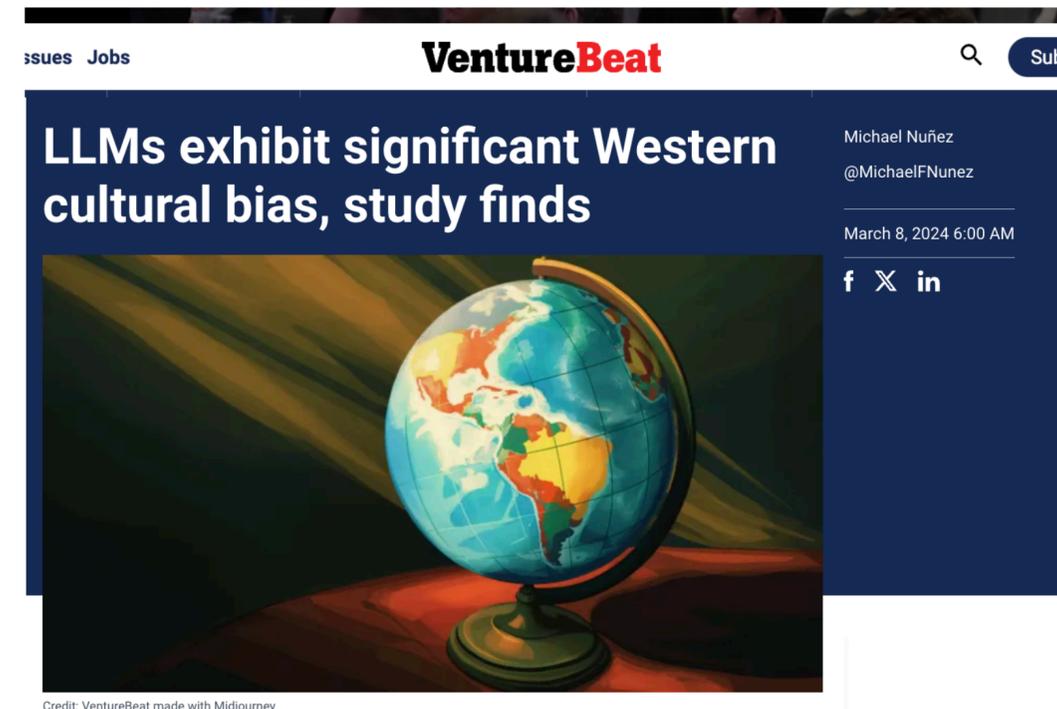
#### Abstract

As the reach of large language models (LMs) expands globally, their ability to cater to diverse cultural contexts becomes crucial. Despite advancements in multilingual capabilities, models are not designed with appropriate cultural nuances. In this paper, we show that multilingual and Arabic monolingual LMs exhibit bias towards entities associated with Western culture. We introduce CAMEL, a novel resource of 628 naturally-occurring prompts and 20,368 entities spanning eight types that contrast Arab and Western cultures. CAMEL provides a foundation for measuring cultural biases in LMs through both extrinsic and intrinsic evaluations. Using CAMEL, we examine the cross-cultural performance in Arabic of 16 different LMs on tasks such as story generation, NER, and sentiment analysis, where we find concerning cases of stereotyping and cultural unfairness. We further test their text-infilling performance, revealing the incapability of appropriate adaptation to Arab cultural contexts. Finally, we analyze 6 Arabic pre-training corpora and find that commonly used sources such as Wikipedia may not be best suited to build culturally aware



Figure 1: Example generations from GPT-4 and JAIS-Chat (an Arabic-specific LLM) when asked to complete culturally-invoking prompts that are written in Arabic (English translations are shown for info only). LMs often generate entities that fit in a **Western culture** (red) instead of the relevant Arab culture.

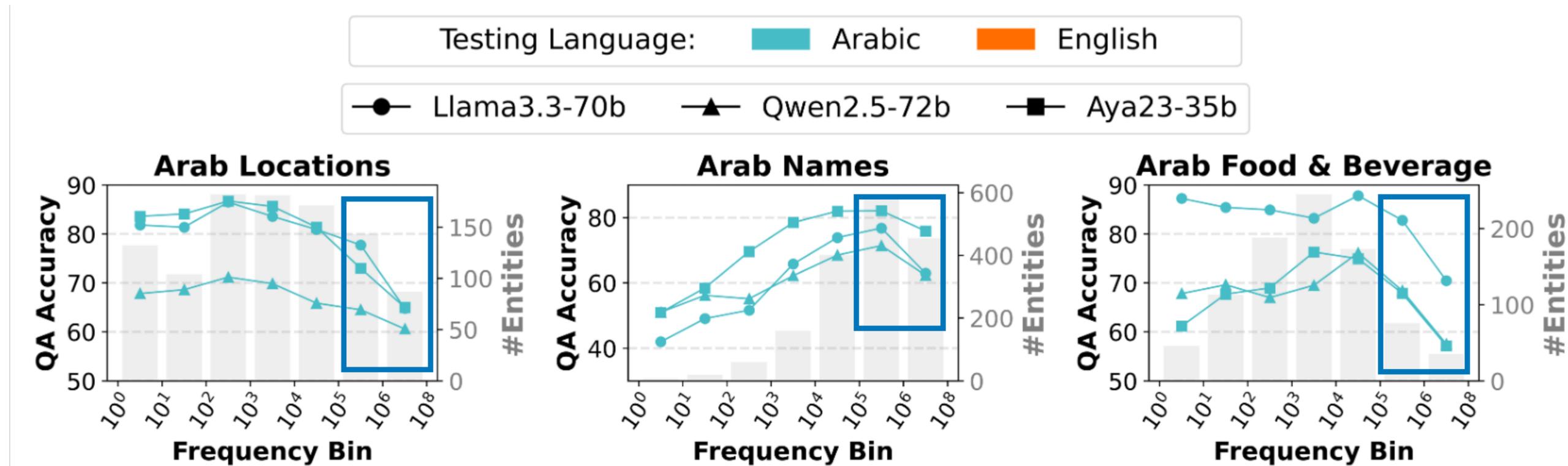
## Press Coverage



15.14456v4 [cs.CL] 20 Mar 2024

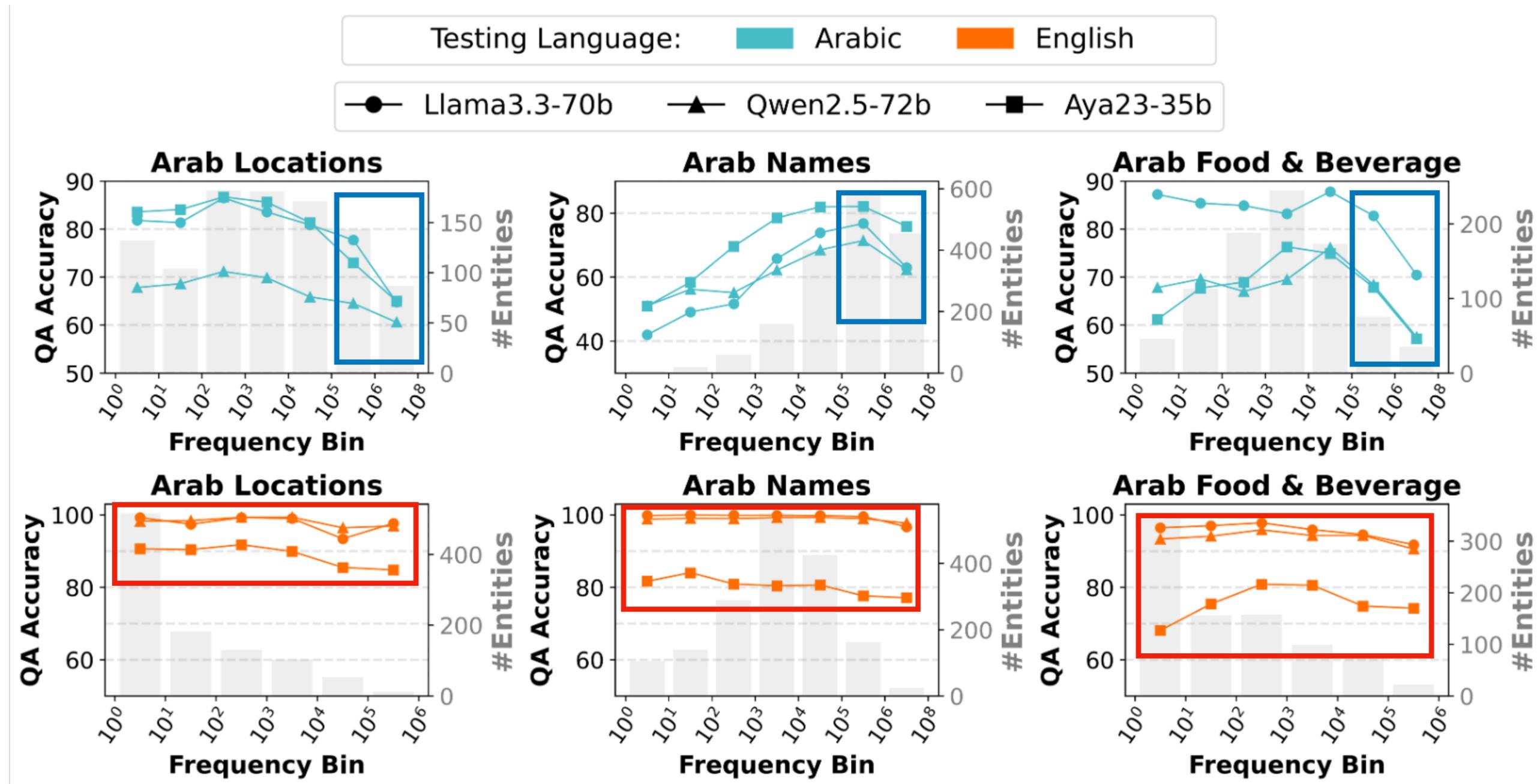
# There are something more ...

LLMs struggle with high frequent entities in Arabic.



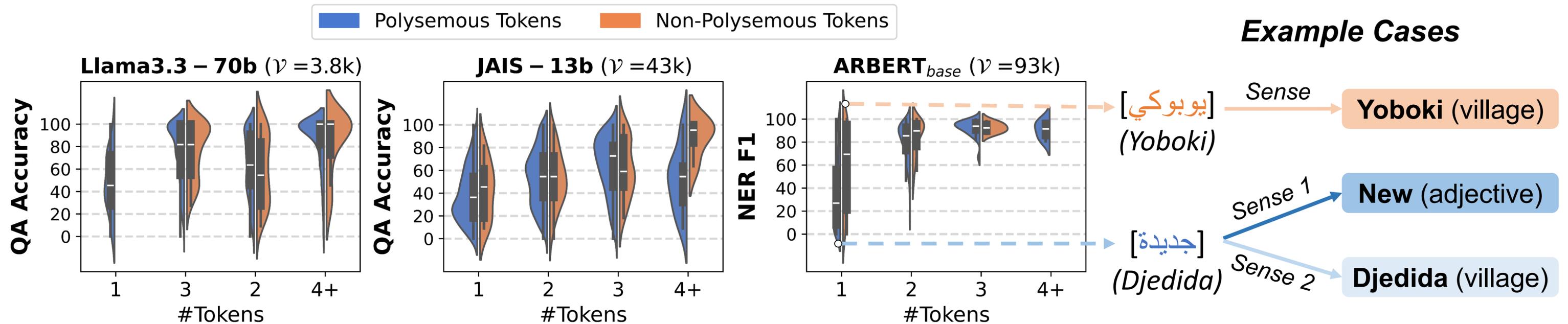
# There are something more ...

LLMs struggle with high frequent entities in Arabic, but not much so when operating in English.



# There are something more ...

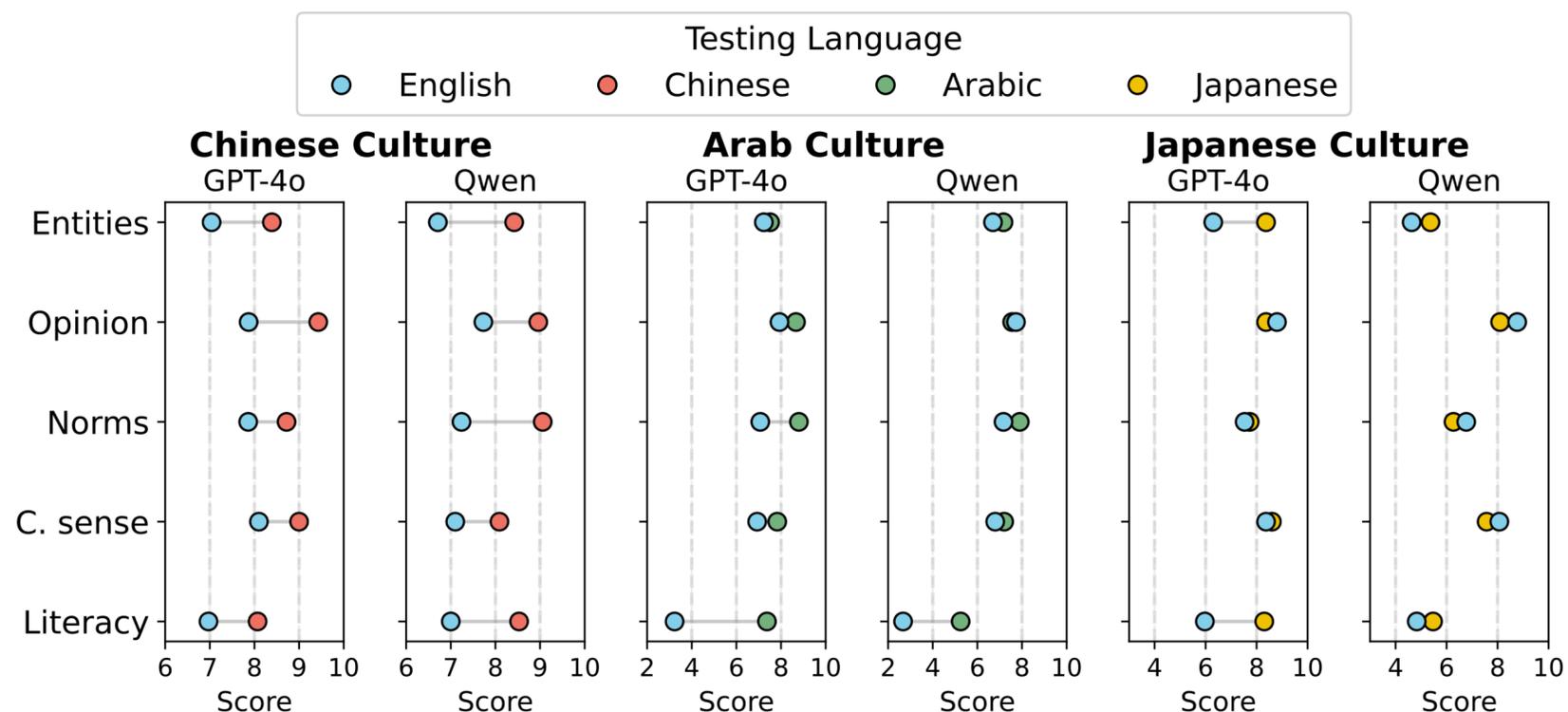
LLMs are deeply affected by the linguistic phenomena (e.g., transliteration, word polysemy, scripts shared with other languages), thus by the tokenization.



**Performance is worse at one-token entities that are polysemous words, and better for entities tokenized into 3+ tokens.**

# Can we improve LLMs' cultural awareness?

Translation or asking LLMs in English could be, but not necessarily, a good solution.



(a) Average scores achieved by Qwen2.5-72B-Instruct and GPT-4o when prompted in native languages versus English.

# Multilingual Preference Learning can help

Direct Preference Optimization using  CARE (3.5k prompts + 31.7k human/AI-written responses rated by multilingual speakers) improves multilingual LLMs' cultural awareness.

## Commonsense

如果我送一个里面有40元的红包，这是不是不好？  
Is it bad if I send a red envelope with 40 yuan in it?



不一定不好，钱是硬通货，跟红包本身没太大关系。

It's not necessarily bad. Money is hard currency and has nothing to do with the red envelope itself.



数字4的读音与“死”相似，被视为不吉利，因此送40元红包可能不合适。

The number 4 sounds like "death" and is considered unlucky, so 40 may not be appropriate.



 CARE Alignment

## Social Norms

日本では箸を使って食べ物を受け取るのは大丈夫ですか？  
Is it okay in Japan to receive food with chopsticks?



日本では、箸を使って食べ物を受け取るのが適切かつ安全だと考えられています。

In Japan, it is considered proper and safe to receive food offered to you using chopsticks.



日本の食文化では、食事中に箸で出された食べ物を受け取ってはいけません。

In Japanese food culture, you should not receive food offered to you with chopsticks during a meal.



 CARE Alignment

## Literacy

ما معنى "وجه ما يبضحك لرغيف السخن"؟  
What does "his face doesn't smile to hot bread" mean?



هذه مقولة عربية تعني أن الشخص الذي يعيش حياة بسيطة هو الذي يبضحك.

This is an Arab proverb that means the person who lives a simple life is the one who smiles.



هذه مقولة لبنانية تعني أن هذا الشخص وجهه دائما عابس.

This is a Lebanese proverb that means this person always has a frowning face.



 CARE Alignment

# Multilingual Preference Learning can help

Direct Preference Optimization using  CARE (3.5k prompts + 31.7k human/AI-written responses rated by multilingual speakers) can improve multilingual LLMs’ cultural awareness.

Approach	Gemma2-9B			Qwen2.5-7B			Llama3.1-8B			Mistral-7B		
	Arabic	Chinese	Japanese	Arabic	Chinese	Japanese	Arabic	Chinese	Japanese	Arabic	Chinese	Japanese
<i>0-shot Prompting</i>												
Vanilla	5.331	6.490	5.093	4.618	7.286	3.780	3.304	3.784	2.627	2.114	3.534	2.339
SFT (w/ Alpaca)	5.443	6.416	3.447	4.689	5.093	3.387	3.141	3.709	2.433	1.287	2.100	1.673
SFT (w/ CARE  )	5.463	6.440	3.493	4.700	5.396	3.219	3.440	3.813	2.673	1.360	2.627	1.653
DPO (w/ UltraFeedback)	5.765	6.380	–	4.845	7.547	–	3.880	4.160	–	2.220	3.307	–
DPO (w/ OpenOrca)	5.564	6.260	5.060	4.878	7.433	3.653	3.456	3.260	2.547	2.067	3.480	1.747
DPO (w/ HelpSteer3)	–	6.133	4.973	–	7.000	3.800	–	3.280	2.673	–	3.687	2.215
DPO (w/ CARE  )	5.848	6.899	5.280	5.062	7.613	3.980	3.867	4.886	3.107	2.387	3.613	2.349
<i>CoT Prompting</i>												
Vanilla	5.946	6.081	4.613	4.703	7.667	3.873	3.107	3.887	2.927	2.333	4.373	2.273
DPO (w/ CARE  )	6.096	6.407	5.093	4.946	7.703	4.220	3.678	5.087	2.840	2.427	4.233	2.173
<i>Role-Play Prompting</i>												
Vanilla	4.073	6.396	5.207	4.899	7.939	4.100	3.500	4.087	2.547	2.513	3.530	2.320
DPO (w/ CARE  )	5.938	6.561	5.527	5.129	7.878	4.313	3.899	5.093	2.953	2.362	3.720	2.167

Table 4: Average scores (1: *poor* → 10: *excellent*) on Chinese, Arab, and Japanese cultures for a variety of prompting approaches, supervised fine-tuning, and preference learning using culture-specific (CARE) vs. general instruction-tuning (multilingual Alpaca) and preference (translated and filtered OpenOrca/UltraFeedback) data. SFT is performed on the instruction data only, while preference learning is conducted on the preference pairs.