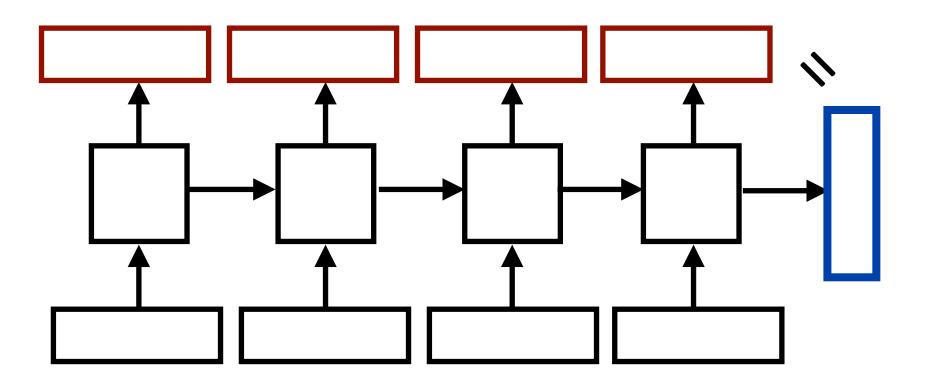
CNNs & Neural CRFs

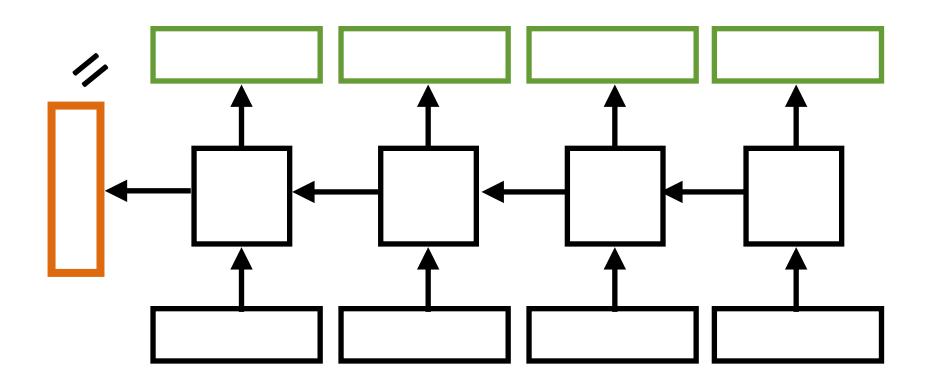
(many slides from Greg Durrett, Stanford 231n)

Wei Xu

Recap — What do RNNs produce?



 RNN can be viewed as a transformation of a sequence of vectors into a sequence of context-dependent vectors



Neural CRFs

CNNs

CNNs for Sentiment, Entity Linking

This Lecture

Administrivia

Reading — Goldberg 9 (CNN); Eisenstein 3.4, 7.6

A Primer on Neural Network Models for Natural Language Processing

Yoav Goldberg Draft as of October 5, 2015.

> The most up-to-date version of this manuscript is available at http://www.cs.biu. ac.il/~yogo/nnlp.pdf. Major updates will be published on arxiv periodically. I welcome any comments you may have regarding the content and presentation. If you spot a missing reference or have relevant work you'd like to see mentioned, do let me know. first.last@gmail

Abstract

Over the past few years, neural networks have re-emerged as powerful machine-learning models, yielding state-of-the-art results in fields such as image recognition and speech processing. More recently, neural network models started to be applied also to textual natural language signals, again with very promising results. This tutorial surveys neural network models from the perspective of natural language processing research, in an attempt to bring natural-language researchers up to speed with the neural techniques. The tutorial covers input encoding for natural language tasks, feed-forward networks, convolutional networks, recurrent networks and recursive networks, as well as the computation graph abstraction for automatic gradient computation.

Neural CRF

NER Revisited

B-PER I-PER O O O B-ORG O 0 **Barack Obama** will travel to Hangzhou today for the G20 meeting. PERSON ORG

- Features in CRFs: I[tag=B-LOC & curr word=Hangzhou], I[tag=B-LOC & prev_word=to], I[tag=B-LOC & curr_prefix=Han]
- Linear model over features
- Downsides:

 - work well to look at more than 2 words with a single feature)

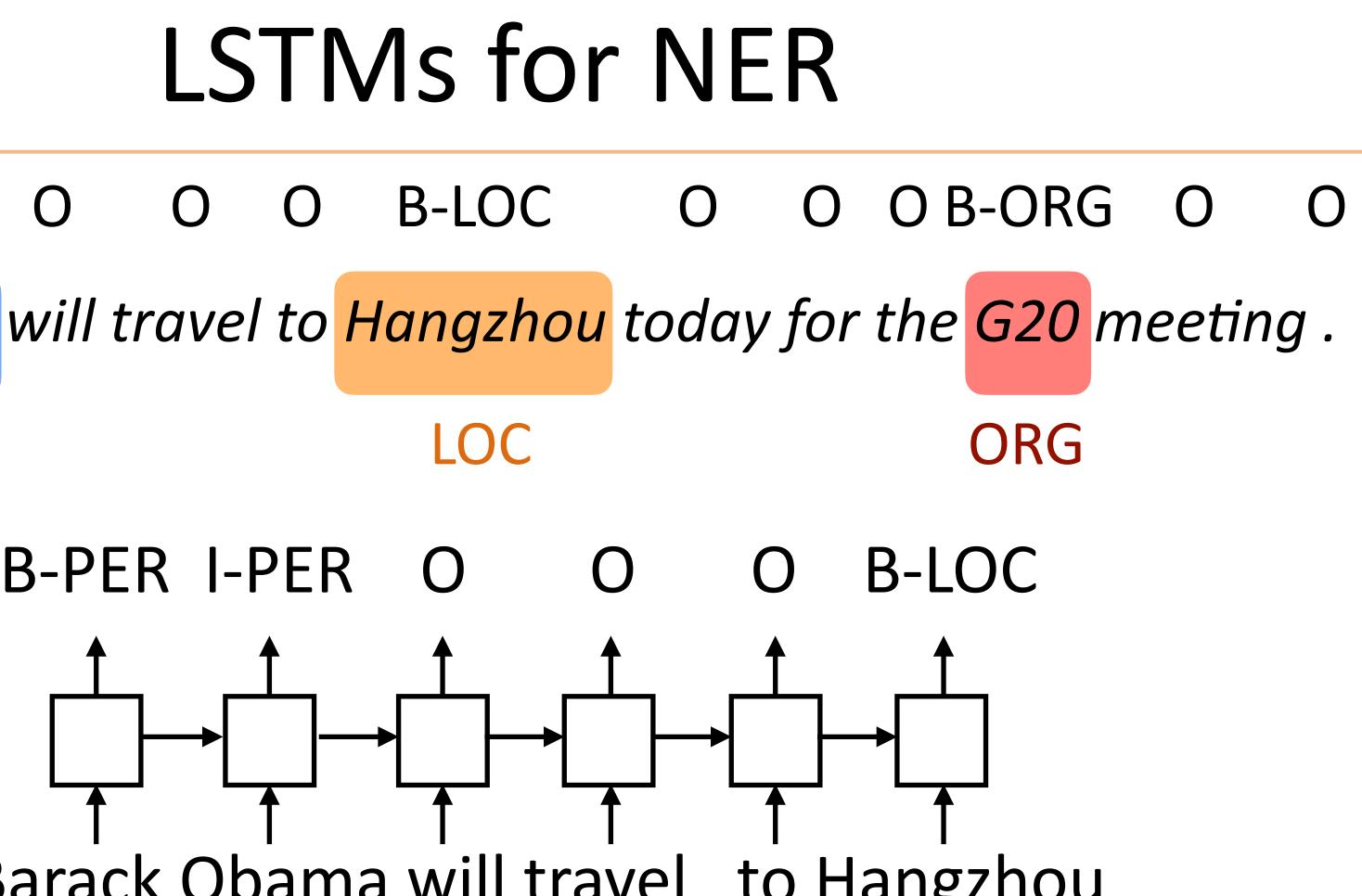
Lexical features mean that words need to be seen in the training data

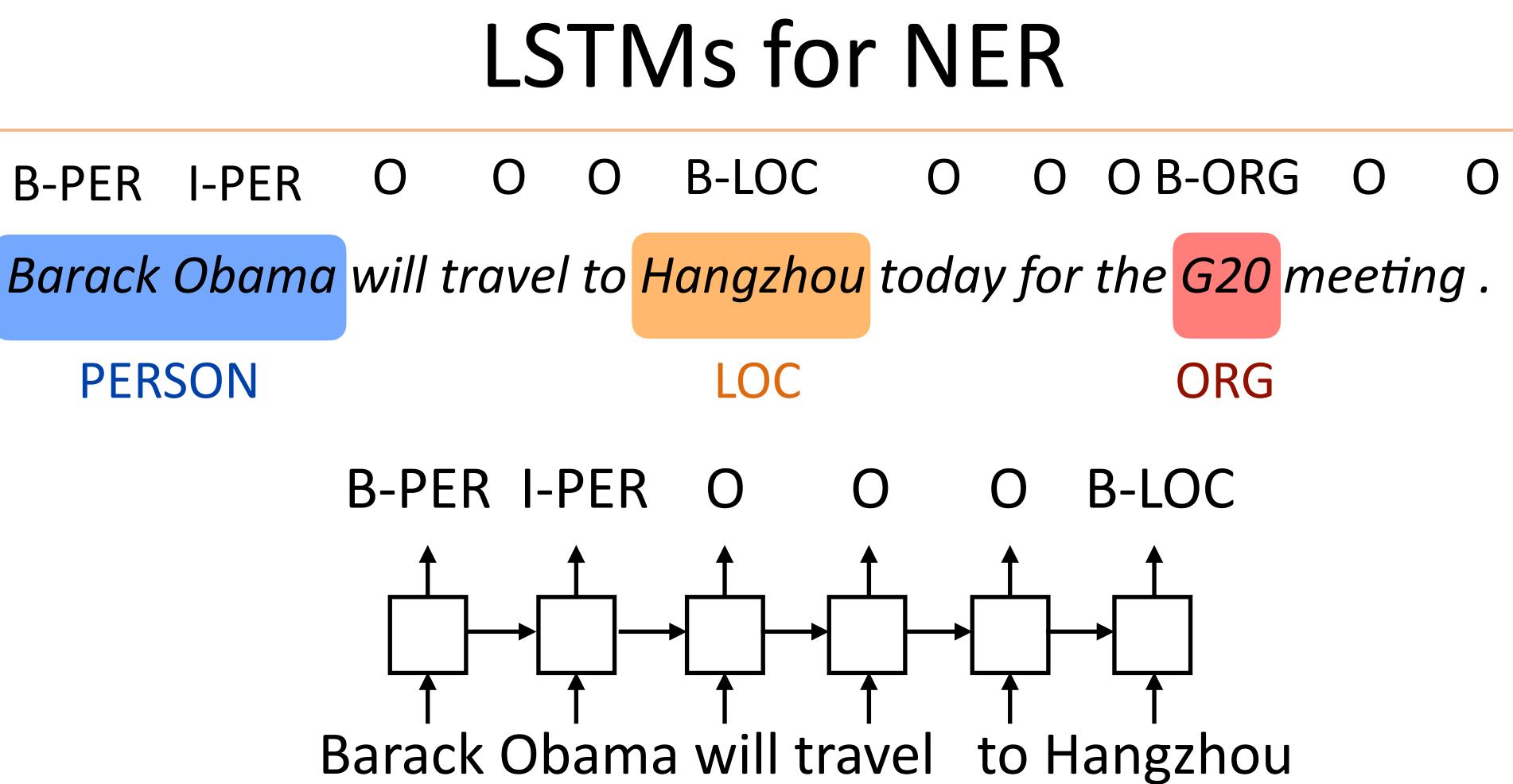
Linear model can't capture feature conjunctions as effectively (doesn't



I-PER **B-PER**

PERSON



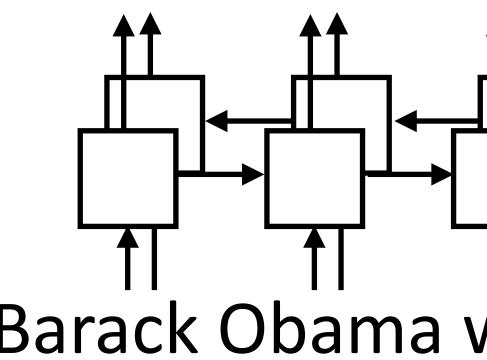


- Transducer (LM-like model)
- the linear CRFs?

Q1: What are the strengths and weaknesses of this model compared to

0 LOC ORG **B-LOC**

LSTMs for NER O O B-LOC O O B-ORG O I-PER **B-PER Barack Obama** will travel to **Hangzhou** today for the **G20** meeting. PERSON B-PER I-PER O O Barack Obama will travel to Hangzhou



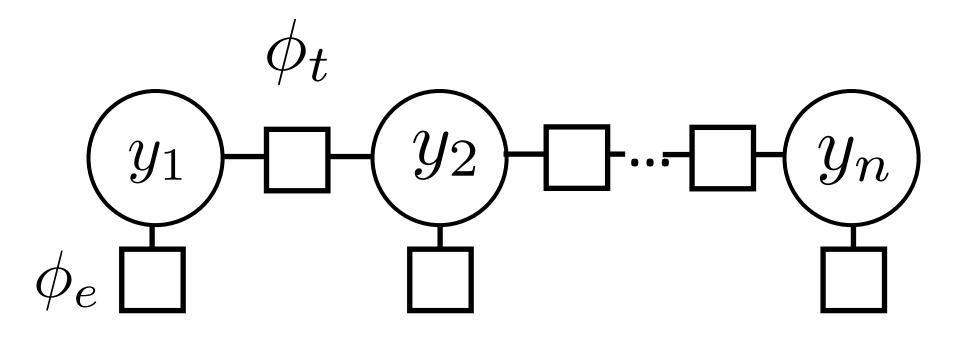
- Bidirectional transducer model
- the linear CRFs?

Q2: What are the strengths and weaknesses of this model compared to

Recall: Sequential CRFs

• Model: $P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^{n} \exp(\phi_t)$

• Normalizing constant $Z = \sum_{\mathbf{y}} \prod_{i=2}^{n}$



$$t(y_{i-1}, y_i)) \prod_{i=1}^{n} \exp(\phi_e(y_i, i, \mathbf{x}))$$

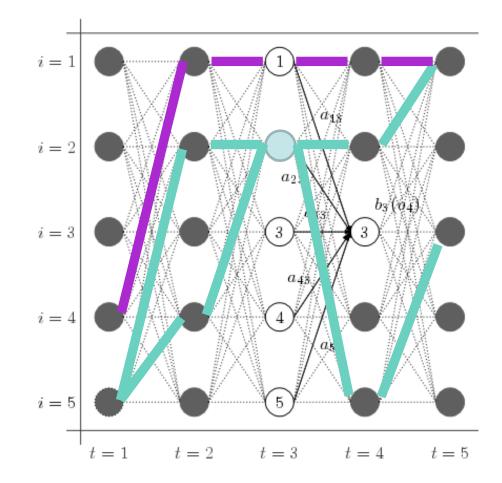
$$\exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$

Recall — Sequential CRFs

- Model: $P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{t=1}^{n} \exp(\phi_t)$ i=2
- Inference: argmax P(y|x) from Viterbi
- Learning: run forward-backward to compute marginals $P(y_i = s | \mathbf{x}) = \sum P(\mathbf{y} | \mathbf{x})$ $y_1, \dots, y_{i-1}, y_{i+1}, \dots, y_n$

 $P(y_i = s_1, y_{i+1} = s_2 | \mathbf{x})$, then update gradient

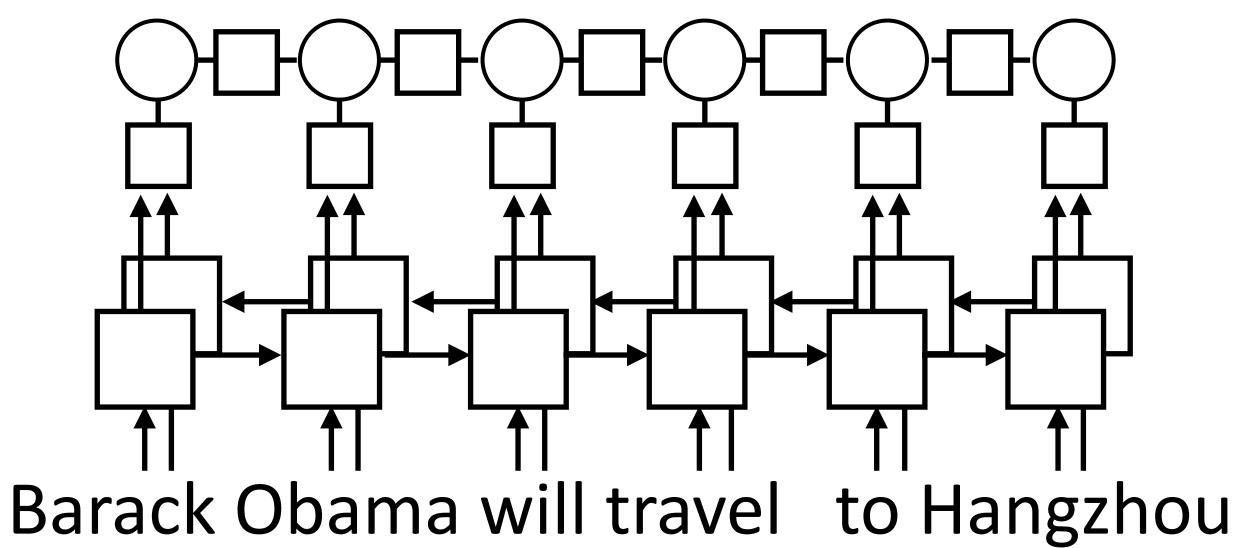
$$t(y_{i-1}, y_i)) \prod_{i=1}^n \exp(\phi_e(y_i, i, \mathbf{x}))$$



Neural CRFs

O O B-LOC O O B-ORG O 0 I-PER **B-PER Barack Obama** will travel to **Hangzhou** today for the **G20** meeting.

PERSON



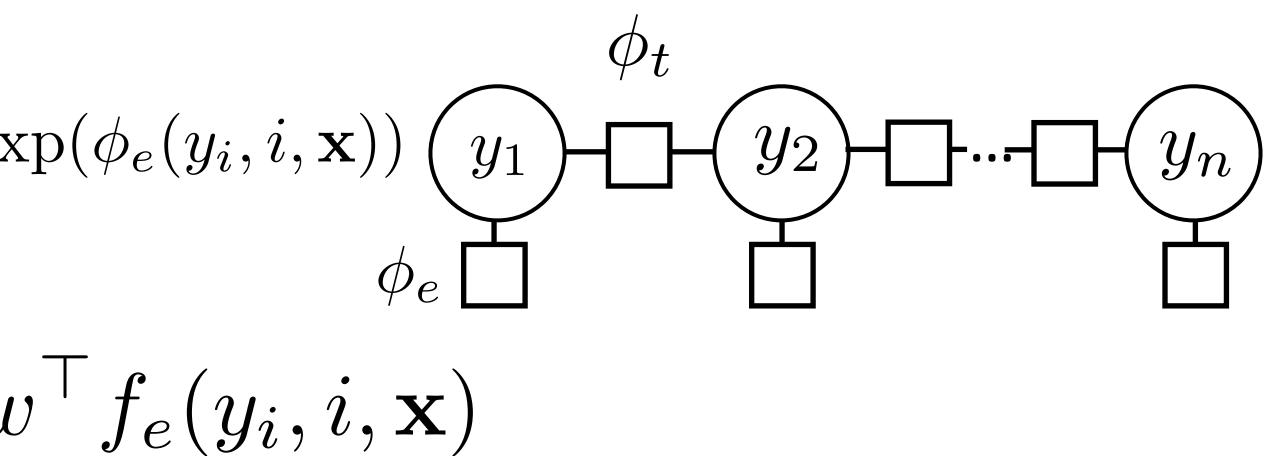
Neural CRFs: bidirectional LSTMs (or some NN) compute emission potentials, capture structural constraints in transition potentials

- LOC ORG

Neural CRFs

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^{n} \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^{n} \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^$$

- Linear model: $\phi_e(y_i, i, \mathbf{x}) = w^\top f_e(y_i, i, \mathbf{x})$
- Neural: $\phi_e(y_i, i, \mathbf{x}) = W_{u_i}^\top f(i, \mathbf{x})$ W is a num_tags x len(f) matrix f(i, x) could be the output of a feedforward neural network looking at the words around position *i*, or the *i*th output of an LSTM, ...
- Neural network computes unnormalized potentials that are consumed and "normalized" by a structured model
- Inference: compute f, use Viterbi



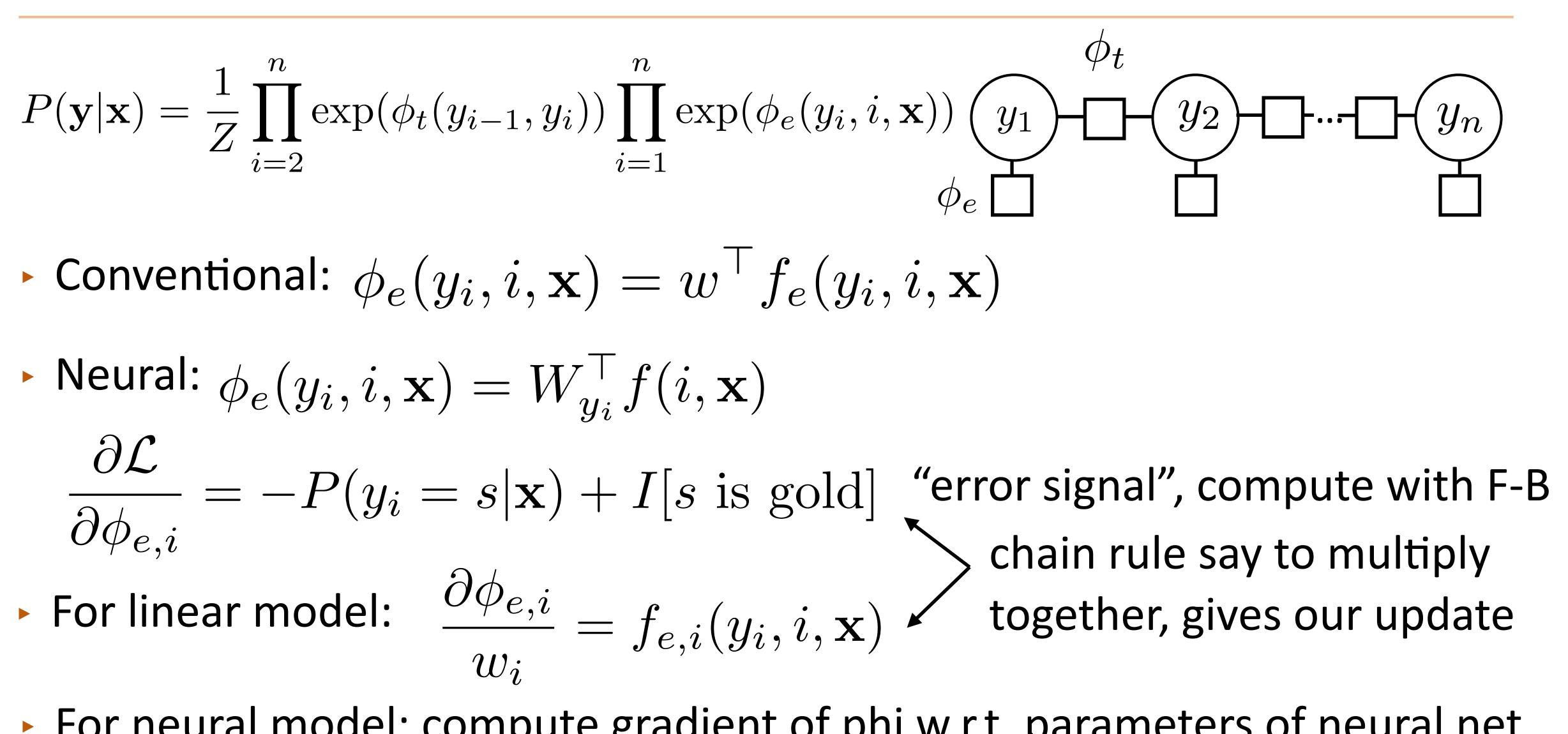


Computing Gradients

$$P(\mathbf{y}|\mathbf{x}) = \frac{1}{Z} \prod_{i=2}^{n} \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^{n} \exp(\phi_t(y_{i-1}, y_i)) \prod_{i=1}^$$

- Conventional: $\phi_e(y_i, i, \mathbf{x}) = w^{\top} f_e(y_i, i, \mathbf{x})$
- Neural: $\phi_e(y_i, i, \mathbf{x}) = W_{u_i}^{\top} f(i, \mathbf{x})$

 W_i

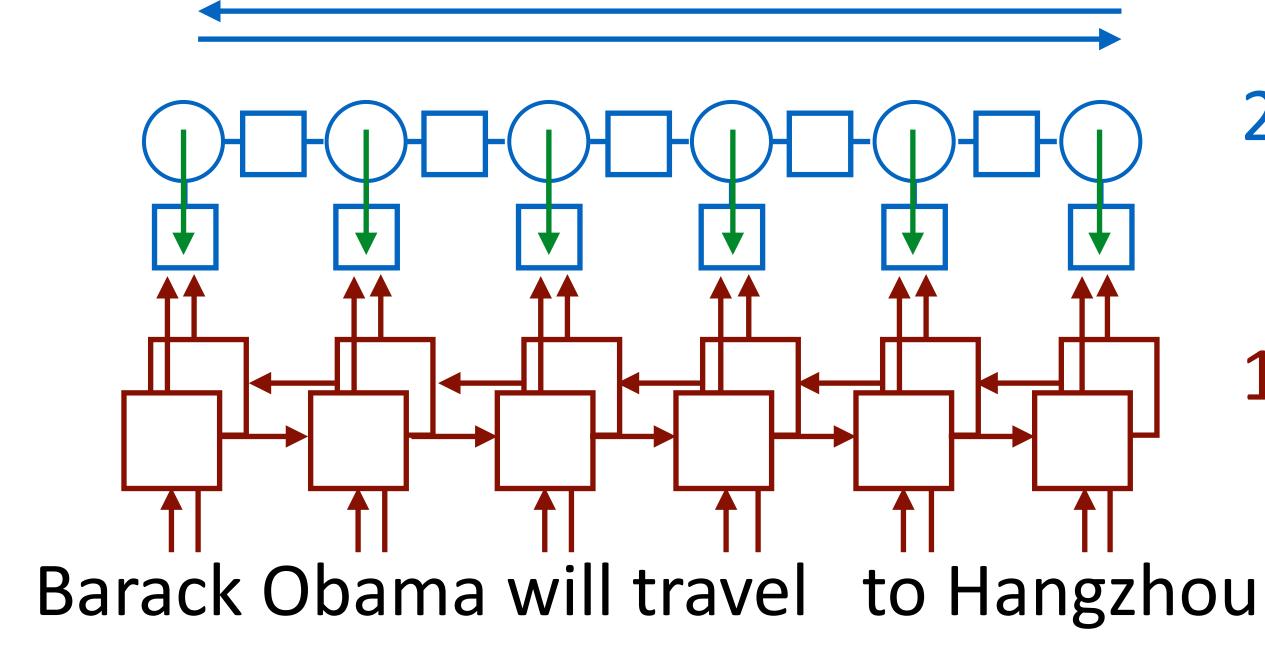


For neural model: compute gradient of phi w.r.t. parameters of neural net

LSTM Neural CRFs

\mathbf{O} ()**B-PER** I-PER

PERSON



O O O B-ORG **B-LOC** (**Barack Obama** will travel to **Hangzhou** today for the **G20** meeting. LOC ORG

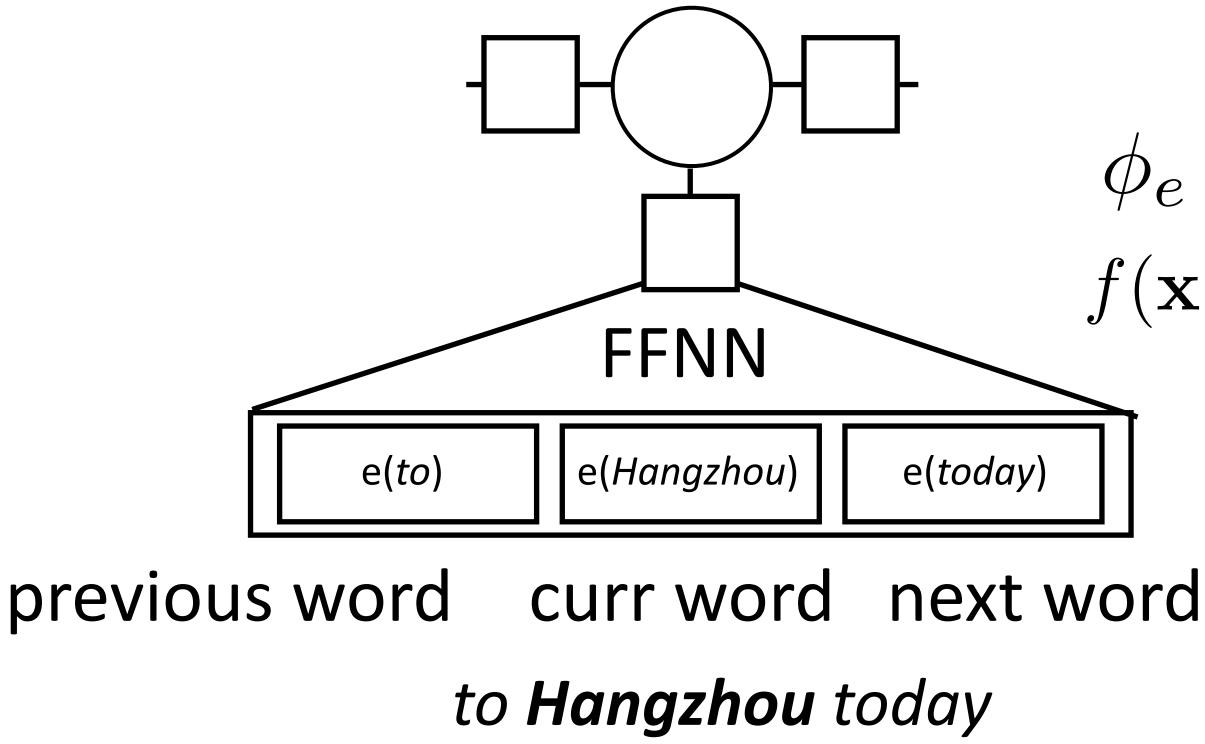
- 2) Run forward-backward
 - 3) Compute error signal
- 1) Compute f(x)
 - 4) Backprop (no knowledge of sequential structure required)





0 B-LOC O O B-ORG \mathbf{O} \mathbf{O} LOC ORG

FFNN Neural CRF for NER **B-PER** I-PER **Barack Obama** will travel to **Hangzhou** today for the **G20** meeting. PERSON

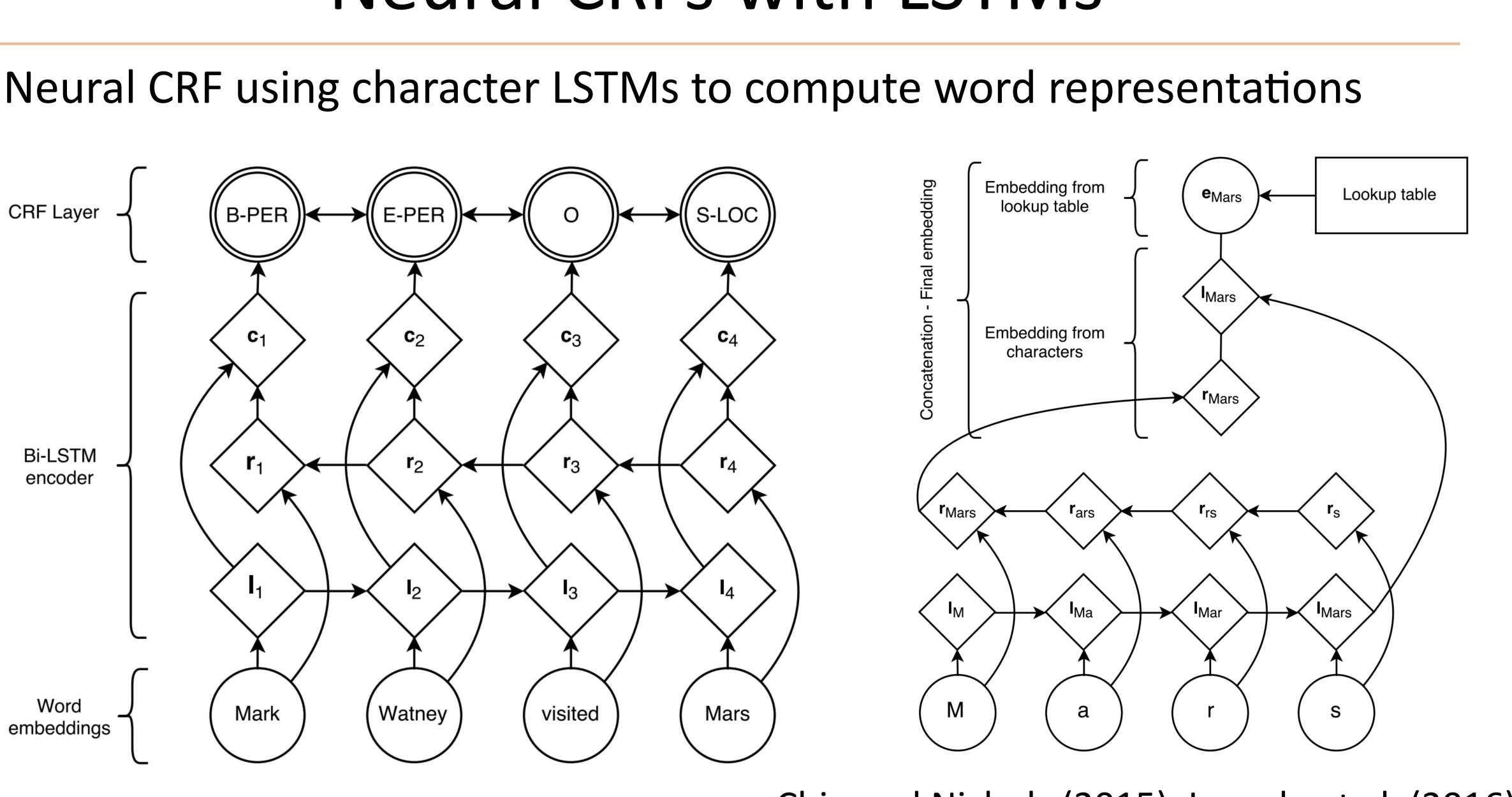


$\phi_e = Wg(Vf(\mathbf{x}, i))$ $f(\mathbf{x}, i) = [\operatorname{emb}(\mathbf{x}_{i-1}), \operatorname{emb}(\mathbf{x}_i), \operatorname{emb}(\mathbf{x}_{i+1})]$

Applications

Neural CRFs with LSTMs

Neural CRF using character LSTMs to compute word representations



Chiu and Nichols (2015), Lample et al. (2016)

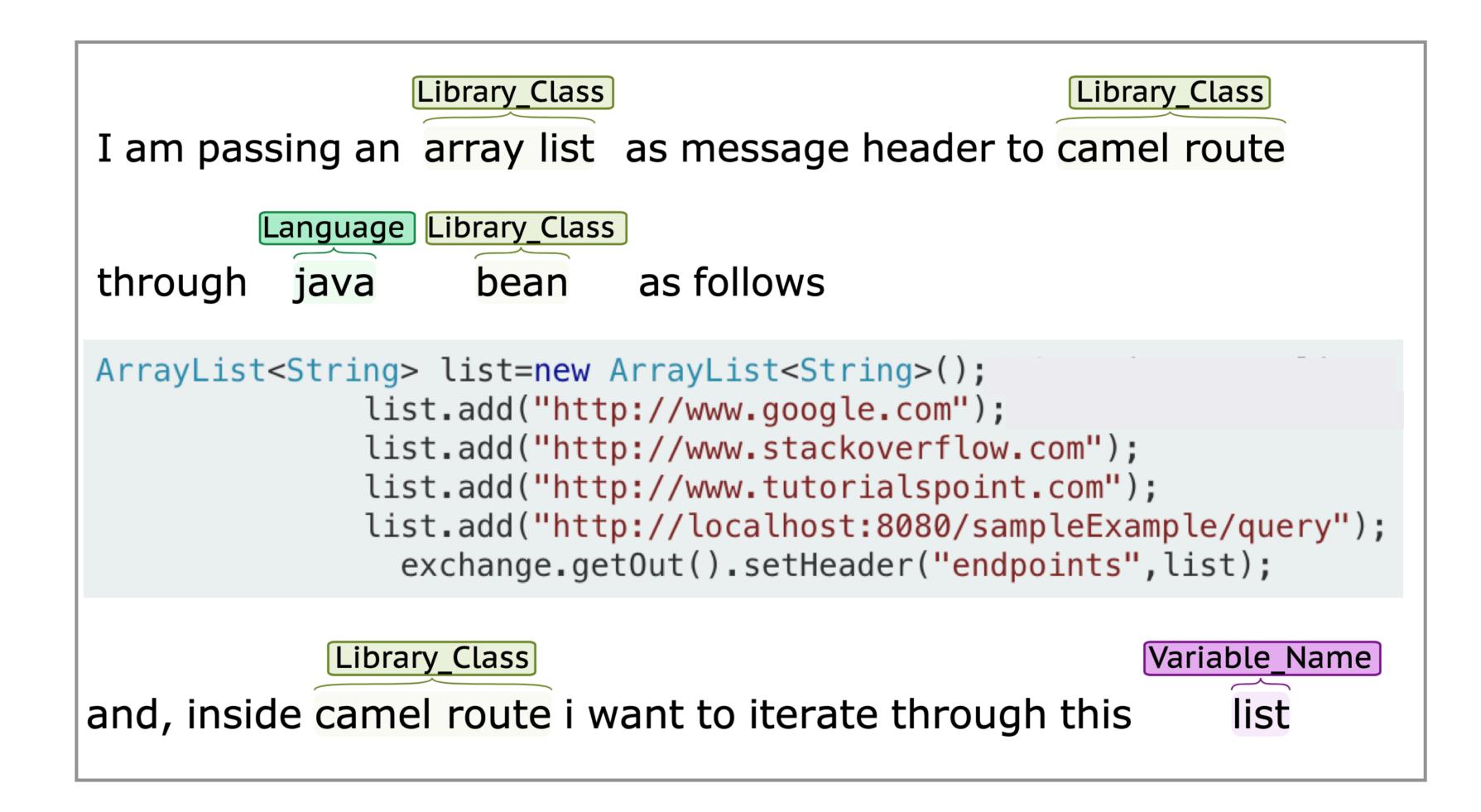
Neural CRFs with LSTMs

- Chiu+Nichols: character CNNs instead of LSTMs
- Lin/Passos/Luo: use external resources like Wikipedia
- LSTM-CRF captures the important aspects of NER: word context (LSTM), sub-word features (character LSTMs), outside knowledge (word embeddings)

Model	$\mathbf{F_1}$
Collobert et al. (2011)*	89.59
Lin and Wu (2009)	83.78
Lin and Wu (2009)*	90.90
Huang et al. (2015)*	90.10
Passos et al. (2014)	90.05
Passos et al. (2014)*	90.90
Luo et al. (2015)* + gaz	89.9
Luo et al. $(2015)^* + gaz + linking$	91.2
Chiu and Nichols (2015)	90.69
Chiu and Nichols (2015)*	90.77
LSTM-CRF (no char)	90.20
LSTM-CRF	90.94

Chiu and Nichols (2015), Lample et al. (2016)

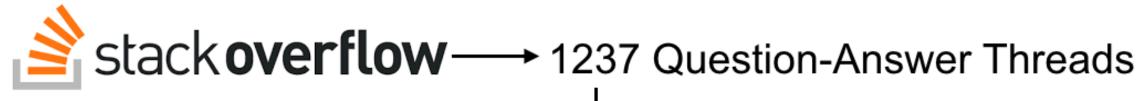




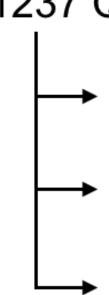
Jeniya Tabassum, Mounica Maddela, Alan Ritter, Wei Xu. "Code and Named Entity Recognition in StackOverflow" (ACL 2020)

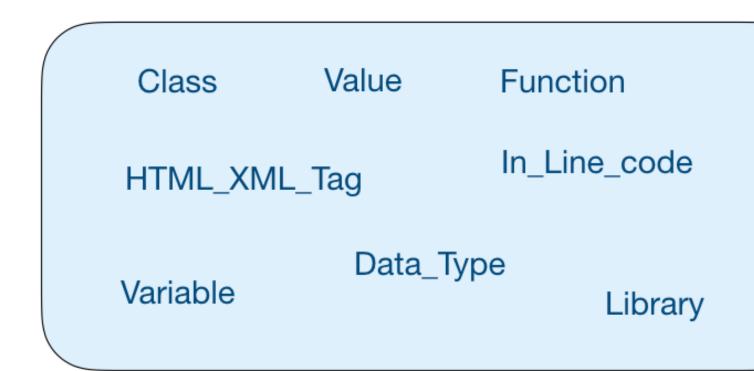


StackOverflow NER Corpus



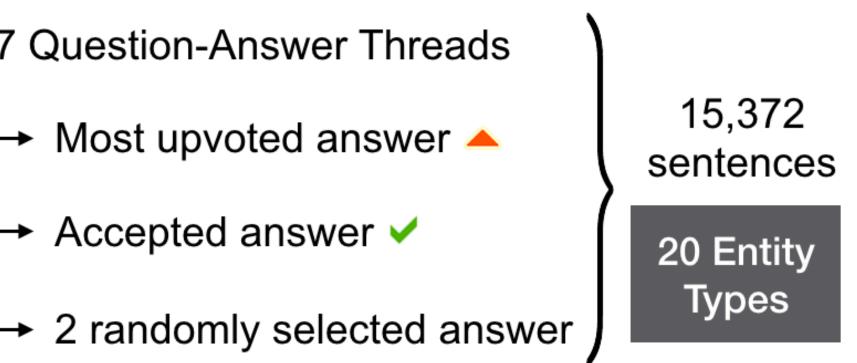
Archive timeline: (2008 - 2018)

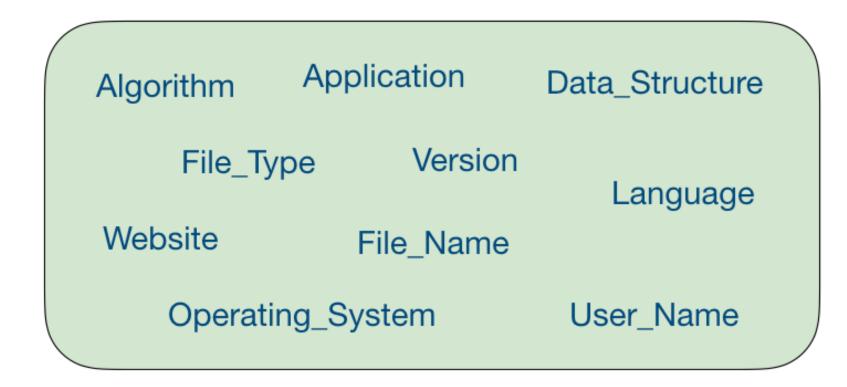




Code Entity Types

Jeniya Tabassum, Mounica Maddela, Alan Ritter, Wei Xu. "Code and Named Entity Recognition in StackOverflow" (ACL 2020)





Natural Language Entity

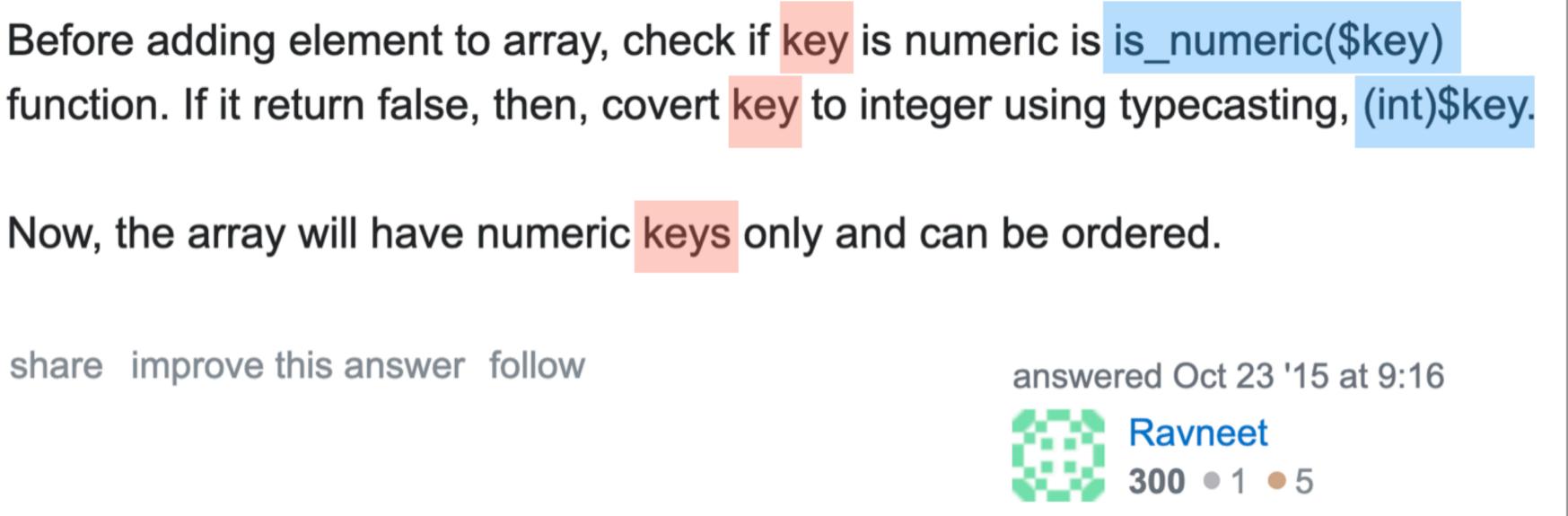


Two Main Challenges

(1) **Polysemy** – e.g., "key", "windows". (2) Inline code — code-switch between human and programming languages.

share improve this answer follow

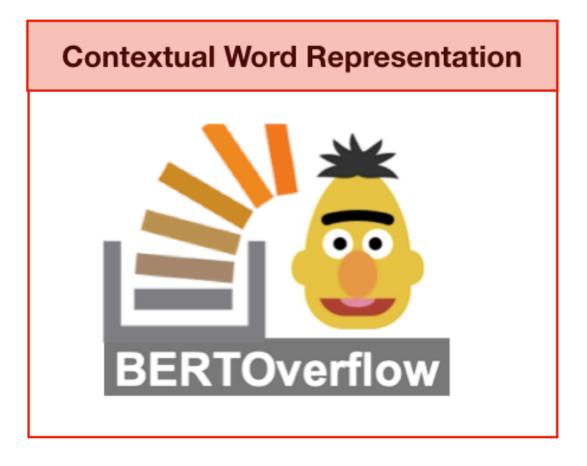
Jeniya Tabassum, Mounica Maddela, Alan Ritter, Wei Xu. "Code and Named Entity Recognition in StackOverflow" (ACL 2020)

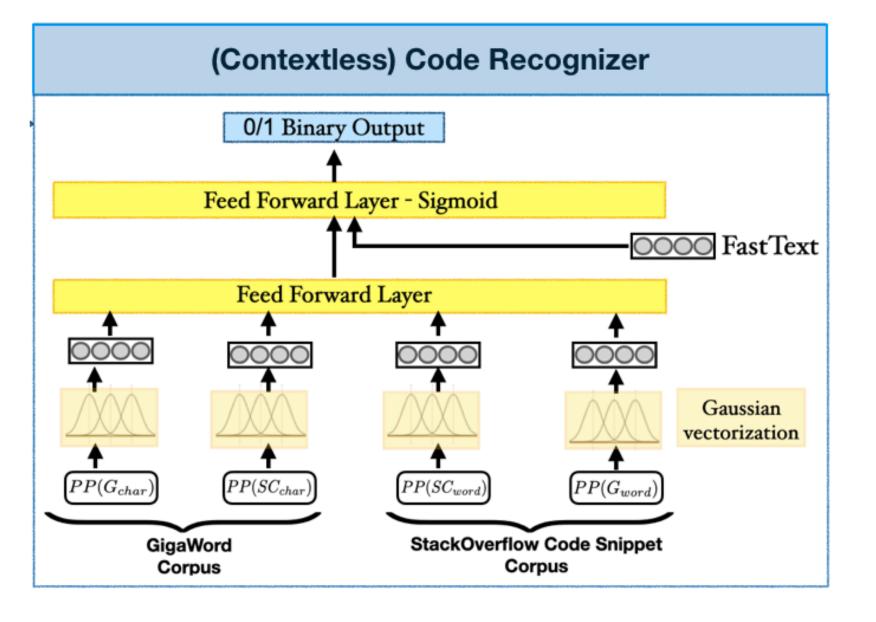




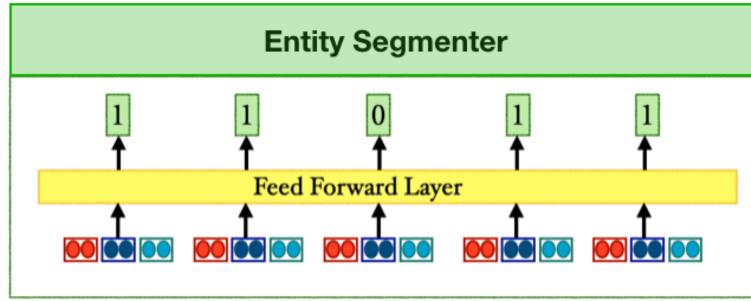
SoftNER Model

Combines BERTOverflow with domain-specific embeddings (Code Recognizer & Entity Segmenter) via attention.



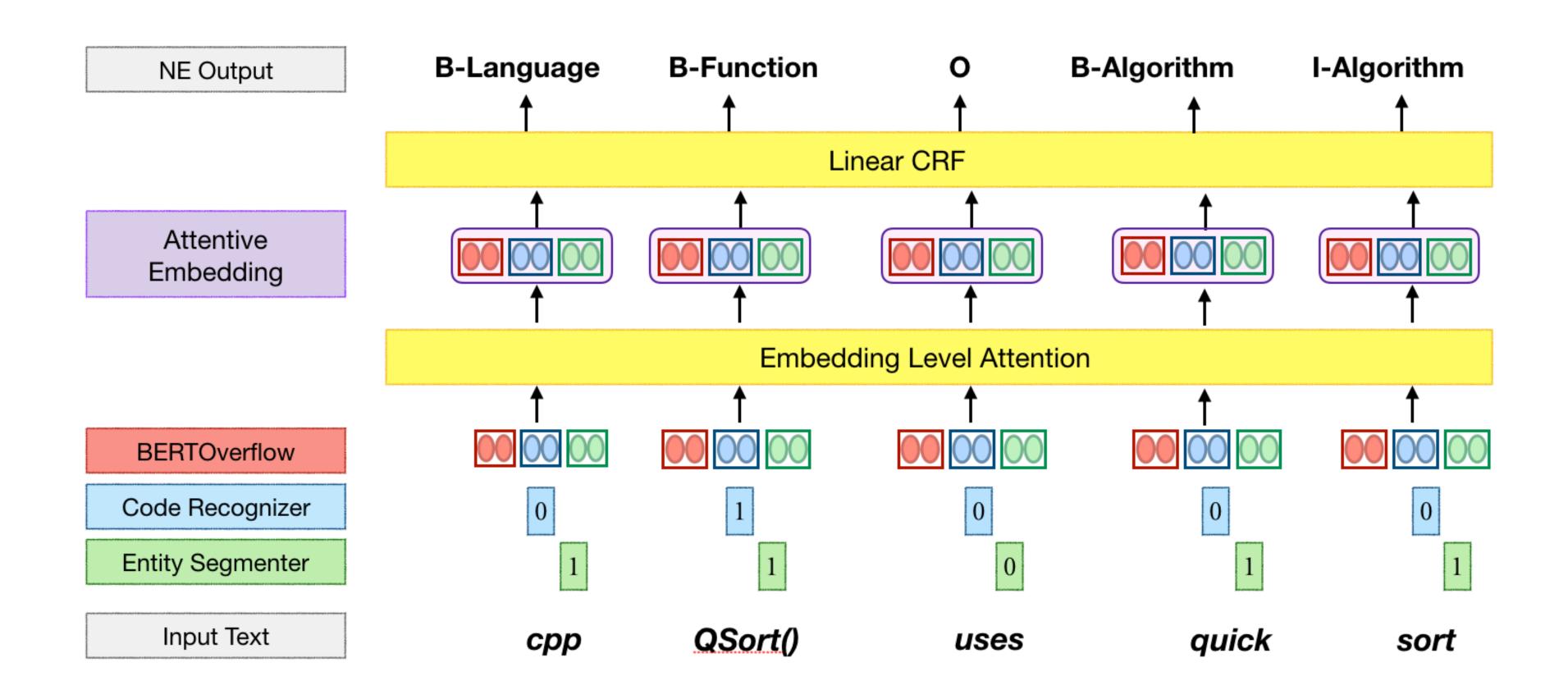


Jeniya Tabassum, Mounica Maddela, Alan Ritter, Wei Xu. "Code and Named Entity Recognition in StackOverflow" (ACL 2020)





SoftNER Model

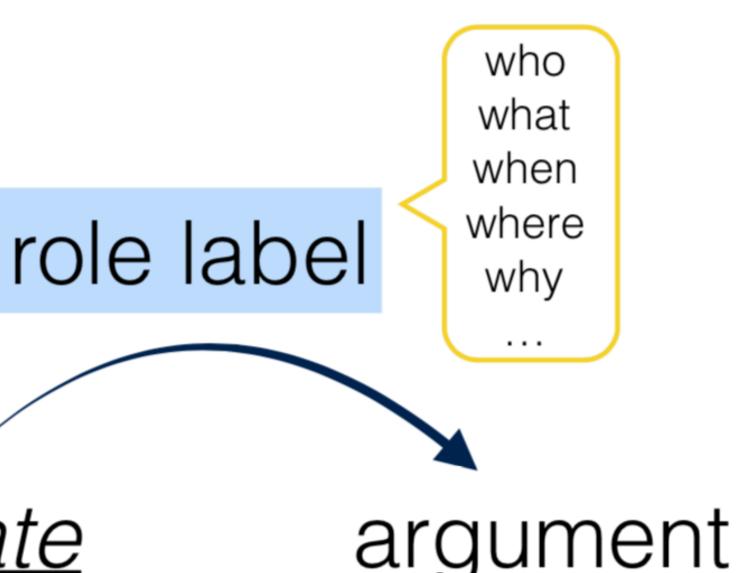


Jeniya Tabassum, Mounica Maddela, Alan Ritter, Wei Xu. "Code and Named Entity Recognition in StackOverflow" (ACL 2020)

Combines BERTOverflow with domain-specific embeddings (Code Recognizer & Entity Segmenter) via attention.



- Find out 5W in text "who did what to whom, when and where"
- Identify predicate, disambiguate it, identify that predicate's arguments





The robot *broke* my favorite mug with a wrench.

My mug *broke* into pieces immediately.

Semantic Role Labeling

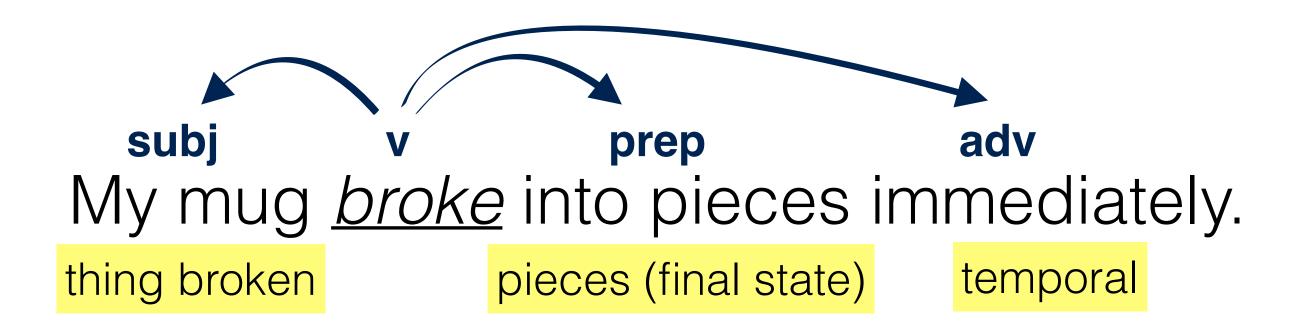


















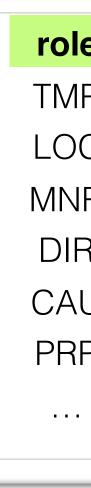
Frame: <i>break.01</i>		
role	description	
ARG0	breaker	
ARG1	thing broken	
ARG2	instrument	
ARG3	pieces	
ARG4	broken away from what?	

The Proposition Bank (PropBank)

Core roles: Verb-specific roles (ARG0-ARG5) defined in frame files

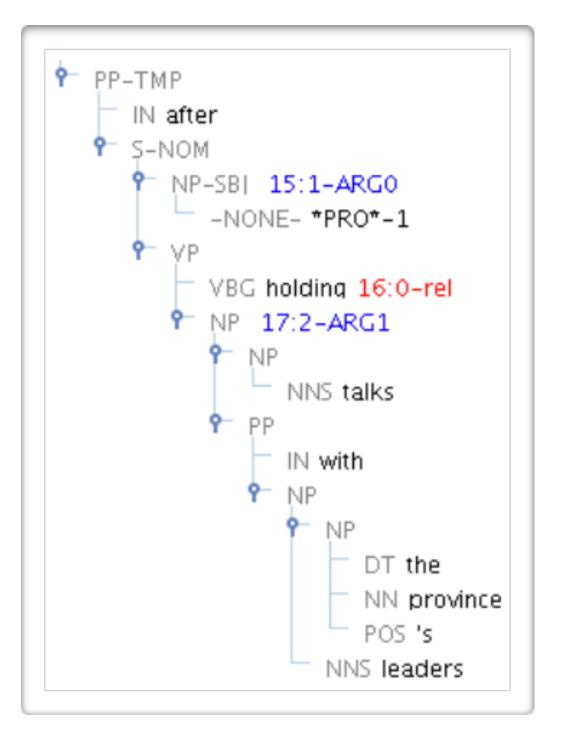
> Frame: *break.01* description role ARG0 breaker ARG1 thing broken ARG2 instrument Frame: *buy.01* description role ARG0 buyer ARG1 thing bough ARG2 seller ARG3 price paid ARG4 benefactive

Adjunct roles: (ARGM-) shared across verbs



е	description
Ρ	temporal
С	location
R	manner
7	direction
U	cause
Ρ	purpose

Annotated on top of the Penn Treebank Syntax



PropBank Annotation Guidelines, Bonial et al., 2010



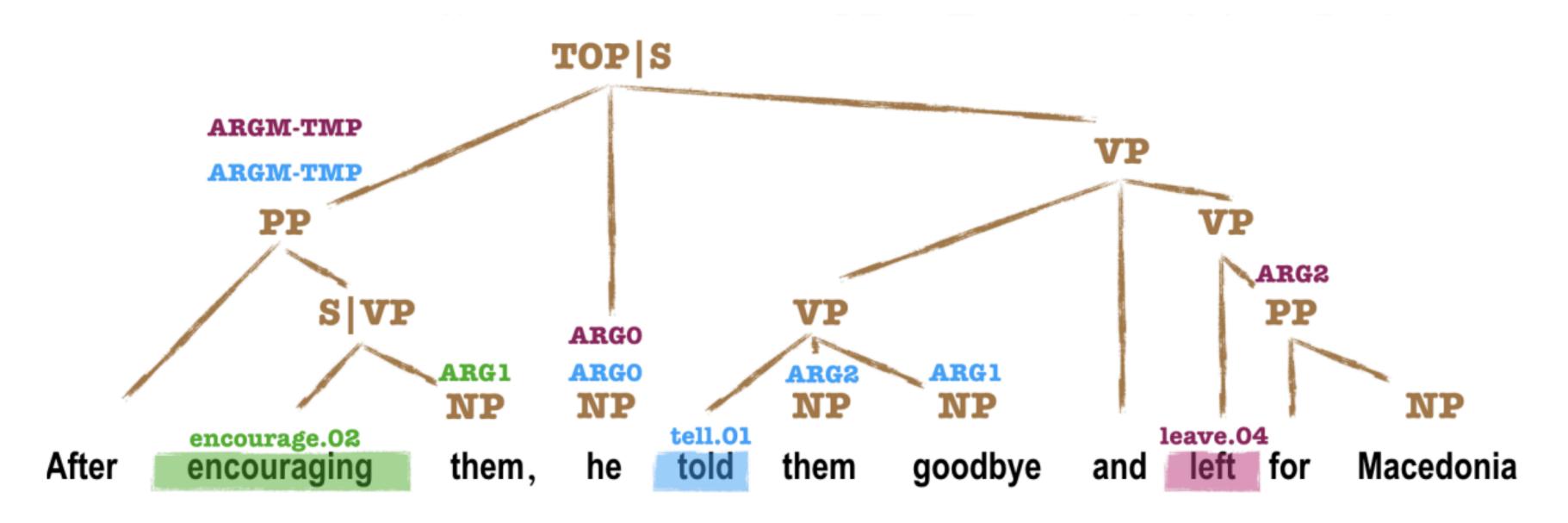


Figure 1.2: Syntax and semantics are closely related. The phrase-syntactic tree is shown in brown above the sentence. Semantic role labeling (SRL) structures from PropBank (Palmer et al., 2005) are shown alongside, in green, blue and magenta. Under SRL, words in the sentence that indicate stand-alone events are selected as predicates. These are shown as highlighted leaf nodes—"encouraging", "told" and "left". Each predicate is disambiguated to its relevant sense shown above it. Arguments to the predicates are are annotated on top of syntactic nodes, with the role labels color-coded by the predicate. SRL substructures (predicates, arguments) thus fully overlap with phrase-syntactic nodes.

Syntax vs. Semantics

Figure from Swayamdipta (2019)



Question-Answer Driven SRL

Predicate		Question	Answer	
	1	Who published something?	Alan M. Turing	
published	2	What was published?	"Computing Machinery and Intelligence"	
	3	When was something published?	ln 1950	
	4	Who proposed something?	Alan M. Turing	
proposed	5	What did someone propose?	that machines could be tested for intelligent using questions and answers	
	6	When did someone propose something?	In 1950	
7		What can be tested?	machines	
tested	8	What can something be tested for?	intelligence	
	9	How can something be tested?	using questions and answers	
using	10	What was being used?	questions and answers	
	11	Why was something being used?	tested for intelligence	

In 1950 Alan M. Turing *published* "Computing machinery and intelligence" in Mind, in which he *proposed* that machines could be *tested* for intelligence *using* questions and answers.

Figure from FitzGerald et al. (2018)

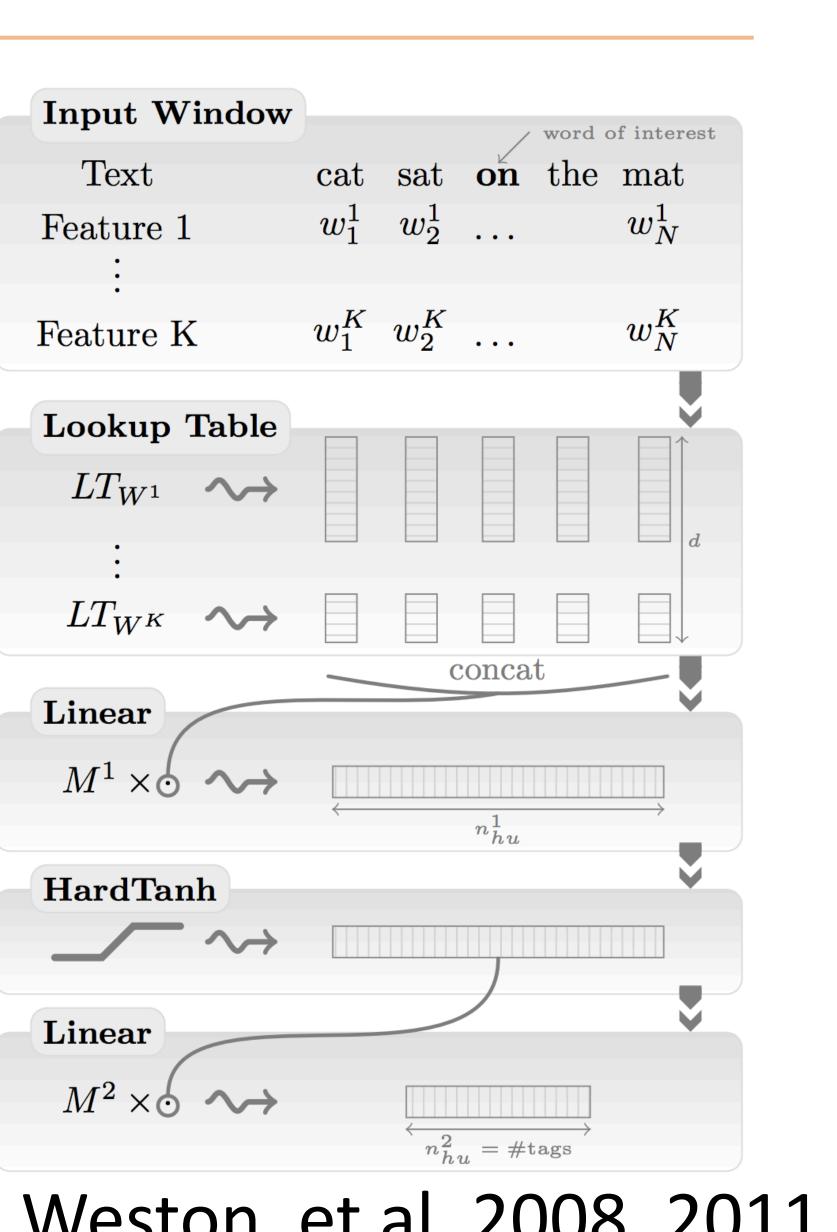


"NLP (Almost) From Scratch"

Approach	POS	CHUNK	NER	SRL
	(PWA)	(F1)	(F1)	(F1)
Benchmark Systems	97.24	94.29	89.31	77.92
NN+WLL	96.31	89.13	79.53	55.40
NN+SLL	96.37	90.33	81.47	70.99
NN+WLL+LM1	97.05	91.91	85.68	58.18
NN+SLL+LM1	97.10	93.65	87.58	73.84
NN+WLL+LM2	97.14	92.04	86.96	58.34
NN+SLL+LM2	97.20	93.63	88.67	74.15

WLL: independent classification; SLL: neural CRF

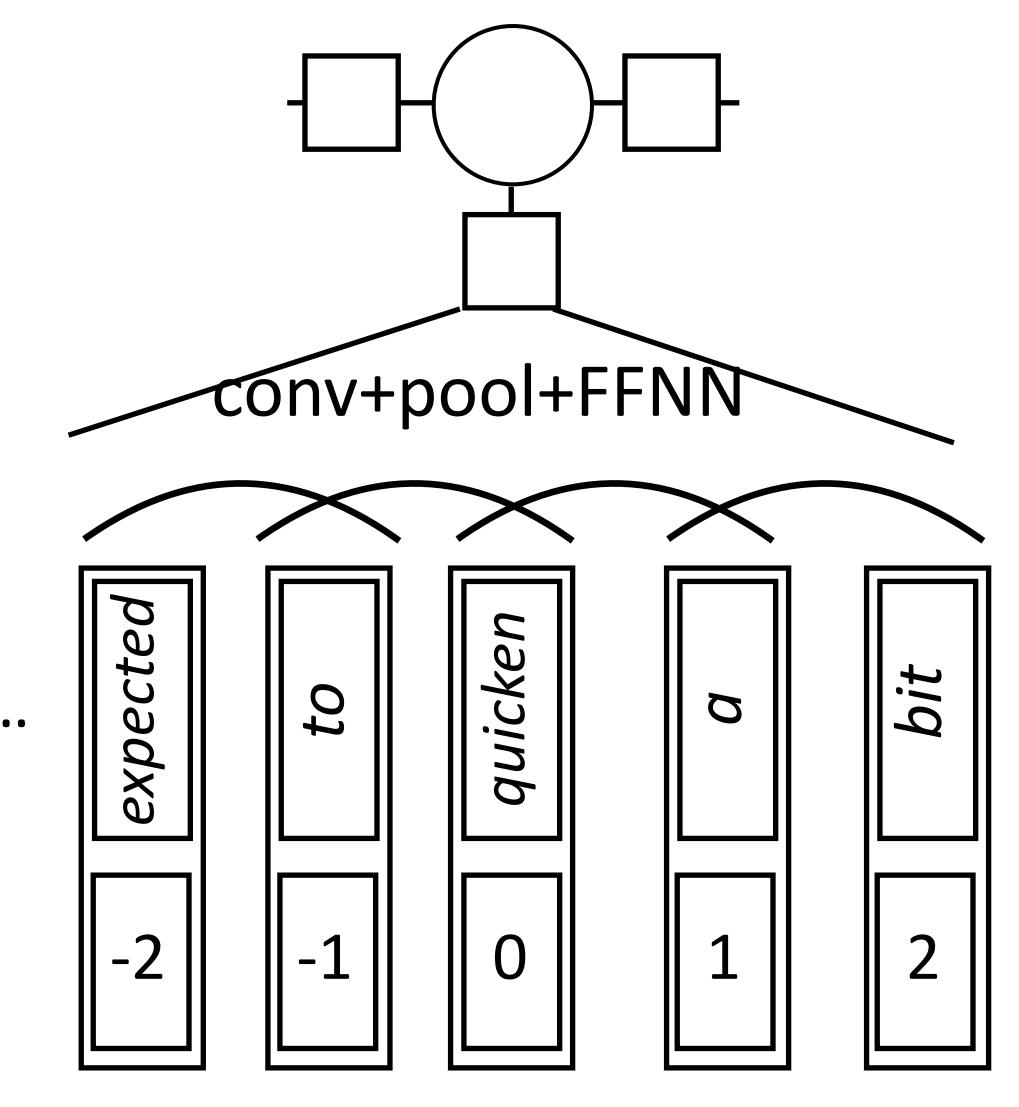
 LM2: word vectors learned from a precursor to word2vec/GloVe, trained for 2 weeks (!) on Wikipedia



Collobert, Weston, et al. 2008, 2011

CNN Neural CRFs

. . .



bit expected to quicken a

- Append to each word vector an embedding of the relative position of that word
- Convolution over the sentence produces a position-dependent representation
- Use this for SRL: the verb (predicate) is at position 0, CNN looks at the whole sentence "relative" to the verb



CNN NCRFs vs. FFNN NCRFs

Approach	POS	CHUNK	NER	SRL
	(PWA)	(F1)	(F1)	(F1)
Benchmark Systems	97.24	94.29	89.31	77.92
	Window Approach			
NN+SLL+LM2	97.20	93.63	88.67	

NN+SLL+LM2	9

 Sentence approach (CNNs) is comparable to window approach (FFNNs) except for SRL where they claim it works much better

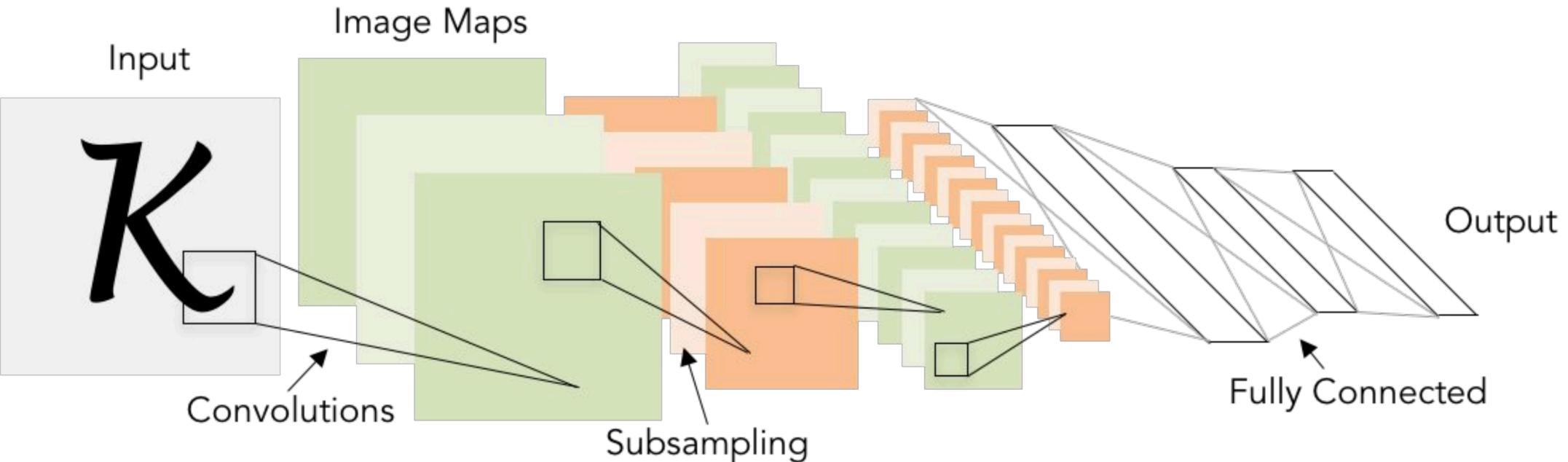
 Sentence Approach

 97.12
 93.37
 88.78
 74.15

Collobert and Weston 2008, 2011

CNN

A Bit of History



https://www.youtube.com/watch?v=FwFduRA_L6Q

LeCun et al. (1998), earlier work in 1980s



ImageNet - Object Recognition

The Image Classification Challenge: 1,000 object classes 1,431,167 images **Output:** Scale T-shirt Steel drum Drumstick Mud turtle



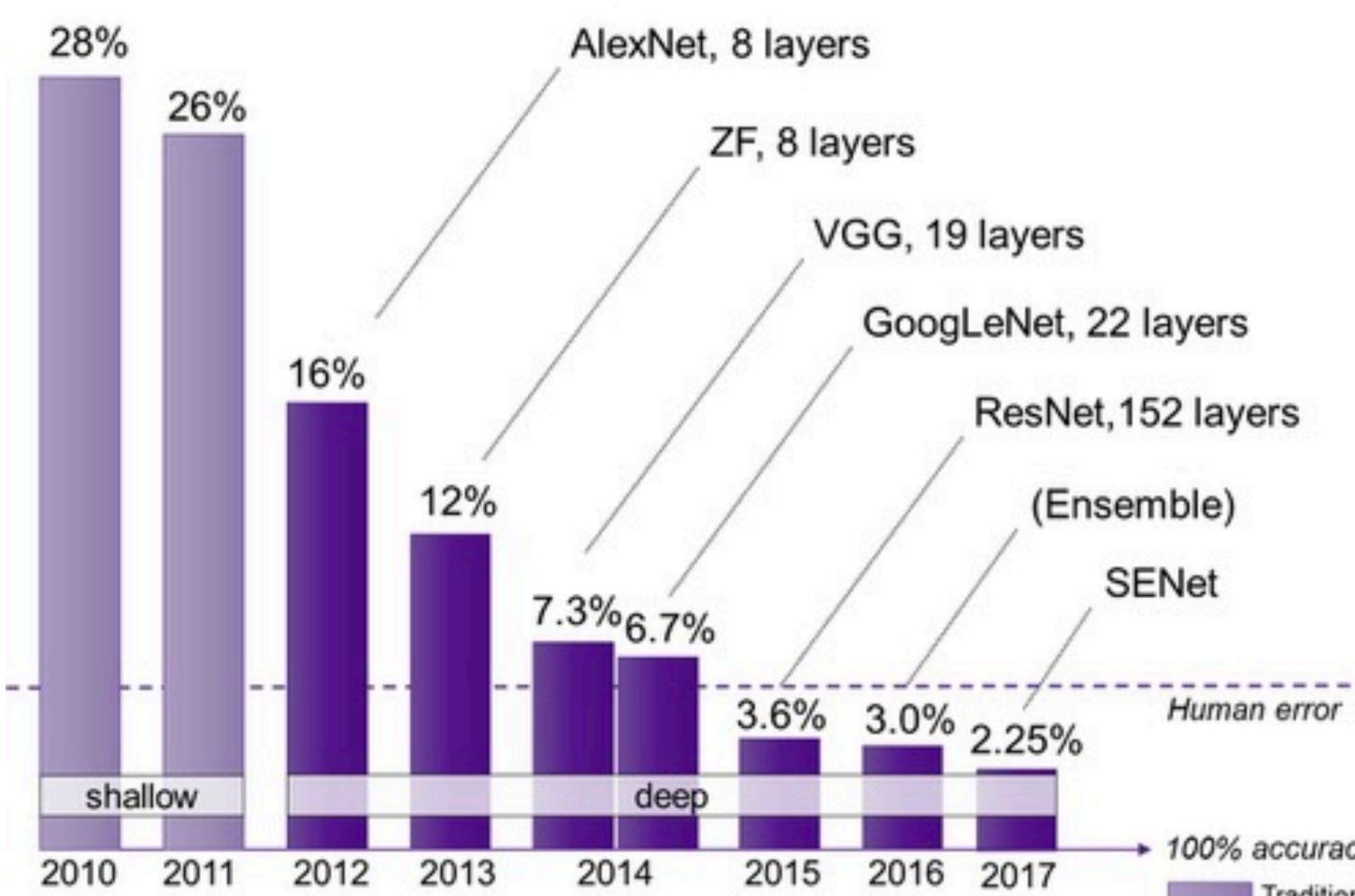
Output: Scale **T-shirt** Giant panda Drumstick Mud turtle



Russakovsky et al. (2012)



ImageNet - Object Recognition

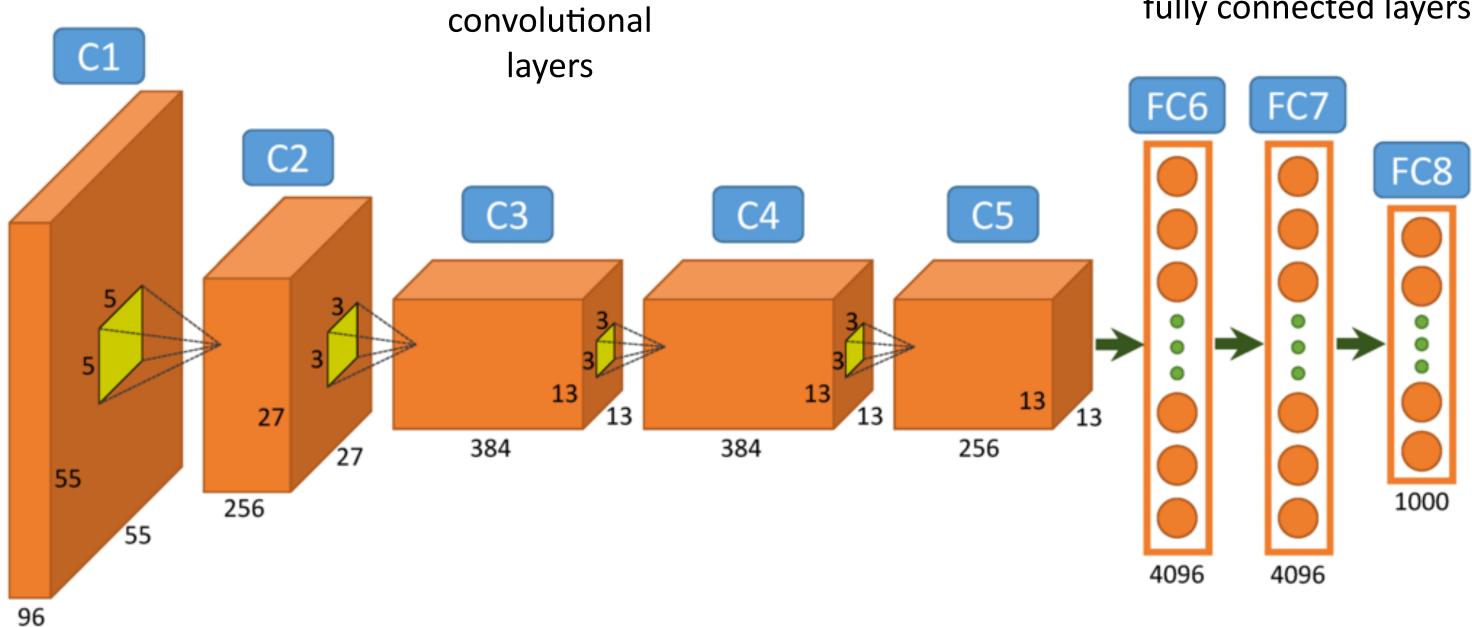


→ 100% accuracy and reliability not realistic Traditional computer vision

Deep learning computer vision

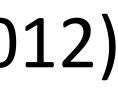
Convolutional Neural Networks

- AlexNet one of the first strong results
- more filters per layer as well as stacked convolutional layers
- use of ReLU for the non-linear part instead of Sigmoid or Tanh

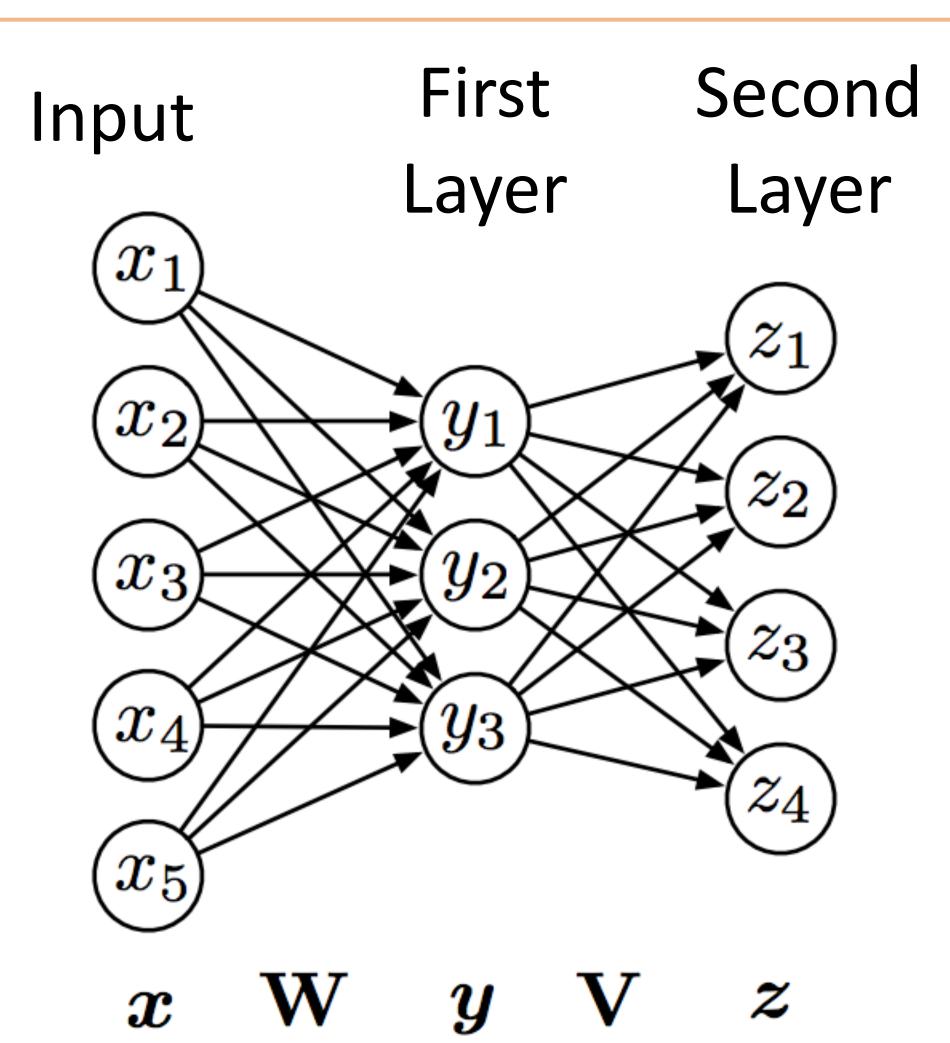


fully connected layers

Krizhevsky et al. (2012)



Feedforward Neural Networks (Recap)



$$y = g(Wx + b)$$

$$z = g(Vy + c)$$

$$z = g(Vg(Wx + b) + c)$$

output of first layer

"Feedforward" computation (not recurrent)

Check: what happens if no nonlinearity? More powerful than basic linear models?

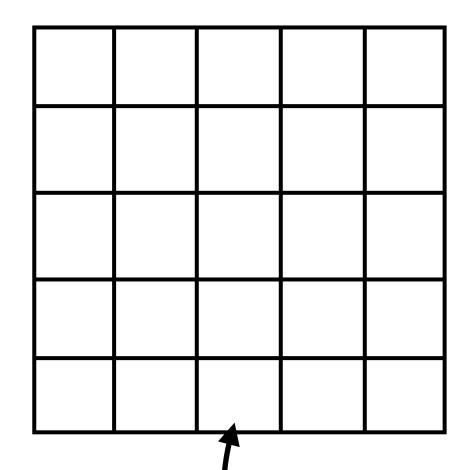
$$z = V(Wx + b) + c$$

Adopted from Chris Dyer



Convolutional Layer

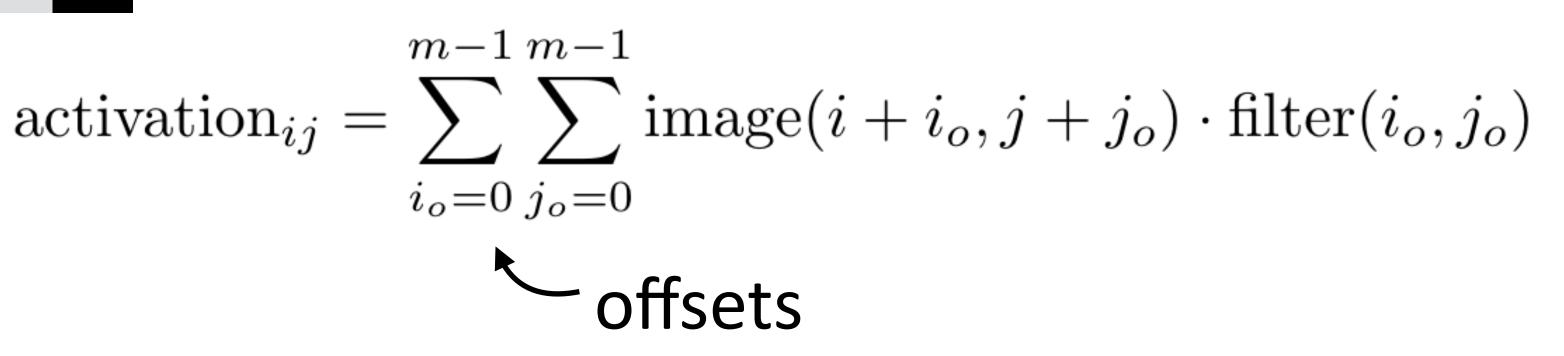
- Applies a *filter* over patches of the input and returns that filter's activations
- Convolution: take dot product of filter with a patch of the input
- image: n x n x k filter: m x m x k





Images: RGB values (3 dim)

sum over dot products

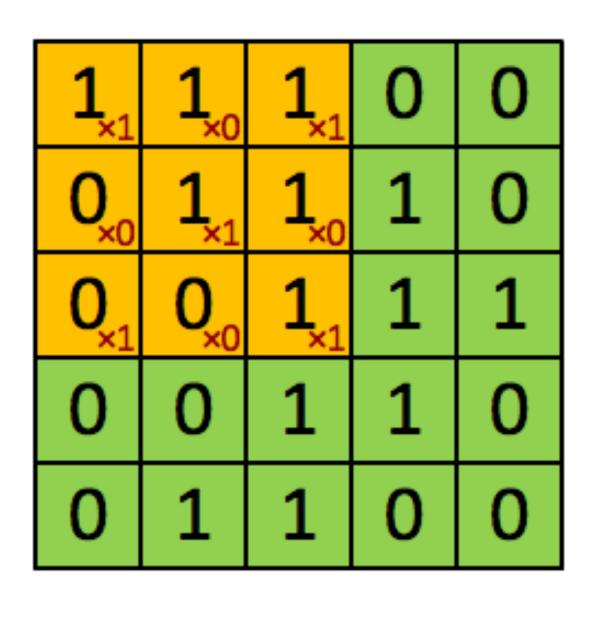


Each of these cells is a vector with multiple values

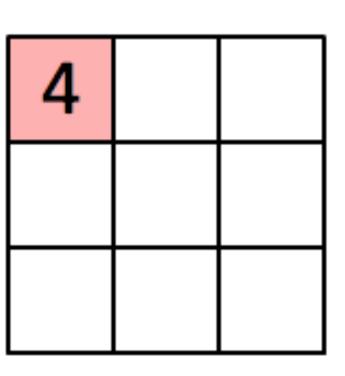


Convolutional Layer

An animated example: k = 1, and a filter of size 3x3.



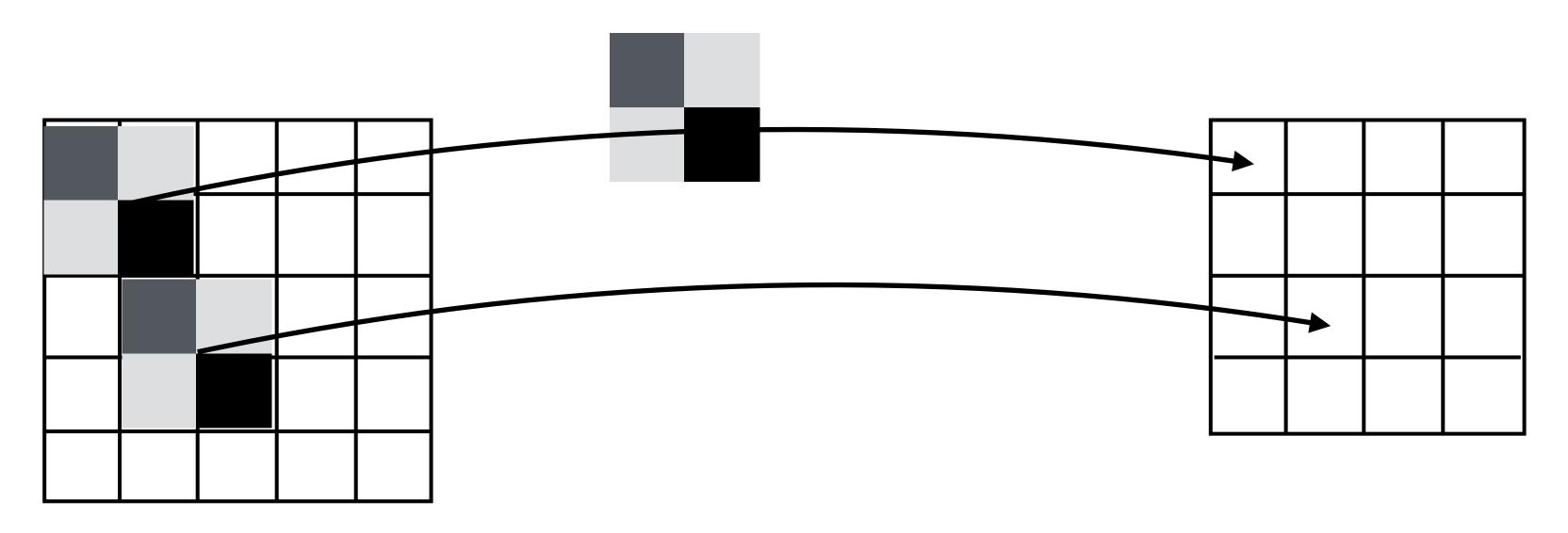
Image



Convolved Feature

Convolutional Layer

- Applies a *filter* over patches of the input and returns that filter's activations
- Convolution: take dot product of filter with a patch of the input
- image: n x n x k



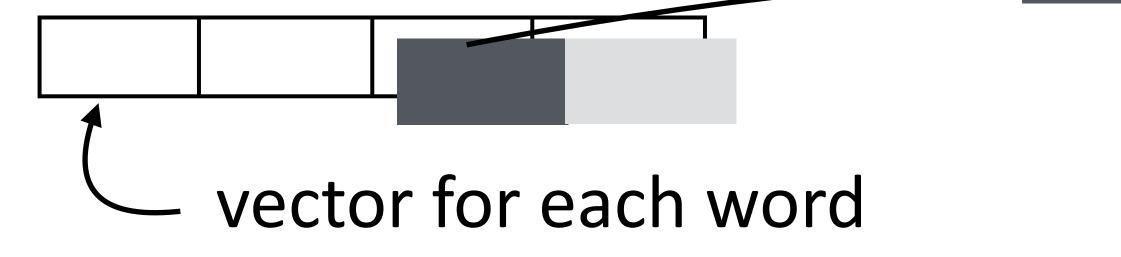
filter: $m \times m \times k$ activations: $(n - m + 1) \times (n - m + 1) \times 1$



Convolutions for NLP

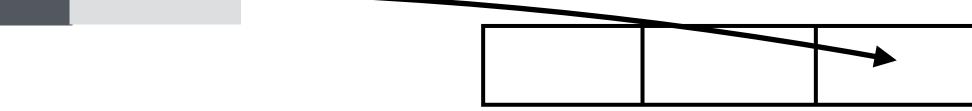
- Input and filter are 2-dimensional instead of 3-dimensional
- sentence: n words x k vec dim

the movie was good



variable-length) representation

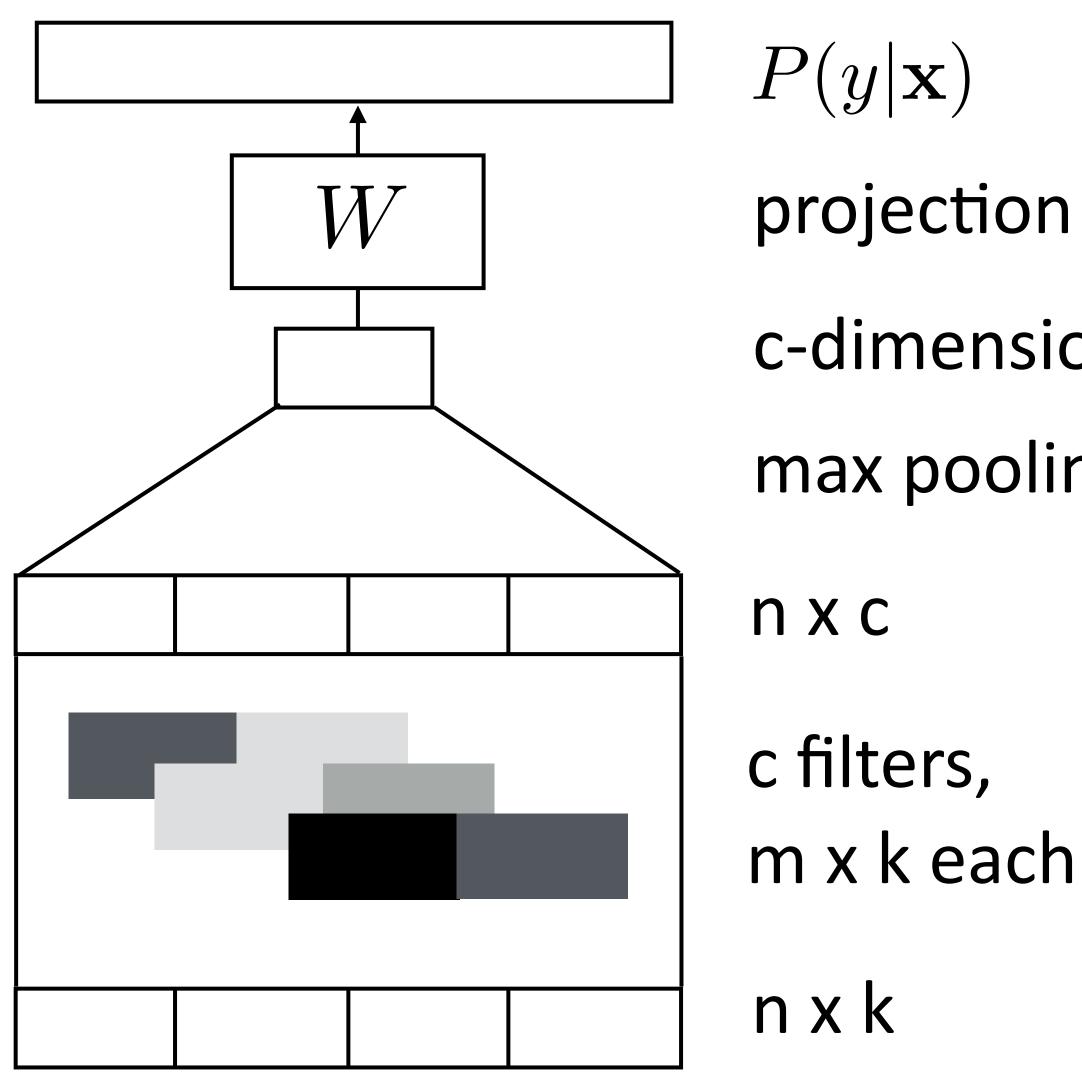




Combines evidence locally in a sentence and produces a new (but still

CNNs for Sentiment

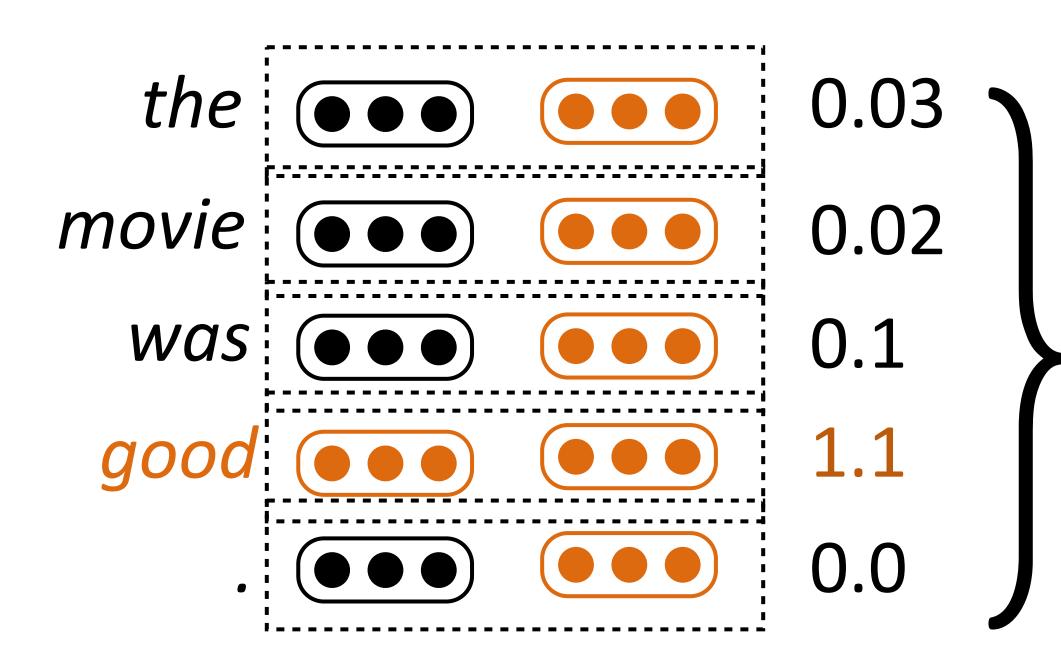
CNNs for Sentiment Analysis



the movie was good

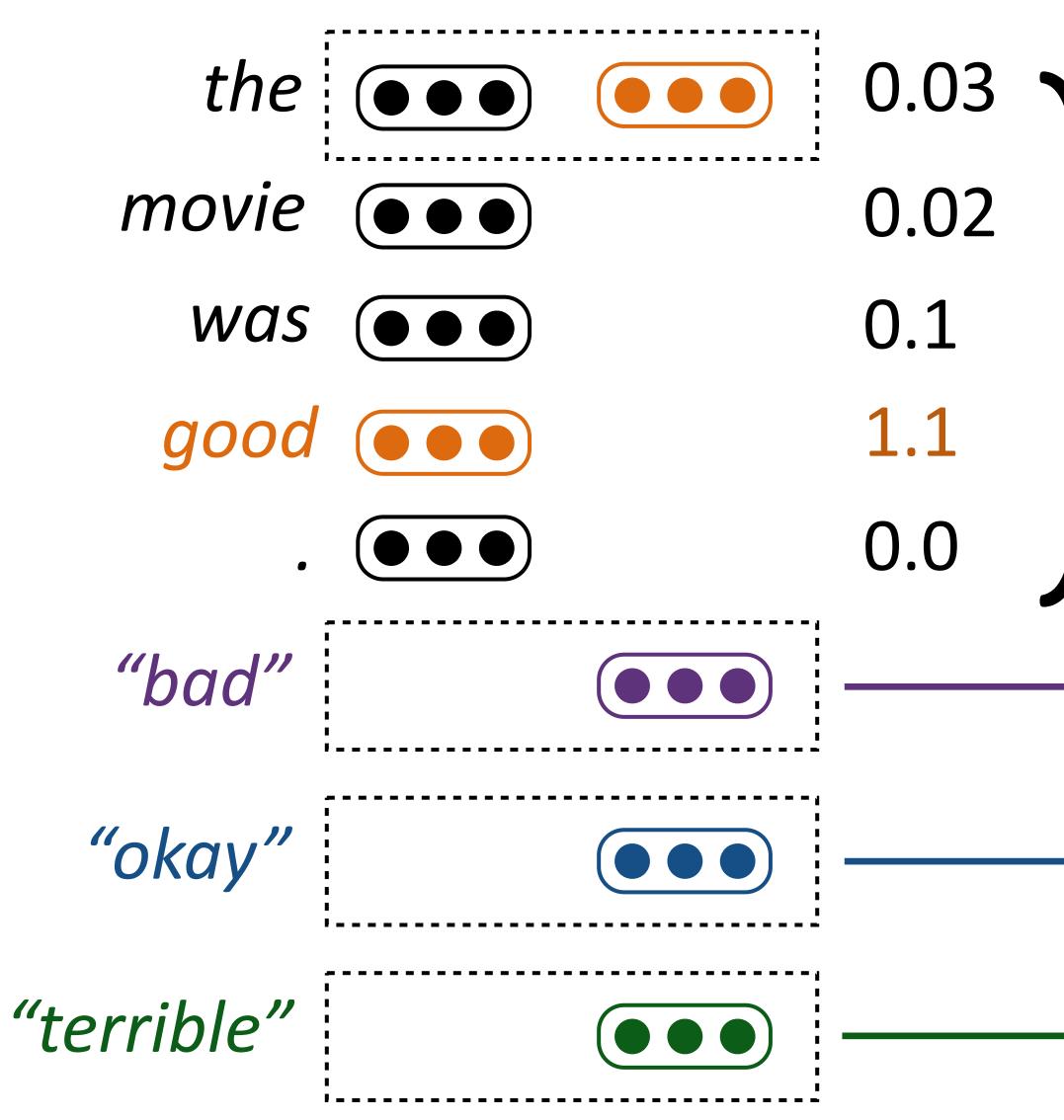
- projection + softmax
- c-dimensional vector
- max pooling over the sentence
 - Max pooling: return the max activation of a given filter over the entire sentence; like a logical OR (sum pooling is like logical AND)





"good" filter output max = 1.1

Filter "looks like" the things that will cause it to have high activation



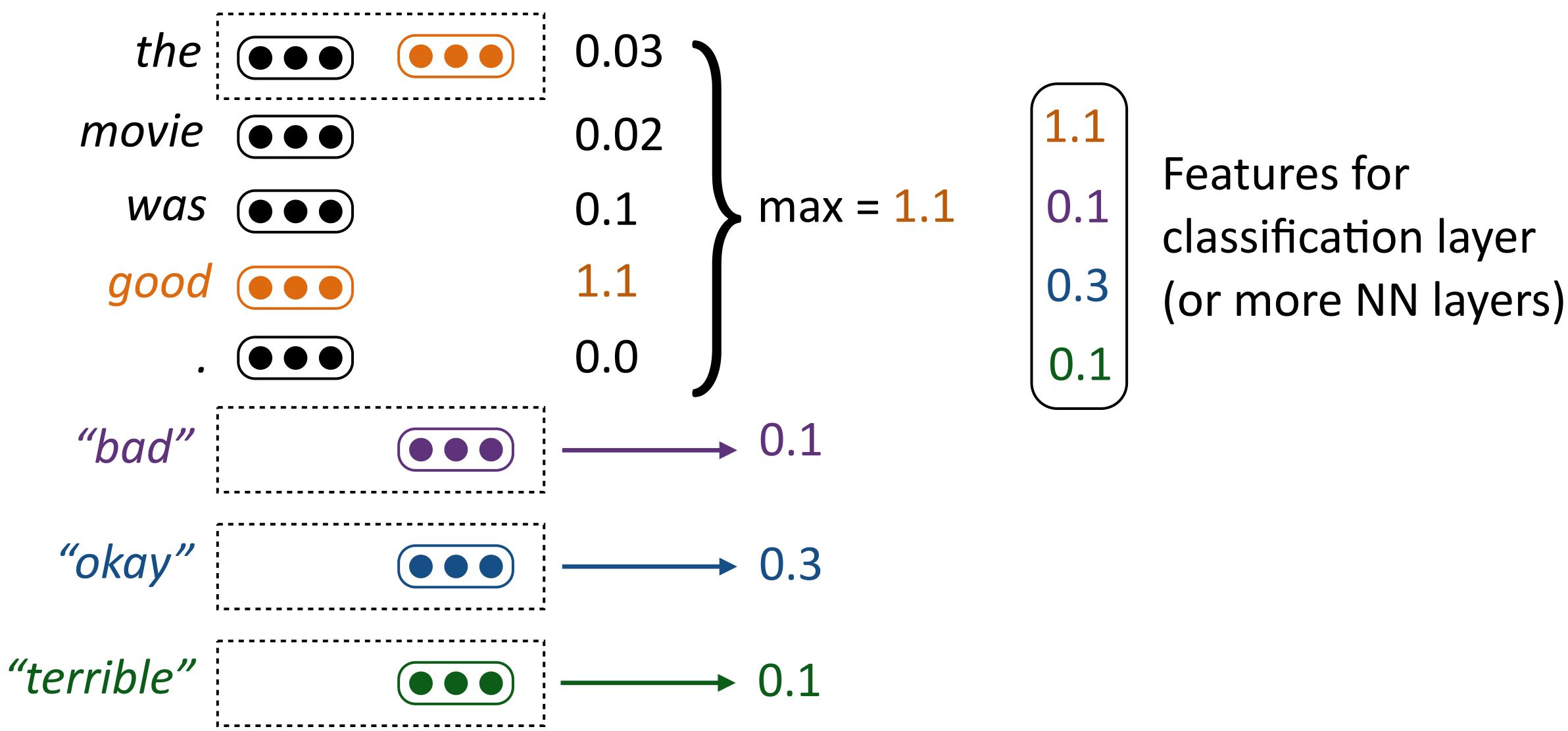
"good" filter output

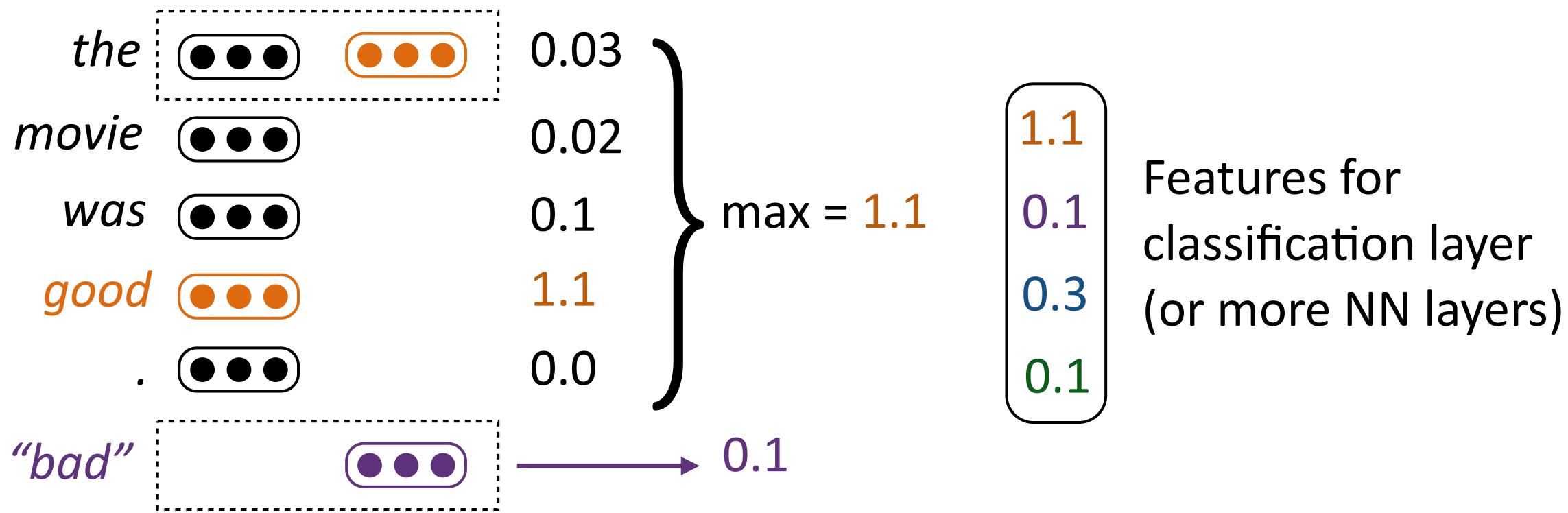
max = 1.1

→ 0.1

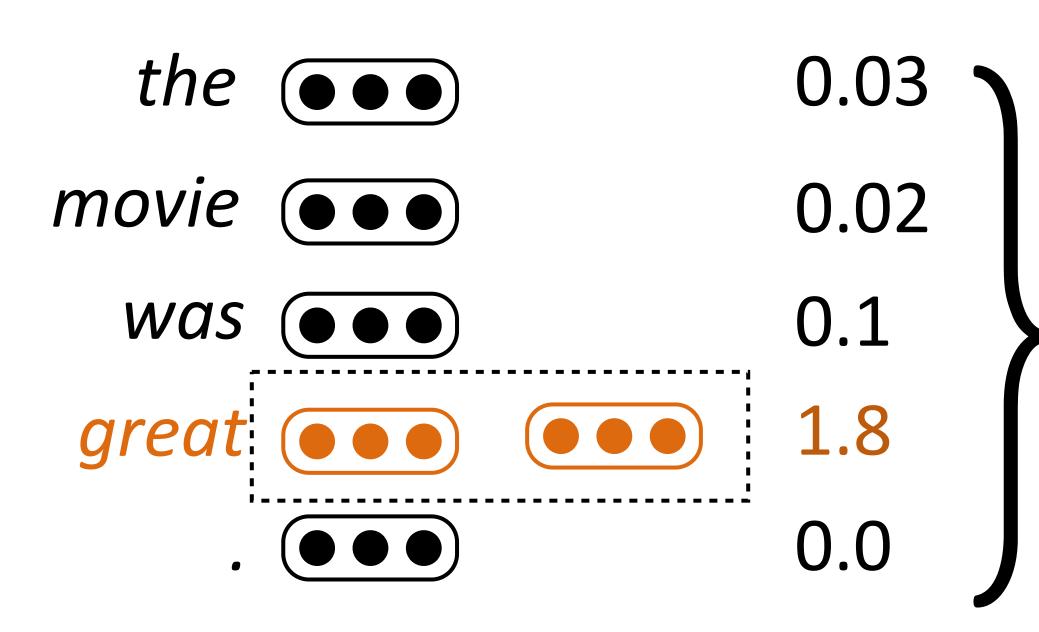
► 0.3

► 0.1





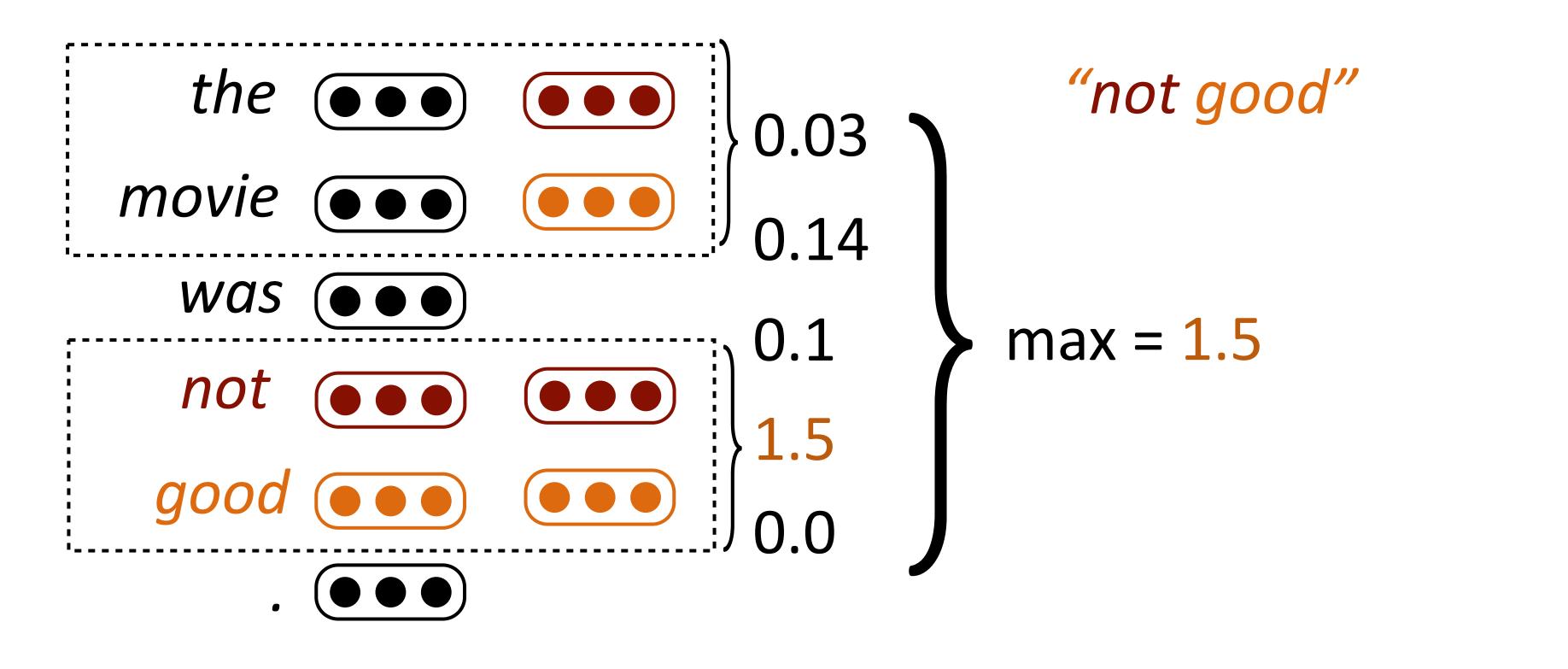
- Takes variable-length input and turns it into fixed-length output
- Filters are initialized randomly and then learned



 Word vectors for similar words a have similar outputs

"good" filter output max = 1.8

Word vectors for similar words are similar, so convolutional filters will



- Analogous to bigram features in bag-of-words models
- matches that bigram

Indicator feature of text containing bigram <-> max pooling of a filter that



What can CNNs learn?

CNNs let us take advantage of word similarity

really not very good vs. really not very enjoyable

CNNs are translation-invariant like bag-of-words

CNNs can capture local interactions with filters of width > 1

- The movie was bad, but blah blah blah ... vs. ... blah blah blah, but the movie was bad.
- It was not good, it was actually quite bad vs. it was not bad, it was actually quite good





CNNs: Implementation

- Typically use filters with m ranging from 1 to 5 or so (multiple filter) widths in a single convnet)

CLASS torch.nn.Conv1d(in_channels, out_channels, kernel_size, stride=1, padding=0, dilation=1, groups=1, bias=True, padding_mode='zeros')

Applies a 1D convolution over an input signal composed of several input planes.

In the simplest case, the output value of the layer with input size $(N, C_{
m in}, L)$ and output $(N, C_{
m out}, L_{
m out})$ can be precisely described as:

$$\operatorname{out}(N_i, C_{\operatorname{out}_j}) = \operatorname{bias}(C_{\operatorname{out}_j}) + \sum_{k=0}^{C_{in}-1} \operatorname{weight}(C_{\operatorname{out}_j}, k) \star \operatorname{input}(N_i, k)$$

where \star is the valid cross-correlation operator, N is a batch size, C denotes a number of channels, L is a length of signal sequence.

- stride controls the stride for the cross-correlation, a single number or a one-element tuple.
- padding controls the amount of implicit zero-paddings on both sides for padding number of points.

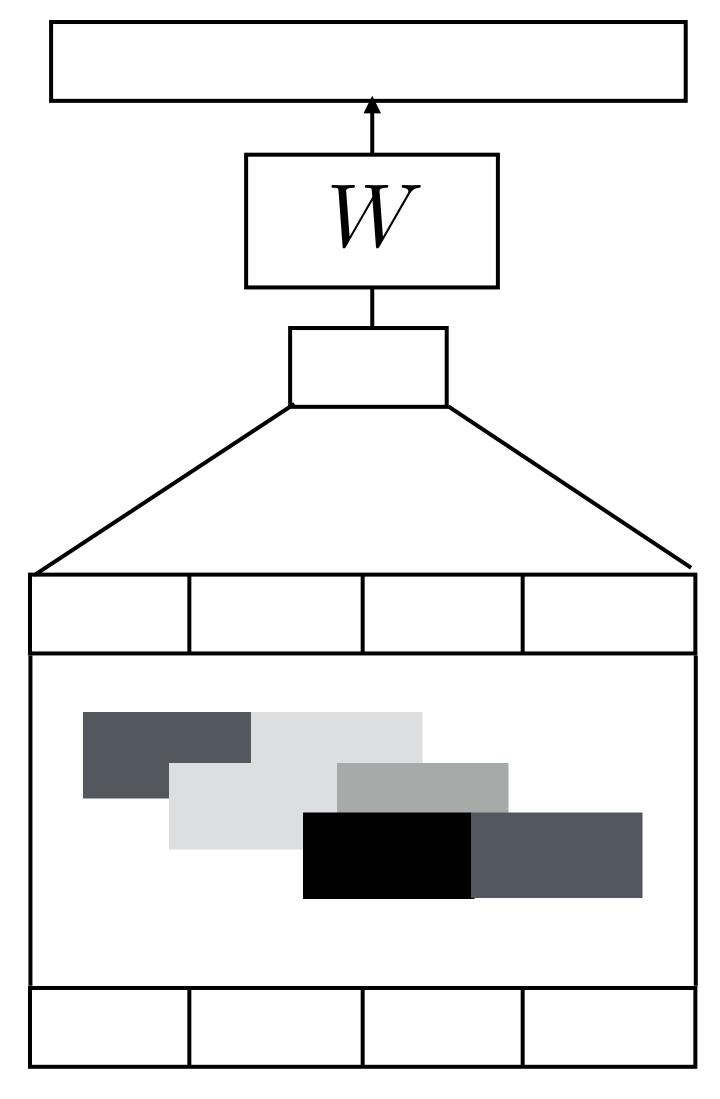
Input is batch size x n x k matrix, filters are c x m x k matrix (c filters)

All computation graph libraries support efficient convolution operations

[SOURCE]

CNNs for Sentence Classification

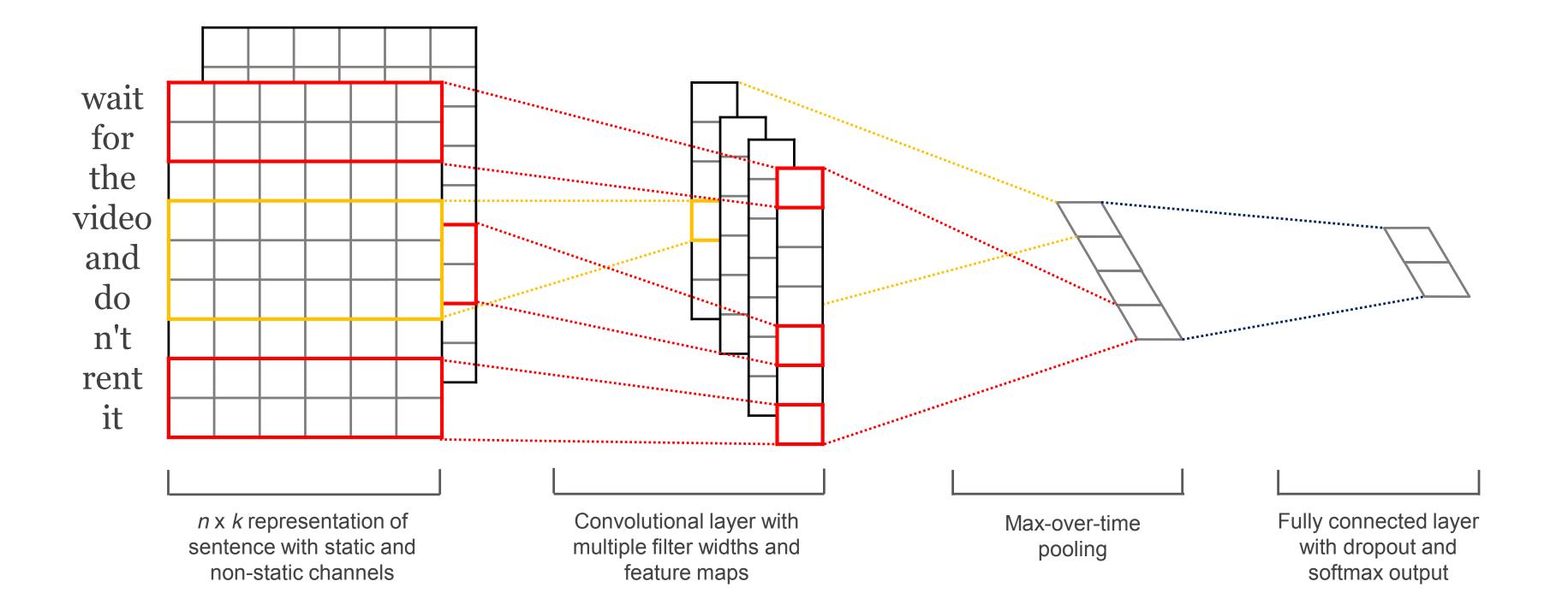
- Question classification, sentiment, etc.
- Conv+pool, then use feedforward layers to classify
- Can use multiple types of input vectors (fixed initializer and learned)



the movie was good



CNNs for Sentence Classification



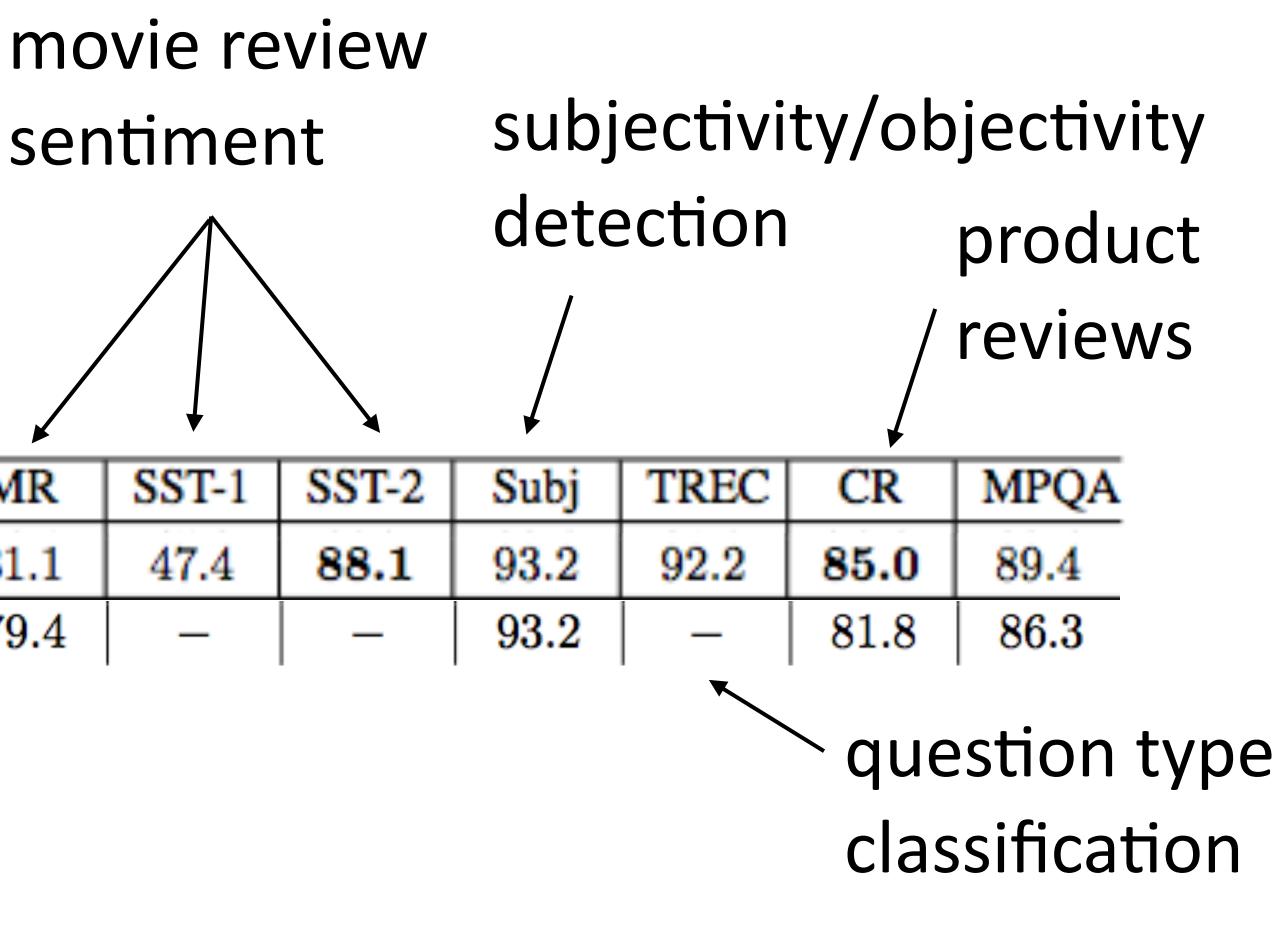
Kim (2014)



Model	MR
CNN-multichannel	81.1
NBSVM (Wang and Manning, 2012)	79.4

Also effective at document-level text classification

Sentence Classification



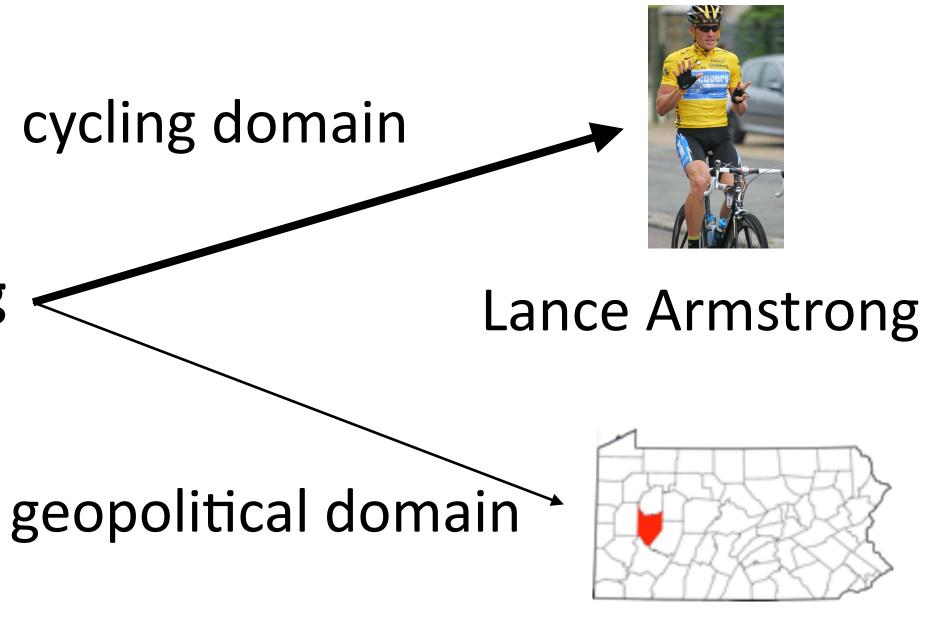
Kim (2014)



Entity Linking

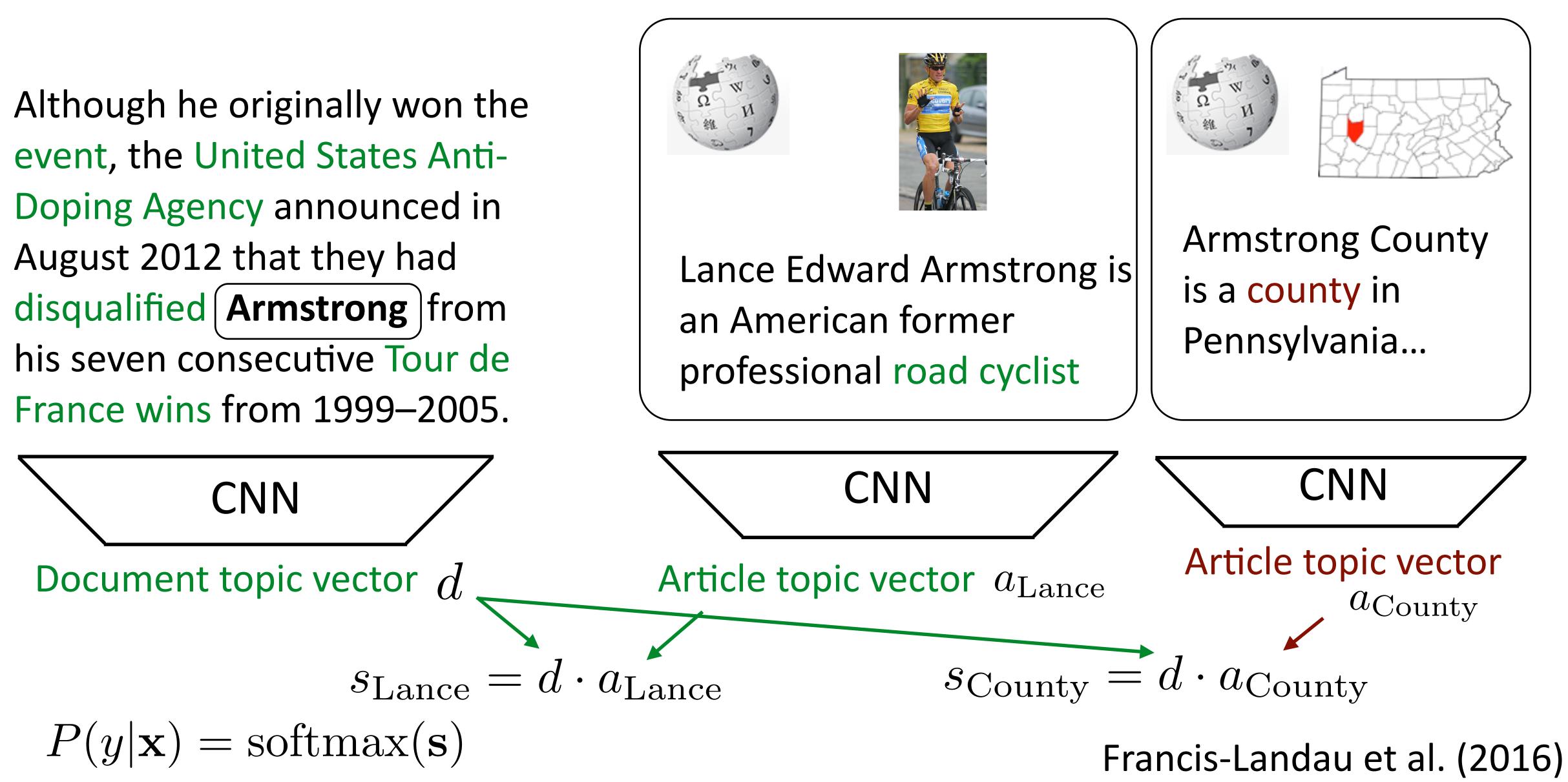
- CNNs can produce good representations of both sentences and documents like typical bag-of-words features
- Can distill topic representations for use in entity linking

that they had disqualified Armstrong from his seven consecutive



Armstrong County

Entity Linking

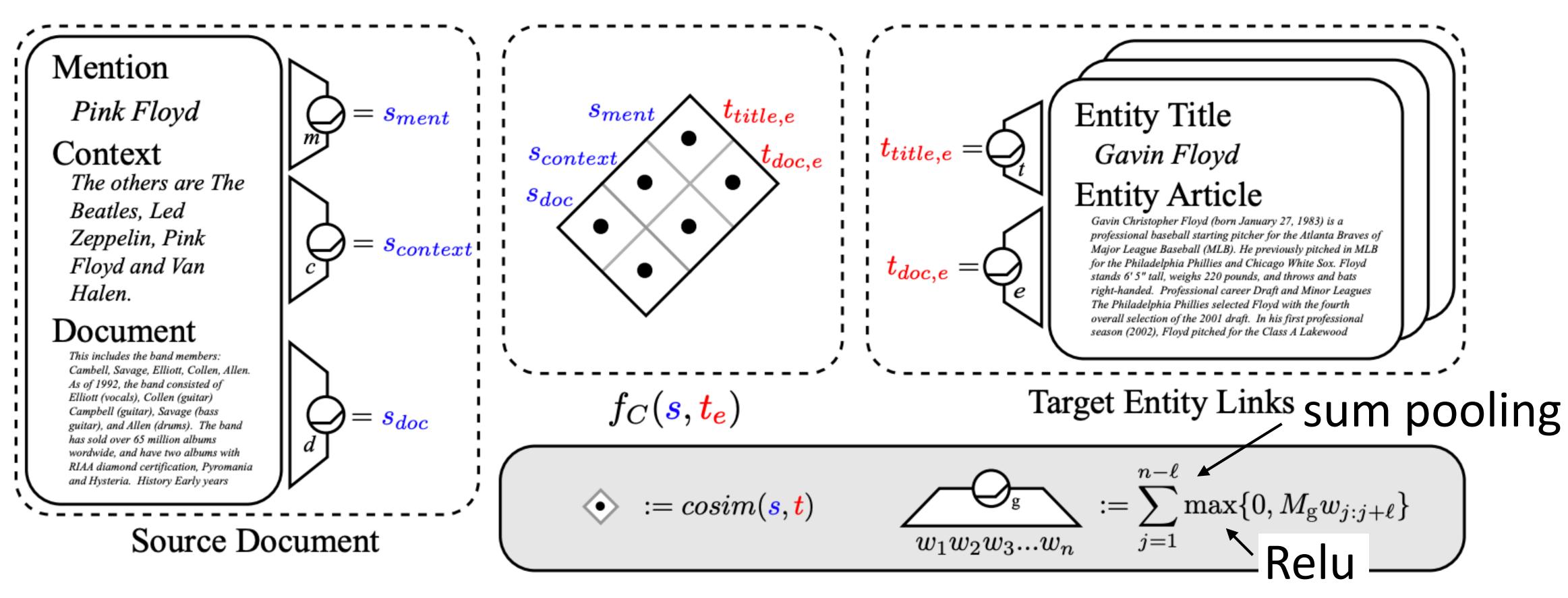








Entity Linking



compared with cosine similarity to derive real-valued semantic similarity features.

Figure 1: Extraction of convolutional vector space features $f_C(x, t_e)$. Three types of information from the input document and two types of information from the proposed title are fed through convolutional networks to produce vectors, which are systematically

Francis-Landau et al. (2016)

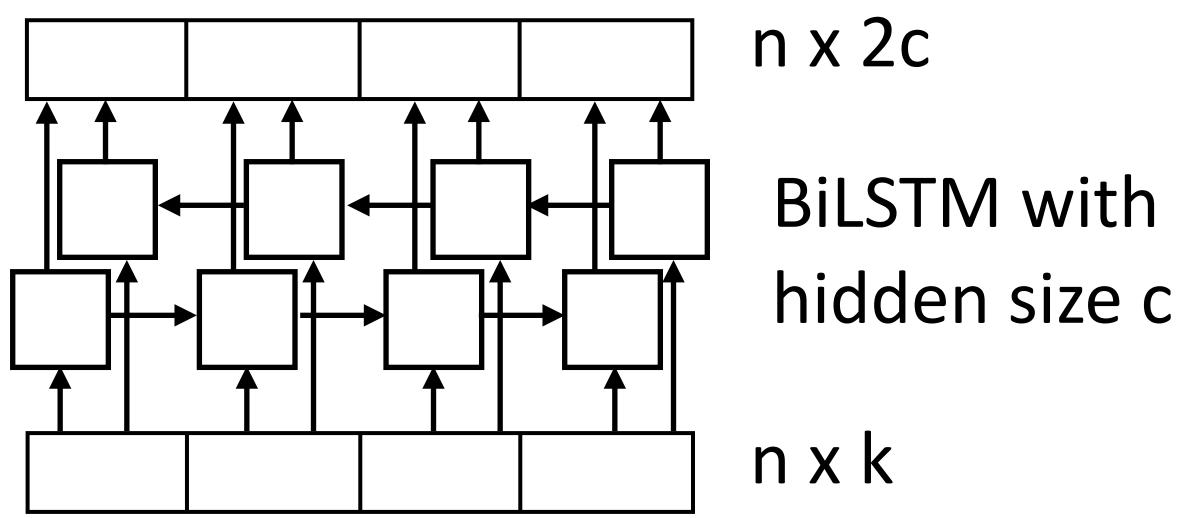


Compare: CNNs vs. LSTMs



the movie was good

- LSTM: "globally" looks at the entire sentence (but local for many problems)
- CNN: local depending on filter width + number of layers



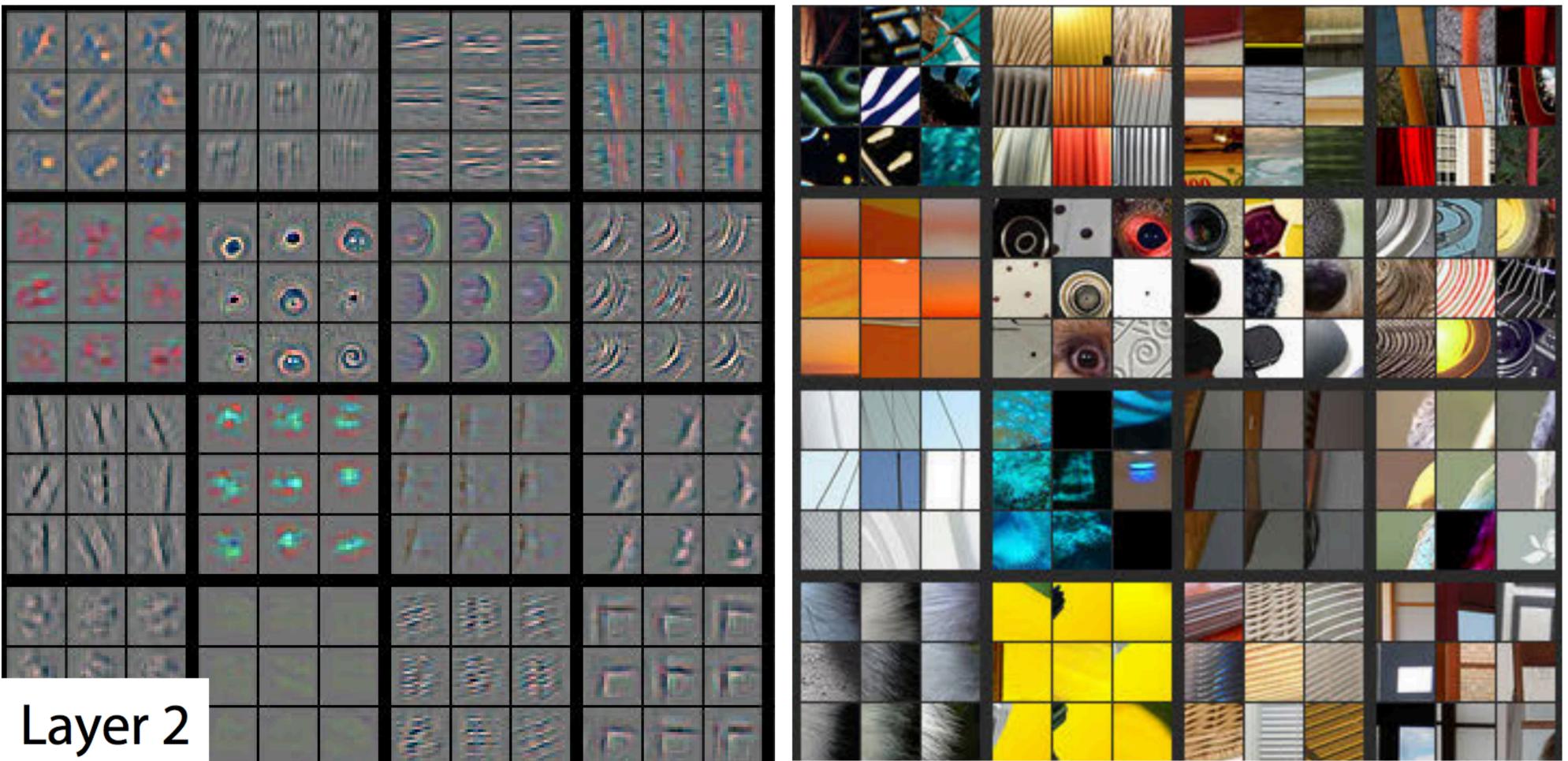
the movie was good

Both LSTMs and convolutional layers transform the input using context



Deep Convolutional Networks

Low-level filters: extract low-level features from the data

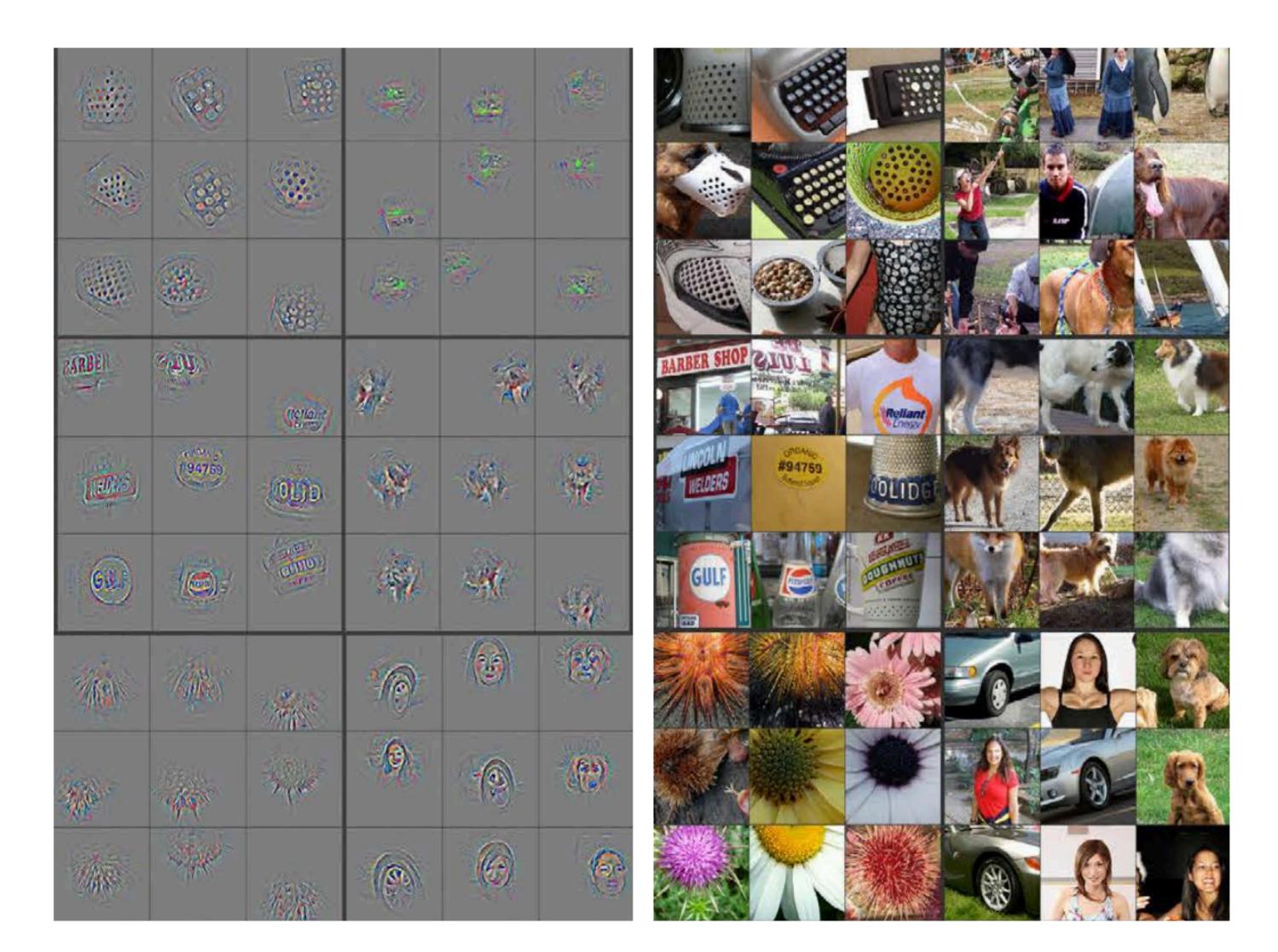


Zeiler and Fergus (2014)



Deep Convolutional Networks

High-level filters: match larger and more "semantic patterns"

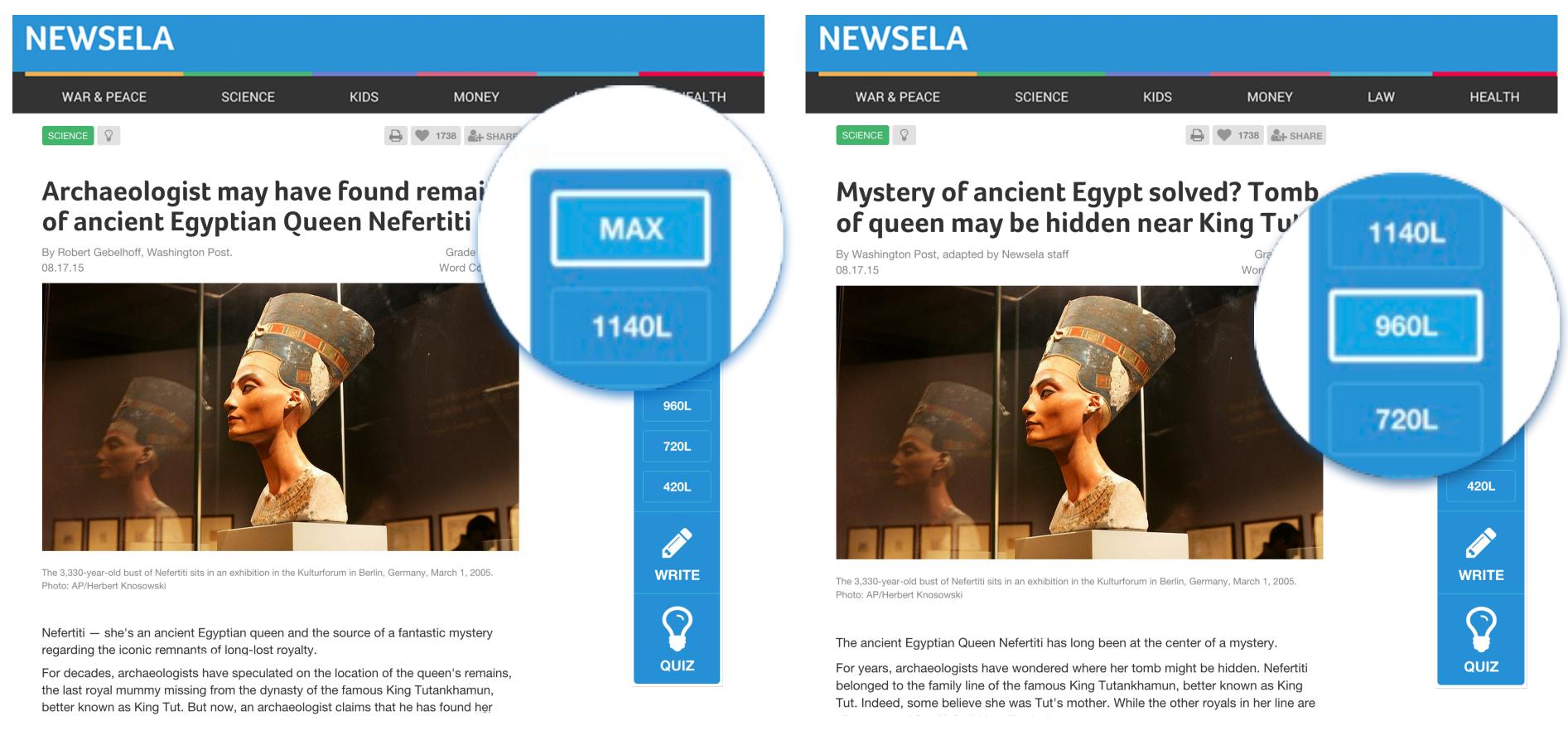


Zeiler and Fergus (2014)



- Neural CRF All kinds of NNs can be integrated into CRFs for structured inference. Can be applied to NER, other tagging, parsing, ...
- CNN CNNs are a flexible way of extracting features analogous to bag of n-grams, can also encode positional information

Neural CRF for Sentence Alignment

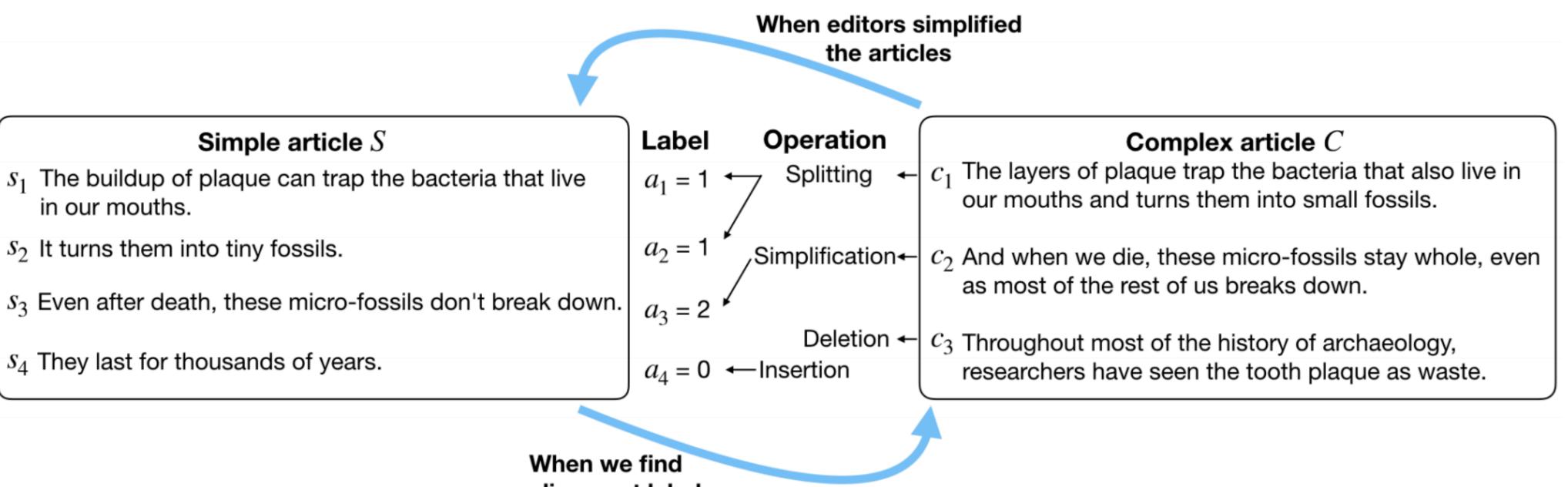


Chao Jiang, Mounica Maddela, Wuwei Lan, Yang Zhong, Wei Xu. "Neural CRF Model for Sentence Alignment in Text Simplification" (ACL 2020)

Professional editors rewrite news articles into 4 different readability levels for grade 3-12 students.



Neural CRF for Sentence Alignment

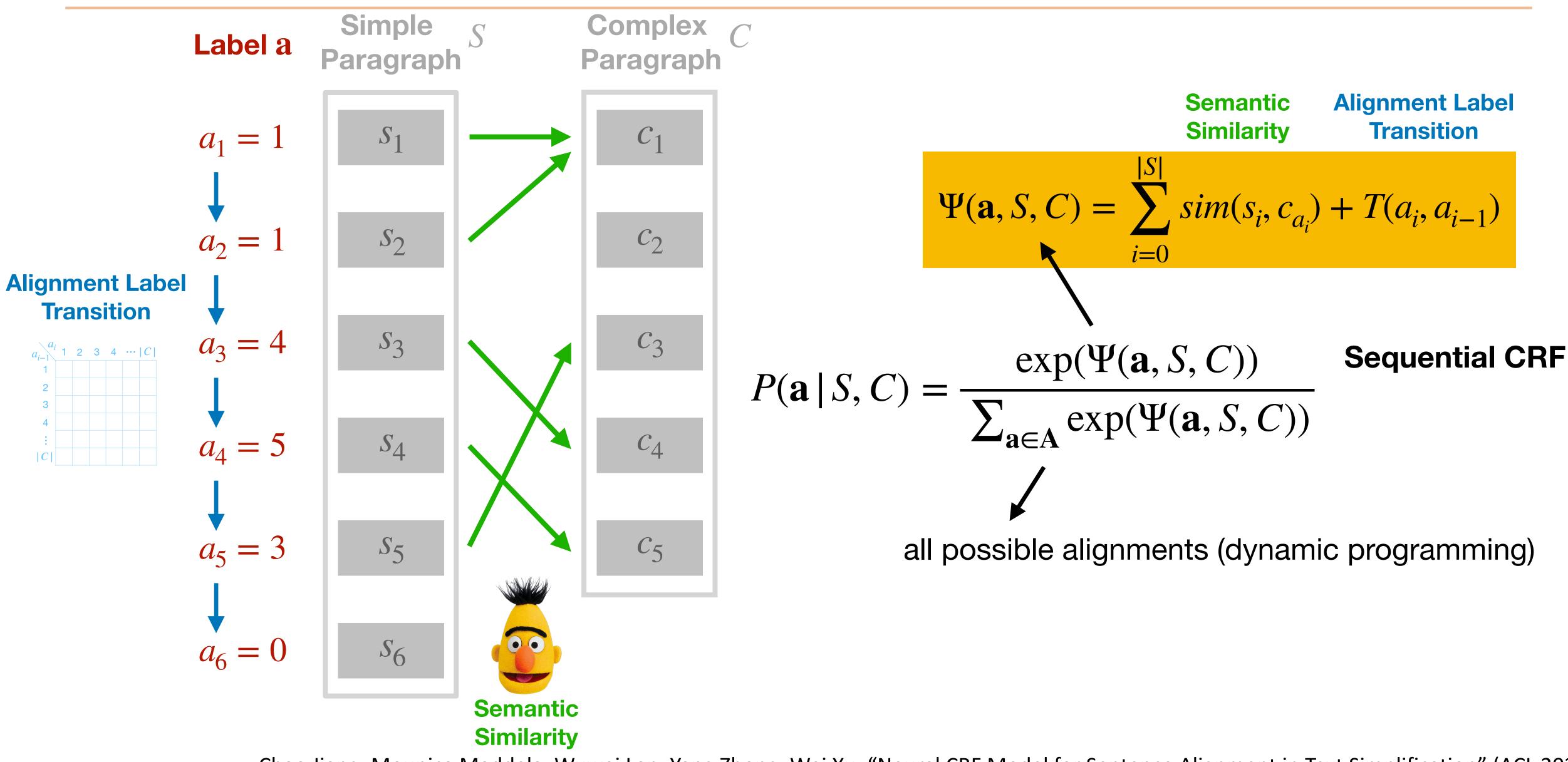


alignment labels

Figure 1: An example of sentence alignment between an original news article (right) and its simplified version (left) in Newsela. The label a_i for each simple sentence s_i is the index of complex sentence c_{a_i} it aligns to.

Chao Jiang, Mounica Maddela, Wuwei Lan, Yang Zhong, Wei Xu. "Neural CRF Model for Sentence Alignment in Text Simplification" (ACL 2020)

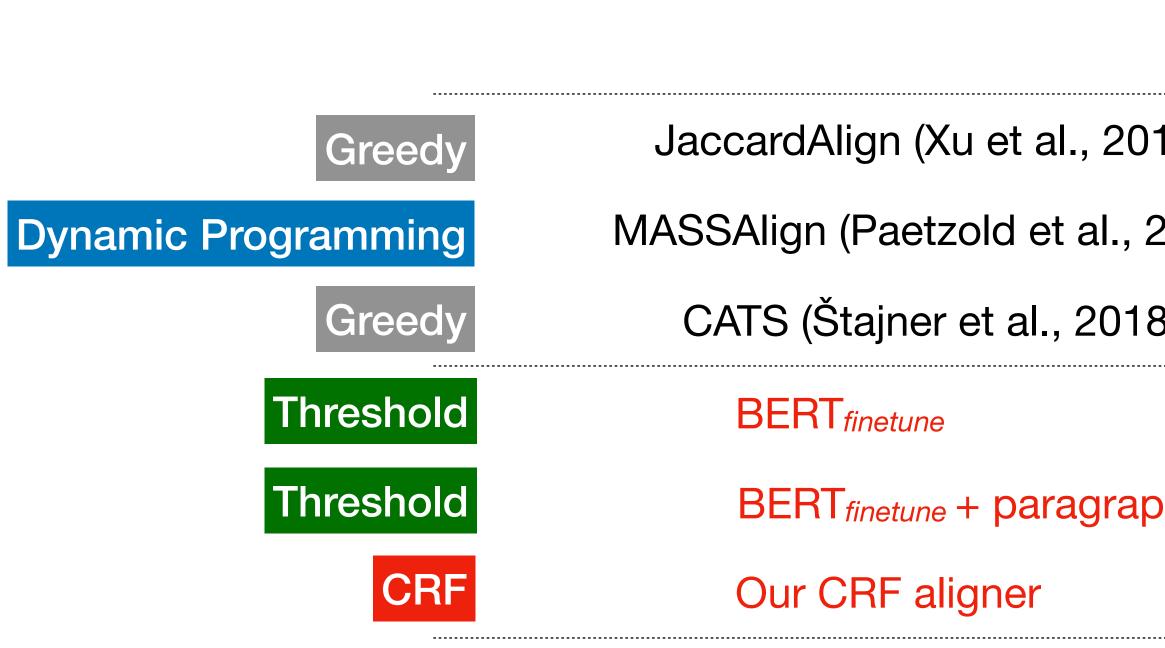




Chao Jiang, Mounica Maddela, Wuwei Lan, Yang Zhong, Wei Xu. "Neural CRF Model for Sentence Alignment in Text Simplification" (ACL 2020)



• Structure prediction + BERT_{finetune} \rightarrow A neural CRF alignment model.



Chao Jiang, Mounica Maddela, Wuwei Lan, Yang Zhong, Wei Xu. "Neural CRF Model for Sentence Alignment in Text Simplification" (ACL 2020)

	aligned +	s. others*	
	Precision	Recall	F1
15)	98.66	67.58	80.22
2017)	95.49	82.27	88.39
8)	88.56	91.31	89.92
	94.99	89.62	92.22
ph alignment	98.05	88.63	93.10 +5.7
	97.86	91.31	95.59

* Results are on the manually annotated Newsela dataset.

